RECEIVED

#### BEFORE THE DIRECTOR OF HEALTH OF THE STATE OF NEBRASKA

JUN 23 1993

STATE OF NEBRASKA ex rel., DON STENBERG, Attorney General,

. REAU OF EXAMINING BOARDS LINCOLN, NEBRASKA

Plaintiff,

ORDER OF DISMISSAL

LEROY H. CARHART, H.D.,

Defendant.

Upon motion of the Attorney General the above captioned matter is dismissed with prejudice as a result of the defendant's having fully complied with an Assurance of Compliance, the terms of which are on file with the Department.

DATED this of \_\_\_\_\_\_, 199

\_ of

٨٨٨٤, 1993.



Wirk B. Horton, M.D., M.S.P.H.

Director of Health DEPARTMENT OF HEALTH STATE OF NEBRASKA

#### CERTIFICATE OF SERVICE

COMES NOW the undersigned and certifies that on the 23 day of June, 1993, a copy of the foregoing ORDER OF DISMISSAL was sent by certified United States mail, postage prepaid, return receipt requested, to Thomas J. Monaghan, Monaghan, Tiedeman & Lynch, 2120 South 72nd Street, Suite 1130, Omaha, Nebraska 68124 and by interoffice mail to Sam Grimminger, Deputy Attorney General, 2115 State Capitol.

UYYYYYYYTammy Honnor Office of the Director BEFORE THE DIRECTOR OF THE DEPARTMENT OF HEALTH OF THE STATE OF NEBRASKA

STATE OF NEBRASKA, ex rel. DON STENBERG, Attorney General,

Plaintiff,

v.

LEROY H. CARHART, M.D.,

Defendant.

DISMISSAL

FILED

6-2-93 TN DIRECTOR OF HEALTH

The Attorney General's Office does hereby dismiss this cause with prejudice. This dismissal is entered for the reason Defendant has complied with an agreement to execute an "Assurance of Compliance", which is an alternative to licensure discipline. That said "Assurance of Compliance" document has been filed with the Department of Health and is now a matter of record.

STATE OF NEBRASKA, ex rel., DON PTENBERG, Attorney General, Wlaintliff,

P! DON STEWBERG, #14023 Appropries General

Sam Affinninger, #11607 Deputy Attorney General 2715-State Capitol

2715-State Capitol Lincoln, NE 68509-8920 Tel: (402) 471-2682

Attorneys for Plaintiff.

2-5128-3

BEFORE THE DIRECTOR OF THE DEPARTMENT OF HEALTH JUN 2 1993 OF THE STATE OF NEBRASKA

BUREAU OF EXAMINING BOARDS
LINCOLN, NEBRASKA

IN THE MATTER OF THE LICENSE OF LEROY H. CARHART, M.D., TO PRACTICE PROFESSIONAL GOUNSELING MEDICINE AND SURGERY

ASSURANCE OF COMPLIANCE

COME NOW LeRoy H. Carhart, M.D. and the Attorney General's Office, and hereby agree as follows:

- 1. No coercion, threats or promises were made to LeRoy H. Carhart, M.D. by the Attorney General of the State of Nebraska or anyone on his staff, by any law enforcement officials, or by any person of the Department of Health of the State of Nebraska, to induce him to enter into this Assurance of Compliance.
- 2. Neb. Rev. Stat. § 71-147 and Neb. Rev. Stat. § 71-171.02 provide that alternative actions may be taken with respect to licensees who engage in certain specified conduct. That utilization of an Assurance of Compliance agreement is one such alternative. That the following conduct would constitute grounds for entry of an Assurance of Compliance:
  - a. The act of a doctor talking on the telephone for nonmedical reasons while performing a surgical procedure including abortion;
  - b. The act of a doctor falsifying entries on a patient chart;
  - c. The act of a doctor interrupting or delaying a surgical procedure including abortion due to or as a result of his exhaustion or fatigue;

JUN 0 3 1993

DIRECTOR OF HEALTH

- d. The failure of a doctor to adequately address protection of patient and staff from infection by contagious diseases.
- 3. LeRoy H. Carhart, M.D., by executing this Assurance of Compliance, certifies that he will not engage in such conduct, as described in Paragraph 2. above, and acknowledges that any violation of this Assurance of Compliance would constitute unprofessional conduct as provided by Neb. Rev. Stat. § 71-147(10) as defined by 71-148(16).
- 4. By executing this assurance of Compliance, LeRoy H. Carhart, M.D. does not admit engaging in the conduct set forth in Paragraph 2(a)(b)(c)(d). above, nor does he admit any violation of law, and this Assurance of Compliance shall not be construed as any such admission or admissions.
- 5. This Assurance of Compliance is also not to be considered as a disciplinary action against the license of LeRoy H. Carhart, M.D. to practice as a physician.

DATED THIS 25th day of May, 1993.

eRoy H. Carhart, M.D.

SUBSCRIBED AND SWORN to before me this 25 day of May,

THOMAS I MONAGHAN

Notary Public

BY DOM STENBERG, #14023

Atvortisy General

Party Attorney General

2115 State Capitol

Lincoln, NE 68509-8920

Tel: (402) 471-2682

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#### BEFORE THE DIRECTOR OF HEALTH OF THE STATE OF NEBRASKA

JUN 2 1993

STATE OF NEBRASKA ex rel., DON STENBERG, Attorney General,

... REAU OF EXAMINING BOARDS LINCOLN, NEBRASKA

Plaintiff,

ORDER DISMISSING ORDER TO SHOW CAUSE

LEROY H. CARHART, M.D.,

Defendant.

The Order to Show Cause previously entered herein is dismissed.

DATED this \_\_ of June, 1993.



A torney at Law E aring Officer DEPARTMENT OF HEALTH SWATE OF NEBRASKA

#### CERTIFICATE OF SERVICE

COMES NOW the undersigned and certifies that on the A day of June, 1993, a copy of the foregoing ORDER DISMISSING ORDER TO SHOW CAUSE was sent by certified United States mail, postage prepaid, return receipt requested, to Thomas J. Monaghan, Monaghan, Tiedeman & Lynch, 2120 South 72nd Street, Suite 1130, Omaha, Nebraska 68124 and by interoffice mail to Sam Grimminger, Deputy Attorney General, 2115 State Capitol.

Tammy Honnor Office of the Director

#### BEFORE THE DIRECTOR OF HEALTH OF THE STATE OF NEBRASEA

RECEIVED

JUN 1 1993

STATE OF NEBRASKA ex rel., DON STENBERG, Attorney General, EUREAU OF EXAMINING BOARDS LINCOLN, NEBRASKA

Plaintiff.

v.

JEANNETTE O. MARRON, L.P.N., LEROY H. CARHART, M.D., MARTHA THORNTON, D.P.M., JANET KLAMT, R.P., ELDEN ARCHER, R.P., ROBERT HALKY, AEMC,

Defendants.

ORDER TO SHOW CAUSE

Counsel in the above captioned cases are ordered to appear on June 24, 1993 at 2:00 p.m. in Department of Health conference room "A", to show case why these cases should not be immediately set for a fully contested hearing on the merits.

Counsel may be excused from personally appearing if: (1) an executed settlement is on file with the Director prior to the date of the show cause hearing or (2) if one or both counsel advises the Hearing Officer, in writing prior to the date of the show cause, that they wish the matter set down for contested hearing.

IT IS SO ORDERED.

DATED this \_\_\_ day of Jone, 1993.

Terry R Schaaf Attorney at Law Hearing Officer DEPARTMENT OF HEALTH STATE OF NEBRASKA

#### CERTIFICATE OF SERVICE

COMES NOW the undersigned and certifies that on the day of June, 1993, a copy of the foregoing ORDER TO SHOW CAUSE was sent by certified United States mail, postage prepaid, return receipt requested, to Russell W. Harford, Attorney at Law, P.O. Box 1070, Chadron, Nebraska 69337, Thomas J. Monaghan, Monaghan, Tiedeman & Lynch, 2120 South 72nd Street, Suite 1130, Omaha, Nebraska 68124, Martha Thornton, D.P.M., Great Plains Podiatry, 3031 "O" Street, Lincoln, Nebraska 68510, Edmund Choroski, Attorney at Law, 200 Willmot Road, Deerfield, Illinois 60015, W. Randall Paragas, Suite 302, 9202 West Dodge Road, Omaha, Nebraska 68114-3318 and by interoffice mail to Sam Grimminger, Deputy Attorney General, and Melanie Whittamore-Mantzios, Assistant Attorney General, 2115 State Capitol.



Tammy Honnor

Office of the Director

RECEIVED

#### BEFORE THE DIRECTOR OF HEALTH OF THE STATE OF NEBRASKA

APR 1 3 1993

STATE OF NEBRASKA ex rel., DON STENBERG, Attorney General, ..... LINCOLN, NEBRASKA

Plaintiff,

ORDER CANCELLING HEARING

LEROY H. CARHART, M.D.

Defendant.

THIS MATTER came on for consideration on the request of parties, stating an Agreed Settlement is pending. The Hearing Officer, being fully advised, finds that the hearing scheduled for April 13 and 14, 1993, should be cancelled.

IT IS THEREFORE ORDERED that the hearing in the above captioned matter is hereby cancelled pending receipt of the Agreed Settlement. If no Agreed Settlement is received by May 28, 1993, a hearing date will be scheduled.

DATED this 13 day of April, 1993.



Terry R. Schaaf Attorney at Law Hearing Officer DEPARTMENT OF HEALTH STATE OF NEBRASKA

#### CERTIFICATE OF SERVICE

COMES NOW the undersigned and certifies that on the /3 day of April, 1993, a copy of the foregoing ORDER CANCELLING HEARING was sent by certified United States mail, postage prepaid, return receipt requested, to Thomas J. Monaghan, Monaghan, Tiedeman & Lynch, 2120 South 72nd Street, Suite 1130, Omaha, Nebraska 68124 and by interoffice mail to Sam Grimminger, Deputy Attorney General, 2115 State Capitol.

Tammy Honnor .
Office of the Director

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#### BEFORE THE DIRECTOR OF HEALTH OF THE STATE OF NEBRASKA

APR 6 1993

STATE OF NEBRASKA ex rel., DON STENBERG, Attorney General, MEAU OF EXAMINING BOARDS LINCOLN, NEBRASKA

Plaintiff,

PRETRIAL ORDER

w.

LEROY H. CARHART, M.D.

Defendant.

A prehearing conference was held before Hearing Officer Terry R. Schaaf on April 5, 1993. The plaintiff was represented by Deputy Attorney General Sam Grimminger and the defendant by Thomas J. Monaghan. Argument was made and the matter submitted.

The Hearing Officer, being fully advised, finds as follows:

- That the defendant's Demurrer to the amended petition is overruled.
- That the defendant's oral motion to compel production of the complaint initially filed herein is denied.
- 3. That the defendant's oral motion to compel production of the report of the loard of Examiners in Medicine and Surgery to the Attory y General is denied. The Hearing Officer will however review said report in camera to determine whether or not there is any discoverable evidence contained therein concerning opinions held by Dr. Haller.
- 4. That the parties are ordered to exchange witness lists by 5:00 p.m. on tardday, April 6, 1993.

DATED this \_ day of April, 1993.

Terry R. Schaat
Attorney at Law
Hearing Officer
DEPARTMENT OF HEALTH
STATE OF NEBRASKA

#### CERTIFICATE OF SERVICE

COMES NOW the undersigned and certifies that on the day of April, 1993, a copy of the foregoing PRETRIAL ORDER was sent by certified United States mail, postage prepaid, return receipt requested, to Thomas J. Monaghan, Monaghan, Tiedeman & Lynch, 2120 South 72nd Street, Suite 1130, Omaha, Nebraska 68124 and by interoffice mail to Sam Grimminger, Deputy Attorney General, 2115 State Capitol.



Jammy Honnor Director

BEFORE THE DIRECTOR OF THE DEPARTMENT OF HEALTH OF THE STATE OF NEBRASKA

FILED

STATE OF NEBRASKA, ex rel. DON STENBERG, Attorney General,

4-1-93 TN .

Plaintiff,

vs.

REQUEST THAT DEPARTMENT OF HEALTH BE BOUND BY RULES OF EVIDENCE

LEROY H. CARHART, M.D.,

Defendant.

COMES NOW the Defendant, LeRoy H. Carhart, M.D., and pursuant to Neb. Rev. Stat. § 84-914 (Reissue of 1987) and Neb. Rev. Stat. § 27-1101(2)(c) hereby requests that the Nebraska Department of Health be bound by the Rules of Evidence in the District Court. Pursuant to Neb. Rev. Stat. § 84-914 (Reissue of 1987), Defendant agrees to be liable for the payment of costs incurred thereby and upon any appeal or review thereof, including the cost of court reporting services which the requesting party shall procure for the hearing, and further acknowledges that all costs of a formal hearing shall be paid by the party against whom a final decision is rendered.

LEROY H. CARHART, M.D., Defendant

Ву

Thomas J. Monaghan #12874
MONAGHAN, TIEDEMAN & LYNCH
2120 South 72 Street, Suite 1130
Dmaha, NE 68124
402/397-5757
Attorneys for Defendant

#### CERTIFICATE OF SERVICE

I, the undersigned, do hereby certify that a copy of the above and foregoing pleading was sent by U.S. Mail, postage prepaid, to Sam Grimminger, Deputy Attorney General, 2115 State Capitol, Lincoln, NE 68509-8920 on this 30th day of March, 1993.

RECEIVED

CPR 1 1993

. AEAU OF EXAMINING BOARDS LINCOLN, NEBRASKA

## BEFORE THE DIRECTOR OF THE DEPARTMENT OF HEALTH OF THE STATE OF NEBRASKA

STATE OF NEBRASKA, ex rel. DON STENBERG, Attorney General, FILED

4-1-93 TH.

Plaintiff,

DIRECTOR OF HEALTH

vs.

ANSWER TO AMENDED PETITION FOR DISCIPLINARY ACTION

LEROY H. CARHART, M.D.,

Defendant.

COMES NOW LeRoy H. Carhart, M.D. and for his Answer to the Amended Petition for Disciplinary Action filed by the Plaintiff herein, admits, denies and affirmatively defends, as follows:

- 1. Defendant admits Paragraphs 1, 2, 3, and 4 of the Amended Petition.
- 2. Defendant denies Paragraphs 5, 6, 8, 9, and 10 of the Amended Petition.
- 3. Defendant lacks personal knowledge of the allegations contained in Paragraph 7 of the Amended Petition and therefore, denies Paragraph 7.
- 4. For further answer, lefendant demurs to the Amended Petition for the reason that the Amended Petition does not set forth facts sufficient to allow the Director to discipline the Defendant.
- 5. For further answer and as an affirmative defense, Defendant alleges that Neb. Rev. Stat. § 71-147 et. seq. and specifically, § 71-147(5)(a)(d), (1991 Supp.) upon which the Plaintiff's cause of action is premised are constitutionally vague and therefore, unenforceable for the reason that Neb.

Rev. Stat. § 71-147 et. seq. and specifically, § 71-147(5)(a)(d), (1991 Supp.) violate the due process clauses of the 5th and of the 14th Amendments to the U. S. Constitution and the due process clause (Section 3) of the Constitution of the State of Nebraska, in the following respects:

- (a) By failing to give adequate notice to the Defendant of reasonably precise standards to be utilized by the Director in determining what conduct constitutes "fraud," "gross incompetence" or "gross negligence," as set forth in Neb. Rev. Stat. § 71-147(5)(a)(d), sufficient for revocation of or imposition of disciplinary measures against the Defendant's license to practice medicine and surgery;
- (b) By permitting the Director to utilize arbitrary and discriminatory standards in determining what conduct constitutes "fraud," "gross incompetence" or "gross negligence," as set forth in Neb. Rev. Stat. § 71-147(5)(a)(d), sufficient to revoke or impose disciplinary measures against the Defendant's professional license, which professional license is a valuable property right owned by the Defendant.
- 6. For further answer and as an affirmative defense, Defendant alleges that Plair . If has failed to comply with Neb. Rev. Stat. § 71-152(3) (Reissue 1990).
- 7. For further answer and as an affirmative defense, Defendant alleges that the Nebraska Legislature, in its enactment of Neb. Rev. Stat. § 71-147 5(a) and (d), improperly delegated its authority to the Director of Health by failing to provide specific and proper standards and limits within which the Director is to act when determining whether conduct of a licensee or certificate holder constitutes "fraud," "gross incompetence" or "gross negligence."

WHEREFORE, having fully answered the Plaintiff's Amended Petition, Defendant LeRoy H. Carhart, M.D., prays that the Amended Petition be dismissed at Plaintiff's costs and that Defendant's costs expended herein by taxed to the Plaintiff.

LEROY A) CARMART, M.D., Defendant

By:

Thomas J./Monaghan #12874
MONAGHAN, TIEDEMAN & LYNCH
2120 South 72 Street, Suite 1130
Omaha, NE 68124
402/397-5757
Attorneys for Defendant

#### CERTIFICATE OF SERVICE

I, the undersigned, do hereby certify that a copy of the above and foregoing pleading was sent by U.S. Mail, postage prepaid, to Sam Grimminger, Deputy Attorney General, 2115 State Capitol, Lincoln, NE 68509-8920, on this 30th day of March, 1993.

RECEIVED

#### BEFORE THE DIRECTOR OF HEALTH OF THE STATE OF NEBRASKA

MAR 3 0 1993

STATE OF NERMASKA ex rel., DON STENBERG, Attorney General, JUREAU OF EXAMINING BOARDS LINCOLN, NEBRASKA

Plaintiff,

ORDER SCHEDULING HEARING

LEROY H. CARHART, M.D.

Defendant.

PLEASE TAKE NOTICE that a pretrial hearing is scheduled for the 5th day of April, 1993, beginning at 9:30 a.m. in Department of Health conference room "A", third floor, State Office Building, 301 Centennial Mall South, Lincoln, Nebraska.

DATED this \_\_\_\_ day of March, 1993.



Parry R Schaaf
Actorney at Law
Bearing Officer
C PARTMENT OF HEALTH
SCATE OF NEBRASKA

#### CERTI ! LAWE OF SERVICE

COMES NOW the undersign of and certifies that on the day of March, 1993, a copy of the foregoing ORDER SCHEDULING HEARING was sent by certified United States mail, postage prepaid, return receipt requested, to Thomas J. Monaghan, Monaghan, Tiedeman & Lynch, 2120 South 72nd Street, Suite 1130, Cmaha, Nebraska 68124 and by interoffice mail to Sam Grimminger, Deputy Attorney General, 2115 State Capitol.

Tammy Honnor Office of the Director

BEFORE THE DIRECTOR OF HEATH OF THE STATE OF NEBRASKA

RECEIVED

STATE OF NEBRASKA ex rel., DON STENBERG, Attorney General.

MAR 1 2 1993

Plaintiff,

JUTEAU OF EXAMINING BOARDS LINCOLN, NEBRASKA

NOTICE OF HEARING FOR AMENDED PETITION

LEROY H. CARHART, M.D.

Defendant.

Leroy H. Carhart, you are hereby notified that an AMENDED PETITION FOR DISCIPLINARY ACTION has been filed against you before the Director of Health of the Department of Health of the State of Nebraska on the relation of Don Stenberg, Attorney General. A copy of said Petition is hereto attached.

IT IS THEREFORE ORDERED that the hearing in the above captioned matter will remain as scheduled for April 13 and 14. 1993, beginning at 9:00 a.m. in Liquor Control conference room, fifth floor, State Office Building, 301 Centennial Mall South, Lincoln, Nebraska.

DATED this day of March, 1993.



Tairy R. Schaaf Athorney at Law Hearing Officer DEPARTMENT OF HEALTH STATE OF NEBRASKA

#### CERTIFICATE OF SERVICE

COMES NOW the undersigned and certifies that on the 12 day of March, 1993, a copy of the toregoing NOTICE OF HEARING was sent by certified United States mail, postage prepaid, return receipt requested, to Thomas J. Monaghan, Monaghan, Tiedeman & Lynch, 2120 South 72nd Street, Suite 1130, Omaha, Nebraska 68124 and by interoffice mail to Sam Grimminger, Deputy Attorney General, 2115 State Capitol.

Tammy Honnor Office of the Director BEFORE THE DIRECTOR OF THE DEPARTMENT OF HEALTH OF THE STATE OF NEBRASKA

STATE OF NEBRASKA, ex rel. DON STENBERG, Attorney General, FILED 3-10-93 JH.

DIRECTOR OF HEALTH

Plaintiff,

AMENDED PETITION FOR

DISCIPLINARY ACTION

RECEIVED

Defendant.

LEROY H. CARHART, M.D.,

MAR 1 2 1993

FIRST CAUSE OF ACTION

JUREAU OF EXAMINING BOARDS LINCOLN, NEBRASKA

Plaintiff alleges as follows:

- That jurisdiction of this cause is based on Neb. Rev. Stat. \$ 71-150 (1992 Cum.Supp.).
- That defendant is an individual who on October 17, 1979, was issued license #15162 by the Department of Health, State of Nebraska to practice medicine and surgery within the State of Nebraska.
- 3. That the Department of lealth, State of Nebraska is the agency of said state authorized to enforce the laws of Nebraska in regulating the practice of measure and surgery within Nebraska.
- That Don Stenberg . : the duly elected Attorney General for the State of Nebraska empowered to enforce the laws of this state and brings this action pursuant to Neb. Rev. Stat. § 71-150(3) (1992 Cum.Supp.).
- That defendant while associated with Woman's Medical Center of Omaha, Nebraska as a treating physician did do and perform the following conduct:

- a. That on or about the 14th day of February, 1991, defendant altered a patient chart after the fact to correctly reflect the status of a patient following a previous procedure in order to cover up his earlier erroneous determination of patient status and used the alterations as a reference to blame failure to follow up on other staff members.
- b. That on or about the 22nd day of May 1991, defendant during dilation of a patient engaged in a non-emergency telephone call and continued with the procedure while cradling the phone between his shoulder and ear.
- c. That on or about the 29th day of May 1991, defendant while involved in performing an aspiration on a patient engaged in a non-emergency telephone call and continued with the procedure while cradling the phone between his shoulder and ear.
- d. That on or about the 14th day of June, 1991, a patient with active tuberculosis was to be aspirated. Masks were obtained and made available for procedure room personnel. When defendant entered the room and observed the staff wearing masks, he stated that if the staff did not remove the masks he would leave. Consequently, some of the staff left the procedure room because they would not continue without a mask. Later when defendant entered the procedure room after the patient was awake, he order the staff to "taka those masks off".
- e. That on or about June 14, 1991, defendant fell asleep while doing a lidocaine injection on a patient.
- 6. That the aforement or all conduct is contrary to and in violation of Neb. Rev. Stat. § 71-147(5)(a)(d) (1991 Supp.).
- 7. That the report of evestigation regarding the herein alleged incidents have heretofore been reviewed by the Board of Examiners in Medicine and Surgery. That as a result of said review, said Board made certain recommendations to the Office of the Attorney General of the State of Nebraska. That prior to the filing of this petition, said recommendations were reviewed and considered by the Attorney General's Office.

WHEREFORE, plaintiff prays that the Director of the Department of Health set this matter down for hearing and for relief as hereinafter set forth.

#### PRAYER FOR RELIEF

- The hearing should be set pursuant to Neb. Rev. Stat. § 8. 71-153 (Reissue 1990).
- On proof of the allegations set out above, take appropriate disciplinary action against defendant's license to practice medicine and surgery.
- 10. Tax the costs of the action as provided in Neb. Rev. Stat. § 71-157 (Reidsue 1990).

Dated this 2 M day of M

, 1993.

STATE OF NEBRASKA, ex rel., DON STENBERG, Attorney General, Plaintiff,

NY DON STENBERG, #14023 Attorney General

Sam/Graninger, #11607 Deputy Actorney General 2115 State Capitol Lincola, NE 68509-8920

Tel: (402) 471-2682

Attorneys for Plaintiff.

## CERTIFICATE OF SERVICE

I hereby certify that I have served a copy of the foregoing Amended Petition for Disciplinary Action upon the Defendant herein by placing a copy of the same in the United States Mail, first class postage prepaid, addressed to Defendant's attorney of record, Thomas J. Monaghan, 2120 South That Street, Suite 1130, Omaha, Nebraska 68124-2341, on this American March, 1993.

San Grindinger Deputy Attorney General

#### BEFORE THE DIRECTOR OF HEALTH OF THE STATE OF NEBRASKA

RECEIVED

STATE OP NEBRASKA ex rel., DON STENBERG, Attorney General,

FEB 16 1993

Plaintiff,

**SUREAU OF EXAMINING BOARDS** LINCOLN, NEPRASKA

ORDER ON MOTION FOR CONTINUANCE

LEROY H. CARHART, M.D.

Defendant.

THIS MATTER came on for consideration on the Motion for Continuance of Thomas J. Monaghan, defendant's attorney, for a continuance of the hearing scheduled for February 18, 1993, stating as defendant's new counsel needs additional time to adequately prepare for trial. The Hearing Officer, being fully advised, finds that the motion should be granted.

IT IS THEREFORE ORDERED that the hearing in this matter is hereby continued until April 13 and 14, 1993, beginning at 9:00 a.m. in Liquor Control Commission conference room, fifth floor, State Office Building, 301 Centennial Mall South, Lincoln, Nebraska.

DATED this \_\_\_\_ day of February 1993.



ferry R. Attorney at Law Hearing Officer DEPARTMENT OF HEALTH STATE OF NEBRASKA

#### CERTIFICATE OF SERVICE

COMES NOW the undersigned and certifies that on the //e day of February, 1993, a copy of the foregoing ORDER ON MOTION FOR CONTINUANCE was sent by certified United States mail, postage prepaid, return receipt requested, to Thomas J. Monaghan, Monaghan, Tiedeman & Lynch, 2120 South 72nd Street, Suite 1130, Omaha, Nebraska 68124 and by interoffice mail to Sam Grimminger, Deputy Attorney Ganeral, 2115 State Capitol.

Tammy Honnor(

Office of the Director

## BEFORE THE DIRECTOR OF THE DEPARTMENT OF HEALTH OF THE STATE OF NEBRASKA

RECEIVED

STATE OF NEBRASKA, ex rel. DON STENBERG, Attorney General,

FER 1 2 1993

Plaintiff,

DIRECTOR OF HEALTH

Vs.

APPEARANCE OF COUNSEL

LEROY H. CARHART, M.D.,

Defendant.

COMES NOW Thomas J. Monaghan, attorney at law, and enters his appearance on behalf of Leroy H. Carhart, Defendant in this action.

Thomas J. Monaghan #12874
MONAGHAN, TIEDEMAN & LYNCH
1130 Commercial Federal Tower
2120 South 72 Street
Omaha, NE 68124
4 12/397-5757
Actorneys for Defendant

#### CERTIFICATI OF SERVICE

I, the undersigned, 35 he ceby certify that a copy of the above and foregoing plea first was sent by U.S. Mail, postage prepaid, to Sam Grimminger, heputy Attorney General, 2115 State Capitol, Lincoln, NE 6850 9820, on this way of February, 1993.

BEFORE THE DIRECTOR OF THE DEPARTMENT OF HEALTH OF THE STATE OF NEBRASKA

STATE OF NEBRASKA, ex rel. DON STENBERG, Attorney General,

FILED

Plaintiff.

MOTION TO CONTINUE

LEROY H. CARHART, M.D.,

VS.

Defendant.

COMES NOW the Defendant, Leroy H. Carhart, and hereby moves the Department for a continuance of the hearing of this matter. The reasons for this request are as follows:

- The undersigned, Thomas J. Monaghan, has this day entered his appearance as counsel for the Defendant herein.
- This matter is scheduled for trial on the 18th day of February, 1993, and the undersigned has not had sufficient time in which to adequately prepare a defense to this case.
- 3. The Defendant i requesting a 45-day continuance, which time should be sufficient and which to allow the Defendant's new counsel to adequately prepare for trial.
- 4. The Defendant's counsel also requests a telephonic pretrial conference to be scheduled 10 days prior to trial.

DATED this the day of 10 mm, 1993.

LEROY/H. CARHART, M.D., Defendant

By:

J. Monaghan Thomas MONAGHAN, TIEDEMAN & LYNCH 2120 South 72 Street, Suite 1130

Omaha, NE 68124 402/397-5757

Attorneys for Defendant

#### CERTIFICATE OF SERVICE

I, the undersigned, do hereby certify that a copy of the above and foregoing pleading was sent by U.S. Mail, postage prepaid, to Sam Grimminger, Deputy Attorney General, 2115 State Capitol, Lincoln, NE 68509-8920, on this 15 day of February, 1993.

## BEFORE THE DIRECTOR OF HEATH OF THE STATE OF NEBRASKA

RECEIVED

STATE OF NEBRASKA ex rel., DON STENBERG, Attorney General,

Plaintiff,

JAN 8 1993

BUREAU OF EXAMINING BOARDS LINCOLN, NEBRASKA

ORDER ON MOTION FOR CONTINUANCE

LEROY H. CARHART, M.D.

Defendant.

THIS MATTER came on for consideration on the Motion for Continuance of William T. Oakes, for a continuance of the hearing scheduled for January 8, 1993, stating counsel has filed a Motion to Withdraw as attorneys of record for LeRoy H. Carhart, M.D., and the defendant is in the process of finding counsel to represent him at the hearing. The Hearing Officer, being fully advised, finds that the motion should be granted.

IT IS THEREFORE ORDERED that the hearing in this matter is hereby continued until the 18th day of February, 1993, beginning at 2:00 p.m. in Liquor Control Commission conference room, fifth floor, State Office Building, 301 Centennial Mall South, Lincoln, Nebraska.

DATED this \( \sum\_{\text{day}} \) day of January 8, 1993.



Terry R. Schaaf
Attorney at Law
Hearing Officer
DEPARTMENT OF HEALTH
STATE OF NEBRASKA

#### CERTIFICATE OF SERVICE

COMES NOW the undersigned and certifies that on the day of January, 1993, a copy of the foregoing ORDER ON MOTION FOR CONTINUANCE was sent by certified United States mail, postage prepaid, return receipt requested, to LeRoy H. Carhart, M.D., 16401 South 27th, Bellevue, Nebraska 68123 and by interoffice mail to Sam Grimminger, Deputy Attorney General, 2115 State Capitol.

Tammy Honnor

Office of the Director

RECEIVED

#### BEFORE THE DIRECTOR OF HEALTH OF THE STATE OF NEBRASKA

JAN R 1993

STATE OF NEBRASKA ex rel., DON STENBERG, Attorney General,

**HUREAU OF EXAMINING BOARDS** LINCOLN, NEBRASKA

Plaintiff,

ORDER ON MOTION WARGHTIW OT

LEROY H. CARHART, M.D.

Defendant.

THIS MATTER comes on upon defense counsels request to withdraw. The request was received by the Hearing Officer on the day prior to the date set for the hearing on the merits. This hearing has previously been continued three times at the request of both counsel. Withdraw at this late date now necessitates a fourth continuance. Therefore, it is with some reluctance

IT IS SO ORDERED.

DATED this 8 day of January, 1993.

defence counsels request to withdraw is granted.



Terry R. Schaaf Attorney at Law Hearing Officer DEPARTMENT OF HEALTH STATE OF NEBRASKA

#### CERTIFECATE OF SERVICE

COMES NOW the undersigned and certifies that on the of January, 1993, a copy of the foregoing ORDER ON MOTION TO WITHDRAW was sent by certified United States mail, postage prepaid, return receipt requested, to William T. Oakes, Kennedy, Holland, DeLacy & Svoboda, 10306 Regency Parkway Drive, Omaha, Nebraska 68114-3748 and by interoffice mail to Sam Grimminger, Deputy Attorney General, 2115 State Capitol.

Tammy Honnor

Office of the Director

#### BEFORE THE DIRECTOR OF HEALTH OF THE STATE OF NEBRASKA

FILED

STATE OF NEBRASKA ex rel., DON STENBERG, Attorney General,

Plaintiff.

VA.

MOTION TO WITHDRAW AND FOR CONTINUANCE

LEROY H. CARHART, M.D.,

Defendant.

COMES NOW William T. Oakes for and on behalf of the firm of Kennedy, Holland, DeLacy & Svoboda and hereby moves the Department for leave to withdraw as attorneys of record for Leroy H. Carmart, M.D. in the above captioned matter.

The Defendant hereby further moves the Department for a continuance of the hearing of this matter scheduled for January 8, 1993 for the reason that the parties have been unable to reach a settlement and Dr. Carhar: is in the process of finding counsel to represent him at the hear ng.

DATED this 2.3 day of December, 1992.

1870Y H. CARHART, M.D., Defendant

liam M. Lamson, Jr., #12374

William T. Oakes, #13093

C. ± KENNEDY, HOLLAND, DeLACY & SVOBODA 10306 Regency Parkway Drive Omaha, NE 68114 (402)397-0203

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#### BEFORE THE DIRECTOR OF HEALTH OF THE STATE OF NEBRASKA

DEC 8 1992

STATE OF NEBRASKA ex rel., DON STENBERG, Attorney General, LINCOLN, NEBRASKA

Plaintiff.

ORDER ON MOTION FOR CONTINUANCE

LEROY H. CARHART, M.D.

Defendant.

THIS MATTER came on for consideration on discussion with Sam Grimminger, plaintiff's attorney, for additional time to allow parties to pursue a negotiated settlement. The Hearing Officer, being fully advised, finds that the motion should be granted.

IT IS THEREFORE ORDERED that the matter should be and hereby is continued until (30 days) so that the parties might further explore the possibilities of a negotiated settlement.

IT IS FURTHER ORDERED that if no proposed settlement is filed on or before January 8, 1993, a hearing will be held on that date, beginning at 2:00 p.m. in Department of Health Conference Room "B", and no faither continuances will be granted except in the most extreme of fircumstances.

DATED this \_\_\_\_ day of Persember, 1992.

Terry R. Schaaf, Hearing Officer Administrative Law Judge

#### CERTIFICATE OF SERVICE

COMES NOW the undersigned and certifies that on the day of December 1992, a copy of the foregoing ORDER ON MOTION FOR CONTINUANCE was sent by certified United States mail, postage prepaid, return receipt requested, to William Lamson, Attorney at Law, 10306 Regency Parkway Drive, Omaha, Nebraska 68114-3748 and by interoffice mail to Sam Grimminger, Deputy Attorney General, 2115 State Capitol.

Tammy Honnor Office of the Director

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#### BEFORE THE DIRECTOR OF HEALTH OF THE STATE OF NEBRASKA

NOV 19 1992

·-\_``: EAU OF EXAMINING BOARDS I JHCOLN, NEBRASKA

STATE OF NEBRASKA ex rel. DON STENDERG, Attorney General,

Plaintiff,

ORDER RESCHEDULING HEARING

w.

LEROY H. CARHART, M.D.

Defendant.

THIS MATTER came on for consideration on the oral request of Sam Grimminger, Deputy Attorney General, stating an Agreed Settlement is being negotiated. The Hearing Officer, being fully advised, finds the hearing scheduled for November 13, 1992 should be cancelled.

IT IS THEREFORE ORDERED the above captioned matter shall be continued to the 9th day of December, 1992, beginning at 2:00 p.m. in the Liquor Control Commission Conference Room, fifth floor, State Office Building, 301 Centennial Mall South, Lincoln, Nebraska.

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( day of Warember, 9

Terry R. Schaaf Attorney at Law Hearing Officer

#### CERTIFICATE OF SERVICE

COMES NOW the undersigned and certifies that on the day of November 1992, a copy of the foregoing ORDER RESCHEDULING HEARING was sent by certified United States mail, postage prepaid, return receipt requested, to William Lamson, Attorney at Law, 10306 Regency Parkway Drive, Omaha, Nebraska 68114-3748 and by interoffice mail to Sam Grimminger, Deputy Attorney General, 2115 State Capitol.

Tammy Honnor | Office of the Director

BEFORE THE DIRECTOR OF HEALTH OF THE STATE OF NEBRASKA RECEIVED

OCT 7 1992

STATE OF NEBRASKA ex rel., DON STENBERG, Attorney General,

LEROY H. CARHART, M.D.

LINCOLN, NEBRASKA

Plaintiff,

ORDER ON MOTION FOR CONTINUANCE

**v** .

Defendant.

THIS MATTER came on for consideration on the Motion for Continuance of plaintiff for a continuance of the hearing scheduled for September 28, 1992, stating plaintiff has been unable to provide defendant with an initial proposal for settlement. Counsel needs additional time to submit this proposal. Hearing Officer, being fully advised, finds the motion should be granted.

IT IS THEREFORE ORDERED the hearing in this matter is hereby continued until the 13th day of November, 1992, beginning at 9:00 a.m. in Department of Health Conference Room "A", third floor, State Office Building, 301 Centennial Mall South, Lincoln, Nebraska.

DATED this 30 day of September, 1992.

Terry R. Schaaf Attorney at Law Hearing Officer

#### CERTIFICATE OF SERVICE

COMES NOW the undersigned and certifies that on the Add day of October 1992, a copy of the foregoing ORDER ON MOTION FOR CONTINUANCE was sent by certified United States mail, postage prepaid, return receipt requested, to William Lamson, Attorney at Law, 10306 Regency Parkway Drive, Omaha, Nebraska 68114-3748 and by interoffice mail to Sam Grimminger, Deputy Attorney General, 2115 State Capitol.

Karen Johnson

Office of the Director

OIRECTOR OF MEANING BEFORE THE DIRECTOR OF THE DEPARTMENT OF HEALTH OF THE STATE OF NEBRASKA

STATE OF NEBRASKA, ex rel., DON STENBERG, Attorney General,

Plaintiff,

MOTION FOR CONTINUANCE

LEROY H. CARHART, M.D.,

Defendant.

Plaintiff does hereby move the Department for a continuance of the hearing of this matter. The reasons for this request are as follows:

- 1. Shortly before September 4, 1992, counsel for plaintiff and counsel for defendant agreed that settlement negotiations would be undertaken in this cause, and that plaintiff would provide defendant with an initial proposal. Due to the press of business, plaintiff has been unable to give the matter due consideration and submit such proposal.
- That plaintiff, in visu of said anticipated settlement negotiations, did not and he not prepared for trial of this matter, and will, if settlerent is not accomplished, need additional time for this purpose.

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STATE OF NEBRASKA, ex rel., DON STENBERG, Attorney General, Plaintiff,

BY DON STENBERG, #14023 Attornay General

BY

Sem Grimminger, #11607 Deputy Attorney General 2015 State Capitol Lincoln, NE 68509-8920 Tel: (402) 471-2682

Attorneys for Plaintiff.

2-3941-3

#### CERTIFICATE OF SERVICE

COMES NOW the undersigned and certifies that on the 25th day of August, 1992, a copy of the foregoing NOTICE OF HEARING was sent by certified United States mail, postage prepaid, return receipt requested, to William Lamson, Attorney at Law, 10306 Regency Parkway Drive, Omaha, Nebraska 68114-3748.

Karen Johnson

Office of the Director

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AUG 25 1992

BUNEAU OF EXAMINING BOARDS LINCOLN, NEBRASKA

# BEFORE THE DIRECTOR OF THE DEPARTMENT OF HEALTH

STATE OF NEBRASKA, ex rel. AUG 10 1992 DON STENBERG, Attorney General, **LUARAY OF EXAMINING BOARDS** Plaintiff, LINCOLN, NEBRASKA PETITION FOR DISCIPLINARY OIRECTOR OF HEALTH Z ACTION ٧. LEROY H. CARHART, M.D., Defendant.

Plaintiff alleges as follows:

- 1. That jurisdiction of this cause is based on Neb.Rev.Stat. §71-150 (1991 Supp.).
- 2. That defendant is an individual who on October 17, 1979, was issued license #15162 by the Department of Health, State of Nebraska to practice medicine and surgery within the State of Nebraska.
- 3. That the Department of Hearth, State of Nebraska is the agency of said state authorized to enforce the laws of Nebraska in regulating the practice of medicine and surgery within Nebraska.
- 4. That Don Stenberg is the duly elected Attorney General for the State of Nebraska empowered to enforce the laws of this state and brings this action pursuant to Neb.Rev.Stat. §71-150(3) (1991 Supp.).
- 5. That defendant while associated with Woman's Medical Center of Omaha, Nebraska as a treating physician did do and perform the following conduct:

- a. That on or about the 14th day of February, 1991, defendant altered a patient chart after the fact to correctly reflect the status of a patient following a previous procedure in order to cover up his earlier erroneous determination of patient status and used the alterations as a reference to blame failure to follow up on other staff members.
- b. That on or about the 22nd day of May 1991, defendant during dilation of a patient engaged in a non-emergency telephone call and continued with the procedure while cradling the phone between his shoulder and ear.
- c. That on or about the 29th day of May 1991, defendant while involved in performing an aspiration on a patient engaged in a non-emergency telephone call and continued the procedure while cradling the phone between his shoulder and ear.
- d. That on or about the 14th day of June, 1991, a patient with active tuberculosis was to be aspirated. Masks were obtained and made available for procedure room personnel. When defendant entered the room and observed the staff wearing masks, he stated that if the staff did not remove the masks he would leave. Consequently, some of the staff left the procedure room because they would not continue without a mask. Later when defendant entered the procedure room after the patient was awake, he ordered the staff to "take those masks off".
- e. That on or about June 14, 1991, defendant fell asleep while doing a lidocaine injection on a patient.
- 6. That the aforementioned bondered is contrary to and in violation of Neb.Rev.Stat. §71-147(10) (1991 Supp.). That in addition thereto the conduct described in subparagraph (a) above to contrary to επο in violation of Neb.Rev.Stat. §71-147(5)(a) (1991 Supp.).
- 7. That the report of investigation regarding the herein alleged incidents have heretofore been reviewed by the Board of Examiners in Medicine and Surgery. That as a result of said review, said Board made certain recommendations to the Office of the

Attorney General of the State of Nebraska. That prior to the filing of this petition, said recommendations were reviewed and considered by the Attorney General's Office.

WHEREFORE, plaintiff prays that the Director of the Department of Health set this matter down for hearing and for relief as hereinafter set forth.

#### PRAYER FOR RELIEF

- 8. The hearing should be set pursuant to Neb.Rev.Stat. §71-153 (Reissue 1990).
- 9. On proof of the allegations set out above, take appropriate disciplinary action against defendant's license to practice medicine and surgery.
- 10. Tax the costs of the action as provided in Neb.Rev.Stat. §71-157 (Reissue 1990).

STATE OF MEBRASKA, ex rel., DON STENBERG, Attorney General, Plantiff,

E DON STENBERG, #14023

Afforney General

Sam Sminninger, #11607 Deputy Attorney General 2115 State Capitol

Lincoln, NE 68509-8920 Tel: (402) 471-2682

Attorneys for Plaintiff.

2-3687-3

#### BEFORE THE DIRECTOR OF HEALTH OF THE STATE OF NEBRASKA

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STATE OF NEBRASKA ex rel., DON STENBERG, Attorney General,

AUG 1 0 1992

Plaintiff,

-UNEMU OF EXAMINING BOARDS LINCOLN, NEBRASKA

NOTICE OF HEARING

LEROY H. CARHART, M.D.

Defendant.

LeRoy H. Carhart, M.D., you are hereby notified that a PETITION FOR DISCIPLINARY ACTION has been filed against you before the Director of Health of the Department of Health of the State of Nebraska on the relation of Don Stenberg, Attorney General. A copy of said Petition is hereto attached and made a part of this Notice of Hearing.

You are further notified that the Director of Health of the Department of Health of the State of Nebraska has set this matter presented by said Petition for hearing in the Department of Health conference room "A", third floor, Nebraska State Office Building, 301 Centennial Mall South, 15th & "M" Streets, Lincoln, Nebraska on the 28th day of September, 1992, beginning at 1:00

You shall have the oppose unity to appear and defend against said Petition at said time and place. You are further notified that you may present such withesses and such evidence at said time and place as you may care to present in answer to the charges of said Petition and that you may be represented by legal counsel at said hearing.

DATED this

August

Attorney at Law Hearing Office

RTIFICATE OF SERVICE

COMES NOW the undersigned and certifies that on the day of August, 1992, a copy of the foregoing NOTICE OF HEARING was sent by certified United States mail, postage prepaid, return receipt requested, to LeRoy H. Carhart, M.D., 16401 South 27th, Bellevue, Nebraska 68123 and to Sam Grimminger, Deputy Attorney General, 2115 State Capitol.

Karen Johnson

Office of the Director

## BEFORE THE DIRECTOR OF THE DEPARTMENT OF HEALTH OF THE STATE OF NEBRASKA

STATE OF NEBRASKA, ex rel. DON STENBERG, Attorney General,	}
Plaintiff,	
V.	PRAECIPE
LEROY H. CARHART, M.D.,	)
Defendant.	)

TO: MARK HORTON, M.D., M.S.Ph., Director, Department of Health of the State of Nebraska, 301 Centennial Mall South, Lincoln, NE 68509.

Notice of the filing with you of the Petition in the above-entitled matter and of the time and place of hearing shall be served upon the defendant at least ten (10) days prior to the hearing as provided in 184 NAC 1.005 and in Neb.Rev.Stat. §§71-153 and 71-154 (Reissue 1990) and (1991 Supp.).

STATE OF NEBRASKA, ex. rel., 13 ON STENBERG, Attorney General, 14 aintiff.

Y DON STENBERG, #14023

Sam Grimminger, #11607 Deputy Attorney General 2115 State Capitol Lincoln, NE 68509 Tel: (402) 471-2682

Attorneys for Plaintiff.

2-3595-3

#### CERTIFICATE OF SERVICE

COMES NOW the undersigned and certifies that on the Aday of January, 1993, a copy of the foregoing ORDER ON MOTION FOR CONTINUANCE was sent by certified United States mail, postage prepaid, return receipt requested, to LeRoy H. Carhart, M.D., 105 E. Mission Ave., Bellevue, Nebraska 68005 and by interoffice mail to Sam Grimminger, Deputy Attorney General, 2115 State Capitol.



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JAN 14 1993

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