

## STATE OF VERMONT -- BOARD OF MEDICAL PRACTICE 2000-2002 PHYSICIAN LICENSE RENEWAL APPLICATION, PAGE ONE OF FIVEMONT BOARD OF

I hereby apply for the renewal of my LICENSE AS A PHYSICIAN for the period from 12/01/00 to 11/30/02. TWO YEAR RENEWAL FEE: \$350.00

Enclose a check in the amount of \$350.00 made payable to the Vermont Board of Medical Practice. Physicians 80 years of age or older or on full-time active military duty (verification required) are exempt from payment of a renewal fee; however, the physician license renewal application must be completed and submitted. LATE FEE: Applications post-marked or received after 11/30/00 are assessed a \$25.00 late fee.

042-0006255

Edd Gilbert Lyon MD 140 Hospital Drive Bennington, VT 05201

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|---|-----|---|---|----|----|---|----|
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- Please print legibly or type your answers.
- Answer all questions completely; it is not adequate to state that the Board already has the information.
- Use the enclosed Form A to provide explanations to "yes" answers in Section II.
- Make a copy of the completed form and all attachments for your own records.
- Do not delegate this important task to an employee, as false statements on this form are grounds for unprofessional conduct.

#### **SECTION I**

| Name:                      | LYON                          | ED               | D GILBERT                             |                                    |
|----------------------------|-------------------------------|------------------|---------------------------------------|------------------------------------|
|                            | Last)                         | (First           | ) (Middle)                            | (Former)                           |
|                            | license numb<br>in Vermont an |                  | OOGUSS Other name                     | e(s), if any, under which you were |
| <b>"MAILIN</b><br>included |                               | " will be public | c and listed on the Board's w         | vebsite. All addresses must be     |
| MAILING                    | ADDRESS:                      | 140 H            | SPITAL DRIVE                          |                                    |
|                            | •                             | (Street)         | ,                                     |                                    |
| BENN                       | INGTON                        | VT.              | 10520                                 | 802-447-1191                       |
| (City)                     |                               | (State)          | (Zip Code)                            | (Telephone)                        |
| OFFICE                     | ADDRESS:                      | SAME             | · · · · · · · · · · · · · · · · · · · |                                    |
|                            |                               | (Street)         |                                       |                                    |
|                            |                               | · .              | ,                                     |                                    |
| (City)                     |                               | (State)          | (Zip Code)                            | (Telephone)                        |
| HOME A                     | ADDRESS:                      | 1068             | VAIL RA                               |                                    |
|                            |                               | (Street)         | · · · · · · · · · · · · · · · · · · · |                                    |
| BEN                        | ININGTON                      | JUT              | 05201                                 |                                    |
| (City)                     |                               | (State)          | (Zip Code)                            | (Telephone)                        |
|                            |                               |                  |                                       |                                    |

#### STATE OF VERMONT -- BOARD OF MEDICAL PRACTICE 2000 - 2002 PHYSICIAN LICENSE RENEWAL APPLICATION, PAGE TWO OF FIVE

|  | •   | SPECIALTY                                  |   |
|--|---|--|---|
| Specialty:                                 | FAMILY  | PRACTIC                                    | E   |
| Subspecialty:                              |   |  |   |
| merican Specialty E                        | Board Certified:  | <b>X</b> Yes                               | No  |
| Specialty:                                 | FAMILY  | PRACTICE                                   | Year Certified: 197 P                                 |
| If applicable                              | , year recertified:   | 1996                                       | <del></del>   |
| <i>2</i> 1                                 |   |  |   |
| •  |   | PRACTICE                                   | •   |
| Do you have hospita                        | ıl privileges?  | <u></u> Yes                                | No ′  |
|  |   |  |   |
| List all hospitals whe                     | re you have, or previously  | y have had, staff privileg                 | es. Include full information.                         |
| List all hospitals whe                     | ere you have, or previously<br>Address  | y have had, staff privileg<br>Dates/From-T | •   |
| Name .                                     | Address   | Dates/From-T                               | o Specialty/Subspecialty                              |
| Name .                                     | ·   | Dates/From-T                               | o Specialty/Subspecialty                              |
| Name<br>Southwester                        | Address   | Dates/From-T                               | Specialty/Subspecialty  18 - PRESENT FAMILY PRA       |
| Name<br>Southwester                        | Address N VT. MFD. (  | Dates/From-T                               | Specialty/Subspecialty  18 - PRESENT FAMILY PRA       |
| Name<br>Southwester                        | Address N VT. MFD. (  | Dates/From-T                               | Specialty/Subspecialty  18 - PRESENT FAMILY PRA       |
| Name<br>SouthWESTER                        | Address  N VT. MED. (  OSPITAL DR. BENN   | Dates/From-T                               | Specialty/Subspecialty  18 - PRESENT FAMILY PRA       |
| Name<br>SOUTHWESTER<br>H                   | Address  N VT. MED. (  OSPITAL DR. BENN  LICENSE IN                             | Dates/From-T                               | Specialty/Subspecialty  18 - PRESENT FAMILY PRA  S201 |
| Name<br>SOUTHWESTER<br>H                   | Address  N VT. MED. (  OSPITAL DR. BENN  LICENSE IN  e you ever held, a medical | Dates/From-T                               | Specialty/Subspecialty  18 - PRESENT FAMILY PRA  S201 |
| Name  SOUTHWESTER  H  Do you hold, or have | Address  N VT. MED. (  OSPITAL DR. BENN  LICENSE IN  e you ever held, a medical | Dates/From-T                               | Specialty/Subspecialty  18 - PRESENT FAMILY PRA  S201 |

## STATE OF VERMONT -- BOARD OF MEDICAL PRACTICE 2000-2002 PHYSICIAN LICENSE RENEWAL APPLICATION, PAGE THREE OF FIVE

SECTION III: "Yes" answers to Questions 1 - 24 require an explanation on the enclosed Form A. Important note regarding the following questions: "Yes" answers on past renewals must be updated on Form A. For example, if a previously reported malpractice action has been dismissed, indicate that on Form A. YOU HAVE A CONTINUING OBLIGATION TO UPDATE THE BOARD DURING THE 2000-2002 PERIOD IF THE ANSWER TO ANY OF THE QUESTIONS ON THE NEXT TWO PAGES CHANGE FROM "NO" TO "YES".

(Section III is for the reporting of information which is retained solely by the Board of Medical Practice and is not part of the data base maintained by the Department of Health.)

#### **DURING THE PAST TWO YEARS:**

| 1. | Have you ever applied for and been denied a license to practice medicine or any healing art?   | Yes _X No          |
|----|--|--------------------|
| 2. | Have you ever withdrawn an application for a license to practice medicine or any healing art?  | Yes X No           |
| 3. | Have you ever voluntarily surrendered or resigned a license to practice medicine or any healing art in lieu of disciplinary action?  | Yes <b>X</b> No    |
| 4. | Are any formal disciplinary charges pending or has any disciplinary action ever been taken against you by any governmental authority, by any hospital or health care facility, or by any professional medical association (international, national, state or local)?   | Yes_ <b>_%</b> _No |
| 5. | To your knowledge, are you the subject of an investigation by any <b>other</b> licensing board as of the date of this application?   |                    |
| 6. | Have you ever been denied the privilege of taking an examination before any State Medical Examining Board?   | Yes 🗶 No           |
| 7: | Have you ever discontinued your education, training, or practice for a period of more than three months?   | Yes <u>X</u> No    |
| 8  | Have you ever been dismissed, suspended, or asked to leave a residency training program(s) before completion?  | Yes <u></u> No     |
| 9  | . Have you ever had staff privileges, employment or appointment in a hospital or other health care institution denied, reduced, suspended or revoked; resigned from a medical staff in lieu of disciplinary action; or resigned from a medical staff after a complaint or peer review action has been initiated against you? | Yes X No           |
| 1  | Have you ever been denied the right to participate or enroll in any system whereby a third party pays all or part of a patient's bill?   | Yes_ <u>K</u> No   |
| 1  | 1. Have you ever been notified as a responsible party of a confirmed quality concern (quality of hospital care provided to Medicare patient) by the Peer Review Organization (PRO) in Vermont or elsewhere?  | Yes <u>X</u> No    |
| 1  | 2. Has any medical malpractice claim been made against you (whether or not a lawsuit was filed in relation to the claim/complaint/demand for damages)?   |                    |
| 1  | 3. Have you ever been turned down for coverage by a malpractice insurance carrier?   | Yes_ <u>'X</u> No  |
|    | 14. Has your privilege to possess, dispense or prescribe controlled substances ever been suspended, revoked, denied, restricted by or surrendered to any jurisdiction or federal agency at any time?   | Yes X No           |
|    | 15. Have you, at any time, been a defendant in any criminal proceeding other than minor traffic offenses? (Note: Driving while intoxicated is <i>NOT</i> a minor offense.)   | Yes <u>X</u> No    |
| ٠. | 16. To your knowledge, are you the subject of an investigation for a criminal act?   |                    |

## STATE OF VERMONT -- BOARD OF MEDICAL PRACTICE 2000-2002 PHYSICIAN LICENSE RENEWAL APPLICATION, PAGE FOUR OF FIVE

SECTION III CONTINUED: "Yes" answers to Questions 17 through 24 requires an explanation on the enclosed Form A. For purposes of Questions 17 through 24, the following phrases or words are defined below:

"Ability to practice medicine" is to be construed to include all of the following:

- 1. The cognitive capacity to make appropriate clinical diagnoses and exercise reasoned medical judgments, and to learn and keep abreast of medical developments; and
- 2. The ability to communicate those judgments and medical information to patients and other health care providers, with or without the use of aids or devices, such as voice amplifiers; and
- 3. The physical capability to perform medical tasks such as physical examination and surgical procedures, with or without the use of aids or devices, such as corrective lenses or hearing aids.

"Medical condition" includes physiological, mental or psychological conditions or disorders, such as but not limited to orthopedic, visual speech, and hearing impairments, cerebral palsy, epilepsy, muscular dystrophy, multiple sclerosis, cancer, heart disease, diabetes, mental retardation, emotional or mental illness, specific learning disabilities, HIV disease, tuberculosis, drug addition, and alcoholism.

"Chemical substances" is to be construed to include alcohol, drugs, or medications, including those taken pursuant to a valid prescription for legitimate medical purposes and in accordance with the prescriber's direction, well as those used illegally.

"Currently", for purposes of this renewal application, does not mean on the day of, or even in the weeks or months preceding the completion of this application. Rather, it means recently enough so that the use of drugs may have an ongoing impact on one's functioning as a licensee, or within the past two (2) years.

"Illegal use of controlled substances" means the use of controlled substances obtained illegally as well as the use of controlled substances which are not obtained pursuant to a valid prescription or not taken in accordance with the directions of a licensed health care practitioner.

- 17. Do you have a medical condition which in any way impairs or limits your ability to practice medicine with reasonable skill and safety? If yes, explain on Form A.
- 18. Does your use of chemical substance(s) in any way impair or limit your ability to practice medicine with reasonable skill and safety? If yes, explain on Form A.
- 19. Are the limitations or impairments caused by your medical condition reduced or ameliorated because You receive ongoing treatment (with or without medications) or participate in a monitoring program? If yes, explain on Form A.
- 20. Are the limitations or impairments caused by your medical condition reduced or ameliorated because of the field of practice, the setting or the manner in which you have chosen to practice? If yes, explain on Form A.
- 21. Have you ever been diagnosed as having or have you ever been treated for pedophilia, exhibitionism or voyeurism? If yes, explain on Form A.
- 22. Are you currently engaged in the illegal use of controlled substances?
- 23. If yes to 22, are you currently participating in a supervised rehabilitation program or professional assistance program which monitors you in order to assure that you are not illegally using controlled substances? If yes, explain on Form A.
- 24. Have you been diagnoses with or have you been treated for bipolar disorder, schizophrenia, paranoia, or any other psychotic disorder?

#### STATE OF VERMONT - BOARD OF MEDICAL PRACTICE-PAGE FIVE OF FIVE SECTION IV

#### APPLICANT'S STATEMENT REGARDING CHILD SUPPORT, TAXES, UNEMPLOYMENT COMPENSATION CONTRIBUTIONS PAGE FIVE OF FIVE

You must answer questions 1, 2, and 3.

Regarding Child Support

Title 15 § 795 requires that: A professional license or other authority to conduct a trade or business may not be issued or renewed unless the person certifies that he or she is in good standing with respect to or in full compliance with a plan to pay any and all child support payable under a support order as of the date the application is filed. "Good standing" means that less than one-twelfth of the annual support obligation is overdue; or liability for any support payable is being contested in a judicial or quasi-judicial proceeding; or he or she is in compliance with a repayment plan approved by the office of child support or agreed to by the parties; or the licensing authority determines that immediate payment of support would impose an unreasonable hardship. (15 V.S.A. § 795)

| 1.                                 | You <u>must</u> check one of the two statements below regarding child support regardless whether or not you have children:   |
|------------------------------------|--|
| <u>X</u>                           | I hereby certify that, as of the date of this application: (a) I am not subject to any support order or (b) I am subject to a support order and I am in good standing with respect to it, or (c) I am subject to a support order and I am in full compliance with a plan to pay any and all child support due under that order.  |
| .*                                 | or   |
|                                    | I hereby certify that I am <u>NOT</u> in good standing with respect to child support dues as of the date of this application and I hereby request that the licensing authority determine that immediate payment of child support would impose an unreasonable hardship. Please forward an "Application for Hardship".  Regarding Taxes   |
| atandina .                         | 3113 requires that: A professional license or other authority to conduct a trade or business may not be issued or renewed unless the person certifies that he or she is in good with the Department of Taxes. "Good standing" means that no taxes are due, the tax liability is on appeal, the taxpayer is in compliance with a payment plan approved by the sioner of Taxes, or the licensing authority determines that immediate payment of taxes would impose an unreasonable hardship. (32 V.S.A. § 3113)  |
| 2.                                 | You <u>must</u> check one of the two statements below regarding taxes:   |
| X                                  | I hereby certify, under the pains and penalties or perjury, that I am in good standing with respect to or in full compliance with a plan to pay any and all taxes due to the State of Vermont as of the date of this application. (The maximum penalty for perjury is fifteen years in prison, a \$10,000.00 fine or both).  |
|                                    | or   |
|                                    | I hereby certify that I am <u>NOT</u> in good standing with respect to taxes due to the State of Vermont as of the date of this application and I hereby request that the licensing authority determine that immediate payment of taxes would impose an unreasonable hardship. Please forward an "Application for Hardship".   |
|                                    | Regarding Unemployment Compensation Contributions  |
| to, or endeclarate payment payment | § 1378 requires that: No agency of the state shall grant, issue or renew any license or other authority to conduct a trade or business (including a license to practice a profession) atter into, extend or renew any contract for the provision of goods, services, or real estate space with any employing unit unless such employing unit shall first sign a written ion, under the pains and penalties of perjury, that the employing unit is in good standing with respect to or in full compliance with a plan to pay any and all contributions or in lieu of contributions due as of the date such declaration is made. For the purposes of this section, a person is in good standing with respect to any and all contributions or in lieu of contributions payable if: (1) no contributions or payments in lieu of contributions are due and payable; (2) the liability for any contributions or payments in lieu of tions due and payable is on appeal; (3) the employing unit is in compliance with a payment plan approved by the Commissioner; or (4) in the case of a licensee, the agency finds a payment of contributions or payments in lieu of contributions due and payable would impose an unreasonable hardship.  |
| <b>3.</b>                          | You must check one of the three statements below regarding unemployment contributions or payments in lieu of unemployment contributions:   |
| X                                  | I hereby certify, under the pains and penalties or perjury, that I am in good standing with respect to or in full compliance with a payment plan approved by the Commissioner of Employment and Training to pay any and all unemployment contributions or payments in lieu of unemployment contributions to the Vermont Department of Employment and Training due as of the date of this application. (The maximum penalty for perjury is 15 years in prison, a \$10,000.00 fine or both.)   |
| ·                                  | I hereby certify that I am NOT in good standing with respect to unemployment contributions or payments in lieu of unemployment contributions due to the Vermont Department of Employment and Training as of the date of this application and I hereby request that the licensing authority determine that requiring immediate payment of unemployment contributions or payments in lieu of unemployment contributions would impose an unreasonable hardship. Please forward an Application for Hardship.   |
|                                    | I hereby certify that 21 V.S.A. § 1378 is not applicable to me because I am not now, nor have I ever been, an employer.  |
|                                    |  |
| Social                             | Security #*  Date of Birth 12 / 20 / 46  |
| Depar                              | disclosure of your social security number is mandatory, it is solicited by the authority granted by 42 U.S.C. § 405 (c)(2)(C), and will be used by the tment of Taxes and the Department of Employment and Training in the administration of Vermont tax laws, to identify individuals affected by such and by the Office of Child Support.  |
|                                    | STATEMENT OF APPLICANT   |
|                                    | y that the information stated by me in this application is true and accurate to the best of my knowledge and that providing false information or omission of   |
| inform                             | y that the information stated by me in this application is true and accurate to the best of my knowledge and that providing the internal accurate to the best of my knowledge and that providing the internal accurate to the best of my knowledge and that providing the internal accurate to the best of my knowledge and that providing the internal accurate to the best of my knowledge and that providing the internal accurate to the best of my knowledge and that providing the internal accurate to the best of my knowledge and that providing the internal accurate to the best of my knowledge and that providing the internal accurate to the best of my knowledge and that providing the internal accurate to the best of my knowledge and that providing the internal accurate to the best of my knowledge and that providing the internal accurate to the best of my knowledge and that providing the internal accurate to the best of my knowledge and that providing the internal accurate to the best of my knowledge and that providing the internal accurate to the best of my knowledge and the providing the internal accurate to the best of my knowledge and the providing the pro |
|                                    | 5/1/2/1  |
| Signat                             | Date 17/6/00   |

### FORM A - PLEASE PROVIDE EXPLANATIONS TO SECTION II "YES" ANSWERS ON THIS FORM

## STATE OF VERMONT - BOARD OF MEDICAL PRACTICE 2000-2002 PHYSICIAN LICENSE RENEWAL APPLICATION, PAGE ONE OF SEVEN

| Your Name:  |
|---|
| MEDICAL MALPRACTICE CLAIM (QUESTION 12) You will need TABLE I on Page 3 to complete this section. Please supply the following information regarding each instance of alleged malpractice: This form should be photocopied and filled out separately for each claim. Additional sheets may be attached if necessary. Please type or print clearly. |
| nsurer: MAMMA PHICO   |
| Claimant Name: RACHEL MIDDLE STEADT   |
| Description of Alleged Basis(es) of Claim (Allegations Only: This does not constitute an admission of fault or liability.) See Codes on TABLE I, Page 3.  |
| Basis Code: Basis Code:   |
| Basis Code: Basis Code:   |
| Additional Descriptive Information - Please indicate:  1) Patient's condition at point of your involvement;  2) Patient's condition at end of treatment;  3) The nature and extent of your involvement with the patient; and  4) Your degree of responsibility for the course of treatment in leading to the claim.                               |
| 2) Healthy  |
| 3) No personal contact with patient Person  |
| involved in care of patient was physician's   |
| Assistant working in our practice   |
| 4) Named in case as member of partners  |
| Dra ctice   |
| priceries   |
|   |
|   |
| If the incident resulted in patient's death, indicate cause of death according to autopsy or patient chart:   |
|   |
| Incident Location (circle one):  01 Emergency Room  05 Outpatient  06 Patient Room  07 Hospital-Other  09 HMO  10 Clinic  11 Nursing Home  13 Walk-In Center  14 Other  15 Unknown  |

Question 12 continued on next page

#### STATE OF VERMONT - BOARD OF MEDICAL PRACTICE FORM A CONTINUED- 2000-2002 PHYSICIAN LICENSE RENEWAL APPLICATION, PAGE TWO OF SEVEN

### MEDICAL MALPRACTICE CLAIM (QUESTION 12) CONTINUED

| Vaux Dala (airala ana):                              |                               |                    |                |               |
|--|-------------------------------|--------------------|----------------|---------------|
| Your Role (circle one): 01 Anesthesiologist 11 PGY 4 |                               |                    |                |               |
| o i / incomicciologici                               |                               | i i                | •              |               |
| or illiary care injection                            | •                             | •                  |                |               |
| 03 Referring Physician 13 PGY 6                      |                               |                    | •              |               |
| 04 Attending Physician 14 PGY 7                      | L. O Fusiveter                | •                  |                |               |
|  | n's Compensation Evaluator    |                    |                |               |
| 06 Surgeon 16 Court Ps                               | •                             |                    |                |               |
| 07 Fellow 17 On-Call F                               |                               |                    |                |               |
|  | ractitioner/Partner           |                    |                |               |
| 09 PGY 2 19 Other: St                                | pecify                        |                    |                |               |
| 10 PGY 3 20 Unknown                                  | ı j                           |                    | .*             |               |
|  |                               |                    |                |               |
| Legal Representative (include name, address          | and telephone number):        | *                  |                |               |
| A I Frak   | [-1]>0 B                      |                    |                |               |
| Name: PMI FIME E                                     | Collins                       |                    |                |               |
| Novel Carlon   |                               |                    | '              |               |
| Name: Panl Frank É  Firm: David Spielman             | <u> </u>                      |                    |                |               |
| Address: PD. BOX 1307                                |                               |                    | 1              | . *           |
| Address: FU DOL 13V                                  |                               | ·                  |                |               |
| City, State, Zip: Burlington, Vt                     | 05407-138-                    | 7 -                |                |               |
| City, State, Zip: UV/ 11/19 Port, VI                 |                               | ·                  |                |               |
| Telephone Number: ( 802) 658-                        | 7311                          |                    |                |               |
| relephone Number: ( %0 2)                            | 2/11                          |                    |                | ,             |
| L. U t. Desision Annual Cattlement Diami             | e e e l                       |                    | •              |               |
| Indicate Decision, Appeal, Settlement, Dismi         | indicate the following:       |                    |                |               |
| If a Court or Arbitration Panel heard your case,     | Indicate the following.       | nitration Panel    |                |               |
| Decision determined by (Check one): Ju               | uge July All                  | Jidadon Fanor      |                |               |
| Decision: JeHlement                                  | Award                         |                    |                |               |
| Decision: 30111000011                                | Award.                        |                    |                |               |
| If your case was appealed, indicate the following    | or Date Appeal Filed (Month   | . Dav. Year)       | 1 1            |               |
| Date Appeal Decided:/                                | /                             | ,, ,,              |                | <del></del>   |
| Date Appear Decided:                                 |                               |                    |                |               |
| If your case was settled, indicate the following:    | 4                             |                    |                |               |
| if your case was settled, indicate the following.    | 8                             | a .                |                |               |
| Settlement amount paid on your behalf:               | THE POOL ILLE                 | 0                  | 2              |               |
| Settlement amount paid on your bonan.                |                               |                    |                |               |
| Total settlement amount:                             | ft ·                          |                    | •              |               |
|  |                               |                    |                |               |
| Date of Settlement: (Month, Day, Year)               | 7 / スス / 00_                  |                    |                |               |
|  |                               | <del></del>        |                |               |
| Case dismissed against you Ag                        | jainst all defendants         |                    |                |               |
|  |                               |                    |                | <b>,</b>      |
| Important: In addition to the above informa          | ation, please attach a copy   | of the complaint   | and final judg | gment,        |
| settlement and release, or other final disposi       | tion of the claim. This infor | mation can be obta | ined from you  | r legal       |
| representative.                                      |                               |                    |                |               |
|  |                               |                    |                |               |
| Additional information, if any:                      | •                             |                    |                |               |
| C  | of baters it                  | Lingat             | 4              |               |
| use settle   | VI ~ VETURE )                 | , Mann             | I'V            | <del></del> . |
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| Court by agree                                       | vuer 1 morr                   | y cov              | TUC 6          |               |
| DA INC   |                               | V                  |                |               |
| mon 47.  | - Ougstion 12 on the part     | 200                |                | <del></del> , |

## STATE OF VERMONT - BOARD OF MEDICAL PRACTICE FORM A CONTINUED - 2000-2002 PHYSICIAN LICENSE RENEWAL APPLICATION PAGE THREE OF SEVEN TABLE I - BASIS CODES - QUESTION 12 - ALLEGATIONS ONLY

| ·  |   |
|--|---|
| DIAGNOSIS RELATED  | Improper Treatment: Medication Related  |
| D01 Delay in Diagnosis   | T21 Failure to obtain informed consent/exceeding consent obtained   |
| Failure to Diagnose:   | T22 Failure to take adequate patient history  |
| D02 Abdominal Problems (other than appendicitis or ulcer)  | T23 Failure to diagnose drug-related problem(s) (other than addiction)  |
| D03 AIDS/AIDS Related Complex  | T24 Failure to diagnose drug addiction  |
| D04 Allergy  | T25 Prescribing to a known addict   |
| D05 Appendicitis   | T26 Wrong medication ordered  |
| D06 Arthritis  | T27 Wrong dose of medication ordered  |
| D07 Bladder Problem  | T28 Improper route of administration  |
| D08 Bowel Problem  | T29 Drug side effect  |
| D09 Breast Cancer  | T30 Failure to prescribe  |
| D10 Cancer (other than breast)   | T31 Drug toxicity/overdose  |
| D10 Carlicer (other trial breast) D11 Cardiac Disorder/Illness/Problem (not myocardial infarction) | T32 Other Specify:  |
|  |   |
| D12 Circulatory Problem  | Improper Treatment: Mental Illness Related  |
| D13 Diabetes   | T33 Failure to obtain informed consent/exceeding consent obtained   |
| D14 Fracture/Dislocation   | T34 Failure to diagnose mental disorder/illness/problem   |
| D15 Gall Bladder Disorder  | T35 Improper medication prescribed  |
| D16 Genetic Disorder   | T36 Improper medication presented   |
| D17 Hemorrhage   | T37 Improper discharge  |
| D18 Hemia  |   |
| D19 Implanted Foreign Body   | T38 Improper monitoring   |
| D20 Infection  | T39 Improper use of seclusion/restraints  |
| D21 Kidney Disorder  | T40 Suicide/Suicide attempt by inpatient  |
| D22 Liver Disorder   | T41 Suicide/Suicide attempt by outpatient   |
| D23 Meningitis   | T42 Other Specify:  |
| D24 Myocardial Infarction  | Trackwork Obstatica Companiony Polated  |
| D25 Neurological Disorder  | Improper Treatment: Obstetrics-Gynecology Related   |
| D26 Orthopaedic Problem (other than fracture/dislocation)  | T43 Failure to obtain informed consent/exceeding consent obtained   |
| D27 Pneumonia/Pneumothorax   | T44 Failure to diagnose pregnancy, normal   |
| D28 Poisoning  | T45 Failure to diagnose pregnancy related problem   |
| D29 Respiratory Problem  | T46 Failure to diagnose ectopic pregnancy   |
| D30 Tendon Injury  | T47 Failure to diagnose endometriosis   |
| D31 Thrombosis   | T48 Failure to diagnose fetal distress  |
| D32 Tumor  | T49 Failure to identify mother-fetus blood problem  |
| D33 Ulcer or Complication(s) of Ulcer  | T50 Improper performance of abortion  |
| D34 Other Specify:   | T51 Improper management of pregnancy  |
| •  | T52 Improper management of delivery   |
| D35 Failure to Obtain Consent for Diagnostic Procedures/Exceeding consent obtained                 | T53 Improperly performed vaginal delivery   |
| D36 Misdiagnosis   | T54 Improperly performed C-section  |
| D37 Ordering/Performing Unnecessary Diagnostic Tests/Procedures                                    | T55 Delay in performing C-section   |
| → D38 Failure to Perform Diagnostic Test(s)  | T56 Delay in treating fetal distress  |
| D39 Other Diagnosis Related Injury   | T57 Failed sterilization  |
|  | T58 Wrongful life/birth   |
| EQUIPMENT  | T59 Fetal death/stillborn   |
| E01 Equipment: Misuse  | T60 Maternal death related to delivery to diagnese continued T61 Other Specify: <u>FailVie</u> to diagnese continued abortim. |
| E02 Equipment: Malfunction   | T61 Other Specify: Tailvie to way 163   |
| E03 Equipment: Other Specify:  | pregnancy after tailed abunion.   |
|  | Improper Treatment: Surgery Related   |
| IMPROPER TREATMENT   | T62 Failure to obtain informed consent/exceeding consent obtained   |
| T01 Delay in Treatment   | T63 Improper performance  |
| T02 Failure to Obtain Informed Consent/Exceeding Consent Obtained                                  | T64 Failure to diagnose post-operative complications  |
| T03 Improper Choice of Treatment   | T65 Improper treatment of post-operative complications  |
| T04 Infection  | T66 Retained foreign bodies (e.g. needle, sponge, instrument, etc.)   |
| T05 Fracture/Dislocation   | T67 Delay in surgery  |
| T06 Chronic Vegetative State Resulting from Medical Intervention                                   | T68 Unnecessary surgery   |
| Too Griding Vogetaire Clate Recording Town   | T69 Wrong body part   |
| Improper Treatment: Anesthesia Related   | T70 Laceration or penetration not within scope of surgery   |
| T07 Failure to obtain informed consent/exceeding consent obtained                                  | T71 Death in the course of/resulting from surgery   |
| T08 Failure to take adequate patient history   | 1.72 Other Specify:   |
| T09 Failure to monitor   |   |
| T10 Failure to test equipment/improper use of equipment  | Improper Treatment: Specified Procedures  |
| T11 Improper intubation  | T73 Angiography   |
| T12 Improper positioning   | T74 Arteriography   |
| T13 Wrong amount/type of anesthesia prescribed   | T75 CAT scan  |
| To thong amountage of aneontools prosonsed   |   |
| T14 Allergic/adverse reaction  | T76 Catheterization   |
| T15 Teeth damage   | T77 Colonoscopy   |
| T16 Other Specify:   | T78 Cryosurgery   |
| To outer openity.  | T79 Discogram   |
| TRANSFUSION  | T80 Electroconvulsive Therapy   |
|  | T81 Endoscopy   |
| TR17 Mismatch  | T82 Esophageal Dilatations  |
| TR18 Caused AIDS   | T83 Injection/immunization  |
| TR19 Caused Hepatitis  |   |
|  |   |
| TR20 Other Specify:  | T84 Laparoscopy T85 Lasers, used in treatment   |

#### STATE OF VERMONT - BOARD OF MEDICAL PRACTICE FORM A CONTINUED - 2000-2002 PHYSICIAN LICENSE RENEWAL APPLICATION PAGE FOUR OF SEVEN

#### WITHDRAWAL OR DENIAL OF LICENSE (QUESTIONS 1 & 2) ATTACH DOCUMENTS

| state:   | Year:  |             |
|--|--|-------------|
| Circumstances under which license was withdraw                       | n or denied (revoked, not renewed, or otherwise terr | minated):   |
|  |  |             |
|  |  | •           |
|  |  |             |
| VOLUNTARILY SURRENDERED OR RESIGNE<br>QUESTION 3) - ATTACH DOCUMENTS | D A LICENSE TO PRACTICE MEDICINE OR ANY              | HEALING ART |
| State:   | Year:  |             |
| Circumstances:   |  |             |
| Sircumstances.   |  |             |
| ·  |  |             |
|  |  |             |
| DISCIPLINARY CHARGES OR ACTION (QUES                                 | TION 4) - ATTACH DOCUMENTS                           |             |
|  | •  |             |
| ·  | Date:  |             |
| Duration:  |  |             |
| Action Taken (circle all that apply):                                | ·  | •           |
| 01 Revocation of right or privilege                                  | 12 Leave of absence                                  |             |
| 02 Suspension of right or privilege                                  | 13 Withdrawal of an application                      |             |
| 03 Censure   | 14 Termination or non-renewal of contract            |             |
| 04 Written reprimand or admonition                                   | 15 Medical Records Suspension                        |             |
| 05 Restriction of right or privilege                                 | 16 Probation   | •           |
| 06 Non-renewal of right or privilege                                 | 17 Assurance of Discontinuance                       | ,           |
| 07 Fine  | 18 Consent Agreement                                 |             |
| 08 Required performance of public service                            | 19 Letter of Agreement                               | •           |
| 09 Education/Training/Counseling/Monitoring                          | 20 Expulsion from Membership                         |             |
|  | 21 Reprimand   |             |
| 10 Denial or right or privilege                                      | 22 Other Specify:                                    |             |
| 11 Resignation   | 22 Other Specify.                                    |             |
| Circumstances:   |  |             |
|  |  |             |
|  |  | 4.          |
|  | TO THE CONTROL OF THE CONTROL POSSIBLE VICE          |             |
| INVESTIGATION BY ANY OTHER LICENSING                                 | BOARD (QUESTION 5) - ATTACH DOCUMENTS                |             |
| Name of Licensing Board:   | Date:  | · :         |
| Location of Licensing Board:   |  |             |
| Circumstances:   |  |             |
|  |  |             |
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## STATE OF VERMONT - BOARD OF MEDICAL PRACTICE FORM A CONTINUED - 2000-2002 PHYSICIAN LICENSE RENEWAL APPLICATION, PAGE FIVE OF SEVEN

# DENIAL OF EXAMINATION PRIVILEGES (QUESTION 6) ATTACH DOCUMENTS Circumstances under which examination privileges denied: RESIDENCY TRAINING PROGRAM(S) NOT COMPLETED - DISCONTINUED EDUCATION, TRAINING, PRACTICE (QUESTIONS 7 & 8) - ATTACH DOCUMENTS Residency Training Program(s): Location of Program(s): \_\_\_\_\_\_ Year: \_\_\_\_\_ AFFECTING HEALTH CARE INSTITUTION STAFF PRIVILEGES, EMPLOYMENT OR APPOINTMENT (QUESTION 9)- ATTACH DOCUMENTS Institution Involved: Date: Location: Circumstances: DENIAL OF RIGHT TO PARTICIPATE OR ENROLL - THIRD PARTY PAYER (QUESTION 10) ATTACH DOCUMENTS Third Party Payer: \_\_\_\_ Circumstances: CONFIRMED QUALITY CONCERN NOTICE BY PEER REVIEW ORGANIZATION (PRO) (QUESTION 11) ATTACH DOCUMENTS PRO: \_\_\_\_\_ Year: \_\_\_ Location of PRO: Circumstances:

TO RESPOND TO QUESTION 12 SEE PAGE ONE OF THIS FORM

#### STATE OF VERMONT - BOARD OF MEDICAL PRACTICE FORM A CONTINUED - 2000-2002 PHYSICIAN LICENSE RENEWAL APPLICATION, PAGE SIX OF SEVEN

TURNED DOWN FOR COVERAGE BY MALPRACTICE INSURANCE CARRIER (QUESTION 13) ATTACH DOCUMENTS

| Malpractice Insurance Carrier: |                     | Year:                 |                                       |
|--------------------------------|---------------------|-----------------------|---------------------------------------|
| Dircumstances:                 |                     |                       | · · · · · · · · · · · · · · · · · · · |
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|                                |                     | • .                   |                                       |
| PRIVILEGE TO PRESCRIBE CONTRO  | LLED SUBSTANCES (QU | ESTION 14) - ATTACH D | OCUMENTS                              |
| Name of Organization Involved: |                     |                       |                                       |
| Type of Restriction:           | · ·                 | Date:                 |                                       |
| Circumstances of restriction:  |                     |                       | ·                                     |
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|                                |                     |                       |                                       |
| CRIMINAL INVESTIGATION - PROCE |                     | ND 16) - ATTACH DOCC  |                                       |
| City and State:                | •                   |                       |                                       |
| Charge:                        |                     |                       |                                       |
| Date:                          | -                   |                       |                                       |
| Description:                   | - ,/                | ····                  | ·                                     |
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| Status:                        |                     |                       |                                       |
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| Conviction?:                   |                     | Date:                 |                                       |
| Plea?                          |                     | Date:                 | · · · · · · · · · · · · · · · · · · · |

#### STATE OF VERMONT - BOARD OF MEDICAL PRACTICE FORM A CONTINUED - 2000-2002 PHYSICIAN LICENSE RENEWAL APPLICATION PAGE SEVEN OF SEVEN

MEDICAL CONDITION, TREATMENT, USE OF CHEMICAL OR ILLEGAL SUBSTANCES (QUESTIONS 17,18,19, 20, 21, 22, 23, & 24)

| reating Organization:                  |                                       |                        |             |         |
|--|---------------------------------------|------------------------|-------------|---------|
| ddress:                                | ·                                     | 1                      |             |         |
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| ·                                      |                                       |                        |             |         |
|  | <u> </u>                              |                        |             |         |
| elephone: ()                           | •                                     | ·                      |             |         |
| erson Responsible for Treatment:       |                                       |                        |             |         |
| ype of Diagnosis, Condition or Treatme | ent - Field of Practi                 | ce - Use of Chemical   | Substances: |         |
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|  | <u></u>                               |                        |             |         |
| Dates of Illness or Dependency:        |                                       | to                     |             |         |
|  | (                                     |                        |             |         |
| Dates of Treatment:                    | · · · · · · · · · · · · · · · · · · · | to                     |             | 20 TH T |
|  |                                       | Maria de Companyo      |             |         |
| Name and Location of Rehabilitation/Po | rofessional Assista                   | ince or Monitoring Pro | ogram:      |         |
| Telephone: ()                          |                                       | •                      |             |         |
| reiephone.                             |                                       |                        |             |         |
| · · · · · · · · · · · · · · · · · · ·  | •                                     | ,                      |             |         |
|  |                                       | •                      | •           |         |
| Contact Person at Program:             |                                       |                        |             |         |