

STATE OF CONNECTICUT

Department of Public Health

LICENSE

License NO. 0009

Family Planning

In accordance with the provisions of the General Statutes of Connecticut Section 19a-493:
Connecticut Public Health Code, Section 19-13-D54 and Section 19a-116-1:

Hartford Physician's Management Corporation of Hartford, CT d/b/a Hartford GYN Center is hereby licensed
to maintain and operate a Family Planning Clinic.

Hartford GYN Center is located at 1 Main Street, Hartford CT 06106.

This license expires **June 30, 2017** and may be revoked for cause at any time.

Dated at Hartford Connecticut July 1, 2013. RENEWAL



Jewel Mullen, MD, MPH, MPA
Commissioner



STATE OF CONNECTICUT
DEPARTMENT OF PUBLIC HEALTH
FACILITY LICENSE & INVESTIGATIONS SECTION

Page 1 of 1
Page 1 of

LICENSURE APPLICATION

☐ INITIAL ☒ RENEWAL ☐ CHANGE OF OWNERSHIP ☐ RELOCATION

NOTICE: The State of Connecticut values the quality of care provided to all nursing home residents. Please know that any nursing home licensee, owner or officer, including, but not limited to, a director, trustee, limited partner, managing partner, general partner or any person having at least 10 percent (10%) ownership interest in the nursing home or the entity that owns the nursing home, and any administrator, assistant administrator, medical director, director of nursing or assistant director of nursing, may be subject to civil and criminal liability, as well as administrative sanction under applicable federal and state law, for the abuse or neglect of a resident of the nursing home perpetrated by an employee of the nursing home.

NOTE: A separate application must be completed for each licensed level of care, whether or not, that level is located at the same address

1. HARTFORD GYN CENTER 1-8 2017
Facility "d/b/a" (doing business as) Name
- One Main Street, Unit N1 Hartford CT 06106-1806 860-525-1900
Business Address City State Zip Code Telephone
- (same as above)
Mailing Address (if applicable) City State Zip Code
2. 23-2149551
Federal Employer Identification Number

Phone: (860) 509-7444
Telephone Device for the Deaf (860) 509-719
410 Capitol Avenue - MS # 12HFL
P.O. Box 340308 Hartford, CT 06134

An Equal Opportunity Employer

In accordance with Section 19a-491 and/or Section 19a-506 of the Connecticut General Statutes, application is hereby made for a license to operate the following (please check the appropriate box that applies):

- | | |
|--|---|
| <input type="checkbox"/> Assisted Living Services Agency | <input type="checkbox"/> Infirmary Operated by an Educational Institution |
| <input type="checkbox"/> Children's Hospital | <input type="checkbox"/> Maternity Home |
| <input type="checkbox"/> Chronic and Convalescent Nursing Home | <input type="checkbox"/> Maternity Hospital |
| <input type="checkbox"/> Chronic Disease Hospital | <input type="checkbox"/> Outpatient Clinic/Primary Care/Dental |
| <input checked="" type="checkbox"/> Family Planning Clinic | <input type="checkbox"/> Outpatient Dialysis Unit |
| <input type="checkbox"/> General Hospital | <input type="checkbox"/> Outpatient Surgical Facility |
| <input type="checkbox"/> Home Health Care Agency | <input type="checkbox"/> Residential Care Home |
| <input type="checkbox"/> Homemaker-Home Health Aide Agency | <input type="checkbox"/> Rest Home with Nursing Supervision |
| <input type="checkbox"/> Hospice/19a-495-5a & 19-13-D1(C) | <input type="checkbox"/> In-Patient Hospice Unit |
| <input type="checkbox"/> Hospital for Mentally Ill Persons | <input type="checkbox"/> Well Child Clinic |

3. Bed Capacity Requested (if applicable). If submitting this application for multiple levels of care, please list the bed capacity for each level of care being requested. N/A

Level of Care	Beds/ Hemodialysis Stations	Bassinets (if applicable)
<u>N/A</u>	_____	_____
_____	_____	_____
_____	_____	_____

4. Disclose the legal entity which owns/operates the facility. (Note: The license will be issued to this entity.)

Hartford Physicians Management Corp dba Hartford GYN Center
Licensee

One Main Street, Unit N1 Hartford CT 06106-1806 860-525-1900
Business Address City State Zip Code Telephone

601 Chapel Avenue East Cherry Hill, NJ 08034
Mailing Address (if applicable)

5. Is the above named legal entity a (please check the box which applies):

- | | |
|---|--|
| <input type="checkbox"/> Individual/Sole proprietor | <input type="checkbox"/> Municipality |
| <input type="checkbox"/> General Partnership | <input type="checkbox"/> Trust |
| <input type="checkbox"/> Limited Partnership | <input checked="" type="checkbox"/> Profit Corporation |
| <input type="checkbox"/> Limited Liability Company | |
| <input type="checkbox"/> Other: _____ | |
| <input type="checkbox"/> Non-profit Corporation | |

6. Is the above named entity authorized by the Office of the Secretary of State to transact business in the State of Connecticut and considered in Good Standing? ☒ YES ☐ NO

7. Please disclose the name, business address and telephone number of the Agent for Service for the Licensee.
Jamie Beers One Main Street, Unit N1 Hartford, CT (800) 525-1900
Name Address Telephone 06106-1806
8. Attach an organizational chart which reflects the current ownership structure of the licensee and the licensee's relationship with the facility/agency.
9. Respond to the specific question that reflects the ownership structure of the licensee. **The Licensee is the legal entity which will be issued the license to operate.**
- A. If the Licensee is a **general partnership, limited partnership or limited liability company**, complete Form 1 (attached).
- B. If the Licensee is a **trust**, complete Form 2 (attached) for the Licensee.
i. Attach a list including the name, address and telephone number of all trustees.
- C. If the Licensee is a **corporation (profit or non-profit)**, complete Form 3 (attached) for the Licensee. Complete a separate Form 3 for each additional corporate entity having 10% or greater ownership interest in the Licensee.
i. If the corporation is incorporated in a state other than Connecticut, please attach a Certificate of Good Standing from the Secretary of State of the state of incorporation.
ii. Attach a list including the name, address and telephone number of all officers and all directors of the corporation.
10. Attach a current copy of the facility's Certificate of malpractice and public liability insurance. (Note: Information Pages and Insurance Binders are unacceptable. Only Certificates of Insurance will be accepted.). Please note that All Behavioral Health levels of care, except hospitals, and RCH facilities are exempt from the malpractice requirement.
11. Attach evidence of current compliance with the worker's compensation insurance coverage requirements in the form of one of the following:
- A. a certificate of self-insurance issued by a worker's compensation commissioner pursuant to Section 31-284 of the Conn. General Statutes; or
- B. a certificate of compliance issued by the Insurance Commissioner pursuant to Section 31-286 of the Conn. General Statutes; or
- C. a Certificate of Insurance issued by any stock or mutual insurance company or mutual association authorized to write worker's compensation insurance in this state. (Note: Information pages and Insurance Binders are unacceptable. Only Certificates of Insurance will be accepted.)

FOR OFFICE USE ONLY

CHECK # 2254
DATE RECEIVED 5/18/17

AMOUNT \$ 1,000
INITIALS CG

12. Ownership of Real Property

Name Polis + Sanders
 Business Address One Main Street, Unit N1 Hartford, CT 06106 Telephone 860-525-1900
 City State Zip Code

13. Annual Fire Marshal's Certificate of Inspection Form (attached) must be completed by the Local Fire Marshal. **NOTE: Hospitals must have a separate Fire Marshal's Certificate of Inspection completed for each building on the hospital's campus and each satellite listed on the hospital's license. Additional forms may be copied if necessary. (Not applicable for Homemaker Home Health and Home Health Agencies).**

14. Affidavit of Owner:

I attest that the information provided within this application is true and accurate and not made with the intent to mislead a public servant. I attest that such statement is made under oath, that any changes in the information submitted will be reported to the Department as required by law. Any such false statement made therein is punishable by law, as per the CGS 53a-157b.

[Signature]
 Signature

5/9/17
 Date Signed

Check one as applicable:

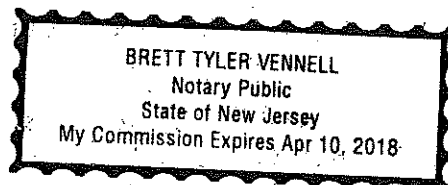
- ☐ Individual/Sole Proprietor
- ☐ General/Managing Partner
- ☐ President of Corporation
- ☐ Secretary of Corporation
- ☐ Municipal Officer
- ☐ Trustee
- ☐ Member of the LLC

State of Connecticut)

County of Camden)

ss May 9 2017

Personally appeared before me the above named Bobby Lazarus and made oath to the truth of the statements contained in his/her answers to the foregoing questions.



[Signature]
 Notary Public ☒
 Justice of the Peace ☐
 Town Clerk ☐
 Commissioner of the Superior Court ☐

My Commission Expires: 4/10/18
 (If Notary Public)



STATE OF CONNECTICUT
DEPARTMENT OF PUBLIC HEALTH
FACILITY LICENSING & INVESTIGATIONS SECTION

Attachment 3

Hartford Physician's Management Corp
FORM 3

FACILITY/AGENCY NAME dba Hartford GYN Center

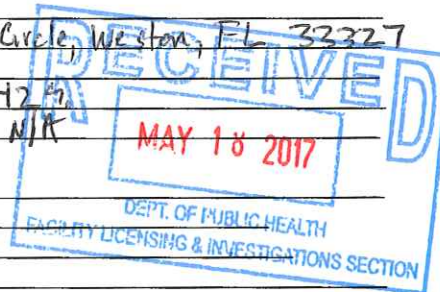
Form 3 must be completed if the facility/agency or Real Property Owner is owned/operated by a corporation (profit or non-profit). Please copy additional sheets if necessary.

For each stockholder with a 10% or greater ownership interest in the Licensee, provide the information requested below. If no owner owns 10% or more of the total shares, please indicate the two largest stockholders. Please complete a separate form for each legal entity listed below that is not an individual.

This information is for:

☒ Licensee Hartford Physician's Management Corp dba Hartford GYN Center
Next entity on the organizational chart: _____
☐ Real Property Owner _____

1. Name: Randy Lazarus
Address: 2301 Cherry Street Philadelphia, PA 19103
Telephone: 856-356-4000
Stockholder's percentage of ownership: 25%
Stockholder's occupation with the owner: N/A
2. Name: Malcolm Polis
Address: 6 Melissa Way Plymouth Meeting, PA 19402
Telephone: 856-356-4000
Stockholder's percentage of ownership: 33%
Stockholder's occupation with the owner: N/A
3. Name: Howard Sanders
Address: 1616 Victoria Point Circle, Weston, FL 33327
Telephone: 856-356-4000
Stockholder's percentage of ownership: 42%
Stockholder's occupation with the owner: N/A
4. Name: _____
Address: _____
Telephone: _____
Stockholder's percentage of ownership: _____
Stockholder's occupation with the owner: _____



STATE OF CONNECTICUT
DEPARTMENT OF PUBLIC HEALTH
FACILITY LICENSING & INVESTIGATIONS SECTION

LICENSURE APPLICATION - ADDITIONAL INFORMATION REQUIRED

**OUTPATIENT CLINICS, WELL CHILD CLINICS AND
FAMILY PLANNING CLINICS**

Please respond to all of the following questions:

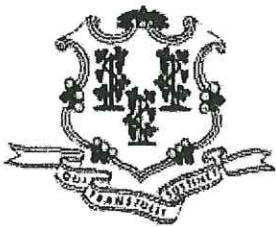
1. Hartford Gyn Center
Facility "d/b/a" (doing business as) Name
- 1 Main Street Suite N1 Hartford CT 06106 860-525-1900
Business Address City State Zip Code Telephone
2. Is this program a School Based Health Center? ☐ Yes ☒ No
3. Check the appropriate box/boxes describing the services to be provided by the clinic:
☐ Primary Care ☒ Family Planning
☐ Well Child Clinic ☒ Abortion Procedures
☐ Dental ☐ Mental Health Services

Be advised that mental health services does NOT include Substance Abuse Services.

4. Jamie Beers
Administrator (Your name needs to appear as it is shown on your Professional License).
5. Carol L. Watson, MD
Medical Director Dental Director (if applicable)
(Your name needs to appear as it is shown on your Professional License).
6. Days & Hours of Operation: Monday - Friday 8a-4p, Sat 7a-2p
You MUST notify this agency when ANY change to the noted day/time changes. We accept email and fax.
7. Please provide a list of services that will be provided. If this is for the addition of services, please also provide the resume of the person(s) who will be providing the services.

8. Business Fax Number: 860-522-9913
9. Business Email Address: jbeers@hartfordgyncenter.com
Mandatory for Emergency Preparedness purposes
10. Business Cell Phone Number with Texting capabilities of the Administrator: 860-377-8508
Mandatory for Emergency Preparedness purposes





Department of Administrative Services
Division of Construction Services
Office of State Fire Marshal

State Of Connecticut

Hartford Fire Department
Fire Marshal's Office
253 High Street
Hartford, CT 06103
Main: (860) 757-4530 Fax: (860) 722-8249

On this Tuesday, April 25, 2017, the Hartford Fire Marshal's Office conducted a inspection/plan review of the following premises:

Harford Gyn Center
1 Main St
Hartford, CT 06105

This inspection/plan review was used to determine the degree of compliance with the fire safety requirements of the Connecticut General Statutes Chapter 541 as authorized by Section 29-305 of the statutes. This facility was evaluated as classified as:

I-2
Health Care Facility

by the CONNECTICUT FIRE SAFETY CODE. As a result of this inspection/plan review, the following conditions were found:

- ☒ I. At the time of inspection, no code violations were identified.
Certificate of approval recommended.
- ☐ II. At the time of inspection/plan review, conditions were discovered to be contrary to be minimum requirements of those codes. An acceptable plan of correction was submitted (see attached information).
Certificate of approval recommended.
- ☐ III. At the time of inspection, conditions were discovered to be contrary to the minimum requirements of these codes. No approved plan of correction was submitted (see attached information).
Certificate of approval NOT recommended.
- ☐ IV. Based on the extreme hazard to the public safety discovered at the time of this inspection, this office is currently seeking an injunction from the court through our City Attorney for the purpose of closing or restricting usage of this facility by the public (see attached information).
Certificate of approval NOT recommended.



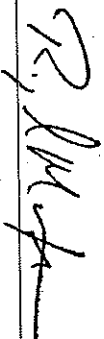

Fire Marshal

Tuesday, April 25, 2017
Date

OPERATIONAL PERMIT

On Friday, April 21, 2017, this facility, Hartford Gyn Center 1 Main St. Ste N1, located in Hartford, Connecticut, was inspected in accordance with CGS §29-292 and CGS §29-291(a) of the Connecticut Fire Safety and Fire Prevention Codes and is found to be in compliance with the aforementioned codes. Therefore, this establishment is permitted to operate as a(n) Group I-2 Health Care Facility pursuant to City of Hartford Ordinance 13-244.

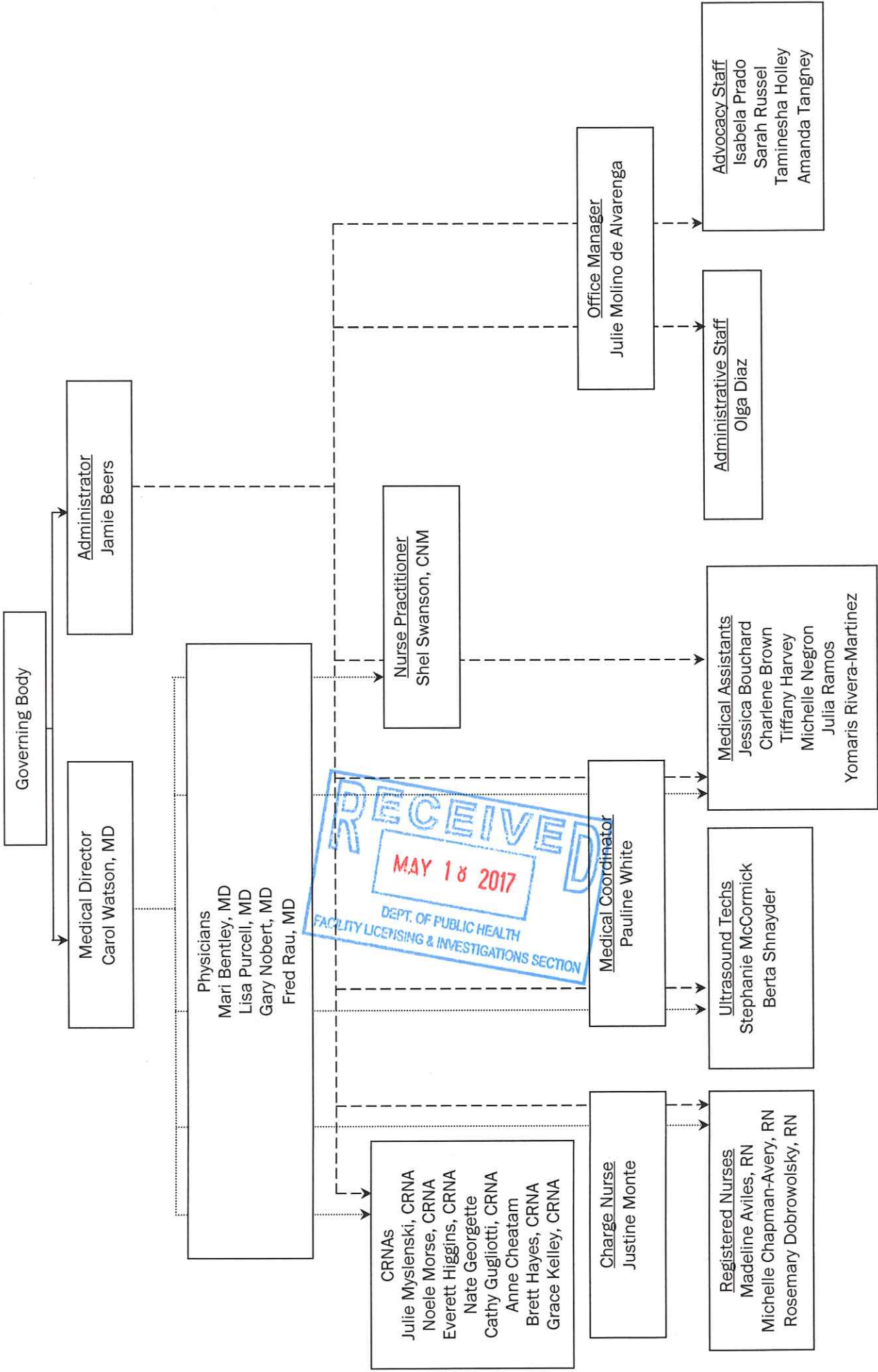
This permit shall expire on Saturday, April 21, 2018.

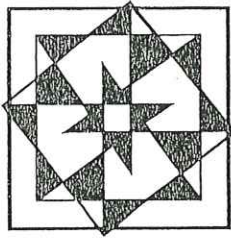


Deputy Chief Roger S. Martin Jr., Fire Marshal



Hartford GYN Center Organizational Chart





HARTFORD GYN CENTER

One Main Street
Unit N1
Hartford, CT 06106
860-525-1900
(Fax) 860-522-9913

List of Services:

- Terminations of Pregnancy:
 - 1st Trimester Abortions
 - 2nd Trimester Abortions
 - Anesthesia for Surgical Abortions
 - Medication Abortions
 - Follow-up Care
 - Fetal Health Abnormality Genetic Testing
- Walk-in Pregnancy Testing
- Ultrasound for Gestational Sizing
- Birth Control
 - The birth control pill
 - The vaginal ring
 - The implant
 - The Depo shot
 - Hormonal and non-hormonal IUDs
- Testing and treatment for Chlamydia and Gonorrhea
- Emergency Contraception
- Colposcopy
- LEEP
- Gynecological Care
- Sterilization
- Endometrial Ablation

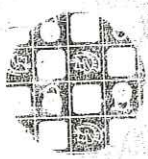


DOCUMENT INCLUDES VISIBILE FIBERS, CHEMICAL REACTIVE PROPERTIES AND FEATURES A FOLIO HOLOGRAM

2254

HARTFORD PHYSICIAN'S MGMT. CORP.

DBA HARTFORD GYN CENTER
ONE MAIN ST., UNIT N-1
HARTFORD, CT 06106



55-2/212



5/8/2017

Details on back

PAY TO THE ORDER OF TREASURER, STATE OF CONNECTICUT

\$**1,000.00

One Thousand and 00/100 *****

DOLLARS

VOID AFTER 90 DAYS

Treasurer State of Connecticut
Attn: Rose Mclellan
Facility Licensing & Investigations Sec.
410 Capitol Avenue, MS#12FLIS
Hartford, CT 06134



Original

Application for Family Planning Center

⑈002254⑈ ⑆021200025⑆ 6436627167⑈

2254

HARTFORD PHYSICIAN'S MGMT. CORP.
DBA HARTFORD GYN CENTER
TREASURER, STATE OF CONNECTICUT
Date 5/8/2017 Type Bill Reference 050517

Original Amt.
1,000.00

Balance Due
1,000.00

Check Amount

Payment
1,000.00
1,000.00

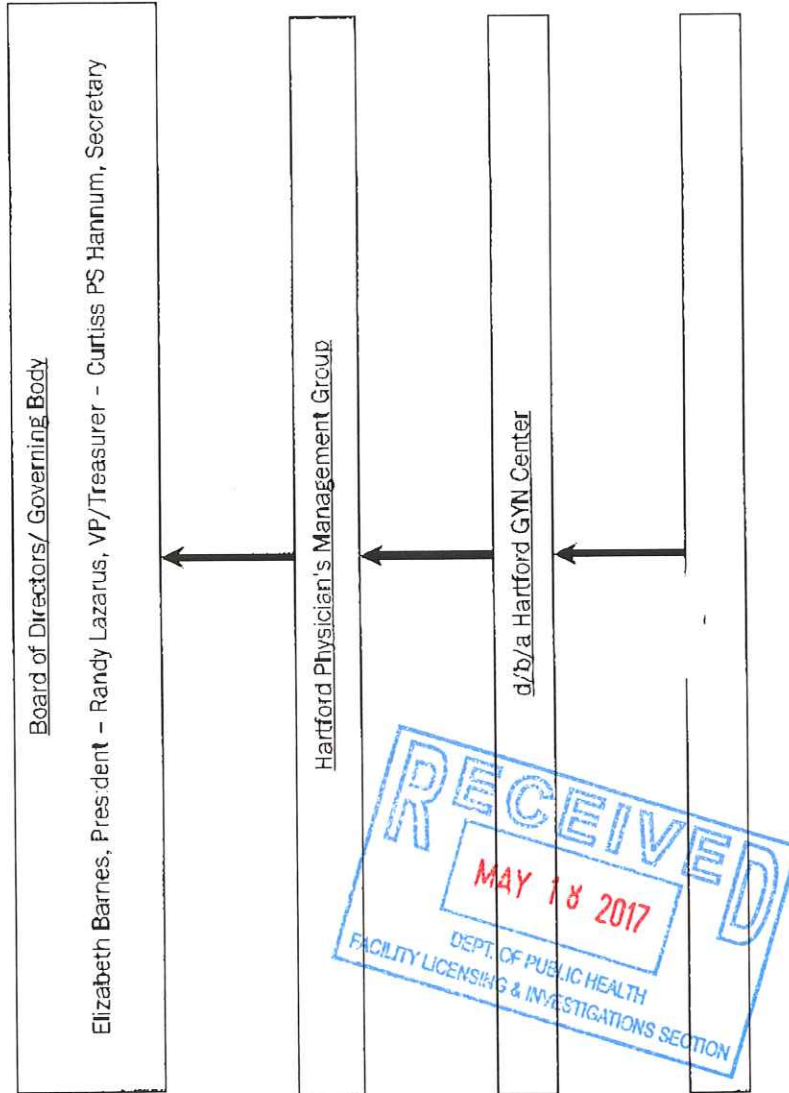


WELLS FARGO

Application for Family Planning Center

1,000.00

HGC Organizational Chart updated 6/27/17



Client#: 23215

HUMEDCORP

ACORD™

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

5/03/2017

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Marsh & McLennan Agency LLC One Executive Drive Somerset, NJ 08873		CONTACT NAME: PHONE (A/C, No, Ext): FAX (A/C, No): E-MAIL ADDRESS: somersetsupport@mma-ne.com	
		INSURER(S) AFFORDING COVERAGE INSURER A: Praetorian Insurance Company INSURER B: INSURER C: INSURER D: INSURER E: INSURER F:	
INSURED Hartford Physicians Management Corp. dba Hartford GYN Center One Main St., Unit N1 Hartford, CT 06106		NAIC # 37257	

COVERAGES

CERTIFICATE NUMBER:

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR VWD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:						EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$ \$ COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> NON-OWNED AUTOS						EACH OCCURRENCE \$ AGGREGATE \$ \$
	UMBRELLA LIAB <input type="checkbox"/> OCCUR EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED <input type="checkbox"/> RETENTION \$						EACH OCCURRENCE \$ AGGREGATE \$ \$
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? <input type="checkbox"/> Y <input checked="" type="checkbox"/> N (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below			WHC0200063	07/01/2015	07/01/2016	PER STATUTE <input checked="" type="checkbox"/> OTHER <input type="checkbox"/> E.L. EACH ACCIDENT \$1,000,000 E.L. DISEASE - EA EMPLOYEE \$1,000,000 E.L. DISEASE - POLICY LIMIT \$1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

Evidence of Insurance



CERTIFICATE HOLDER

CANCELLATION

Hartford Physician's Mgt Corp
 dba Hartford GYN Center
 One Main Street, Unit N1
 Hartford, CT 06106

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

Wm. C. Cilento

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Client#: 23215

HUMEDCORP

ACORD™

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

3/01/2017

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IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Marsh & McLennan Agency LLC One Executive Drive Somerset, NJ 08873		CONTACT NAME: PHONE (A/C, No, Ext): E-MAIL ADDRESS: somersetclsupport@mma-ne.com		FAX (A/C, No):
		INSURER(S) AFFORDING COVERAGE		NAIC #
		INSURER A: General Star Indemnity Company		37362
INSURED Hartford Physician's Mgt Corp d/b/a Hartford GYN Center One Main Street, Unit N-1 Hartford, CT 06106		INSURER B:		
		INSURER C:		
		INSURER D:		
		INSURER E:		
		INSURER F:		

COVERAGES

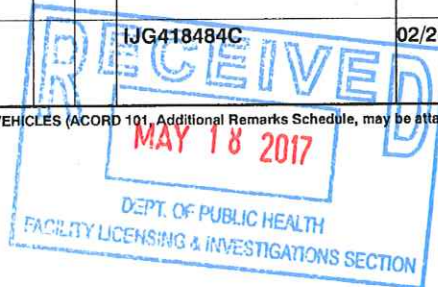
CERTIFICATE NUMBER:

REVISION NUMBER:

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INSR LTR	TYPE OF INSURANCE	ADDL SUBR INSR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:					EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$ \$ COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> NON-OWNED AUTOS					EACH OCCURRENCE \$ AGGREGATE \$ \$
	UMBRELLA LIAB <input type="checkbox"/> OCCUR EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED <input type="checkbox"/> RETENTION \$					PER STATUTE <input type="checkbox"/> OTH-ER <input type="checkbox"/> E.L. EACH ACCIDENT \$ E.L. DISEASE - EA EMPLOYEE \$ E.L. DISEASE - POLICY LIMIT \$
A	Professional Liability Workers Compensation and Employers' Liability ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? <input type="checkbox"/> Y <input checked="" type="checkbox"/> N (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	N/A	JG418484C	02/28/2017	02/28/2018	\$1,000,000 Per Claim \$5,000,000 Aggregate

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
Evidence of Insurance



CERTIFICATE HOLDER

CANCELLATION

Hartford Physician's Mgt Corp d/b/a Hartford GYN Center One Main Street, Unit N-1 Hartford, CT 06106	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE
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ACORD™

Client#: 23215

HUMEDCORP

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

3/02/2017

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PRODUCER Marsh & McLennan Agency LLC One Executive Drive Somerset, NJ 08873		CONTACT NAME: PHONE (A/C, No, Ext): E-MAIL ADDRESS: somersetclsupport@mma-ne.com		FAX (A/C, No):
		INSURER(S) AFFORDING COVERAGE		NAIC #
		INSURER A : General Star Indemnity Company		37362
INSURED Hartford Physician's Mgt Corp d/b/a Hartford GYN Center One Main Street, Unit N-1 Hartford, CT 06106		INSURER B :		
		INSURER C :		
		INSURER D :		
		INSURER E :		
		INSURER F :		

COVERAGES

CERTIFICATE NUMBER:

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:			IJG418484C	02/28/2017	02/28/2018	EACH OCCURRENCE \$1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$50,000 MED EXP (Any one person) \$2,000 PERSONAL & ADV INJURY \$1,000,000 GENERAL AGGREGATE \$3,000,000 PRODUCTS - COMP/OP AGG \$1,000,000 Deductible \$25,000 COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> NON-OWNED AUTOS						EACH OCCURRENCE \$ AGGREGATE \$ \$
	UMBRELLA LIAB <input type="checkbox"/> OCCUR EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED <input type="checkbox"/> RETENTION \$						PER STATUTE <input type="checkbox"/> OTH-ER <input type="checkbox"/> E.L. EACH ACCIDENT \$ E.L. DISEASE - EA EMPLOYEE \$ E.L. DISEASE - POLICY LIMIT \$
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? <input type="checkbox"/> Y/N (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below						



DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

Evidence of Insurance

CERTIFICATE HOLDER

CANCELLATION

Hartford Physician's Mgt Corp d/b/a Hartford GYN Center One Main Street, Unit N-1 Hartford, CT 06106	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE
---	--

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2013 -17

RENEWAL

STATE OF CONNECTICUT

DEPARTMENT OF PUBLIC HEALTH



Raul Pino, M.D., M.P.H.
Commissioner

Dannel P. Malloy
Governor
Nancy Wyman
Lt. Governor

TO: Administrator
Hartford GYN Center
1 Main Street
Hartford, CT 06106

FROM: Christine Jennings, Processing Technician

DATE: June 27, 2017

RE: Licensure Status

This letter is to serve as confirmation that upon receipt of the licensing inspection report, and acceptable plan of correction, if necessary, the following facility licenses will be **renewed effective July 1, 2017**.

Hartford GYN Center
1 Main Street
Hartford, CT 06106

Therefore, there will be **no time lapse** for your license(s).

If you have any questions or require additional information, please do not hesitate to contact this office at (860) 509-7444.

Please feel free to furnish my name and telephone number to any of the third party payers to provide confirmation of your continued licensure status.



Phone: (860) 509-7400 • Fax: (860) 509-7453
410 Capitol Avenue, P.O. Box 340308
Hartford, Connecticut 06134-0308
www.ct.gov/dph

Affirmative Action/Equal Opportunity Employer





STATE OF CONNECTICUT
DEPARTMENT OF PUBLIC HEALTH
FACILITY LICENSE & INVESTIGATIONS SECTION

Page 1 of

LICENSURE APPLICATION

[] INITIAL ☒ RENEWAL

NOTE: A separate application must be completed for each licensed level of care which is located at a different address. One (1) application may be submitted for multiple levels of care provided each level of care has the same name and the same licensee and is located at the same address.

In accordance with Section 19a-491 and/or Section 19a-506 of the Connecticut General Statutes, application is hereby made for a license to operate the following (please check the appropriate box/boxes that apply):

- | | |
|--|---|
| <input type="checkbox"/> Assisted Living Services Agency | <input type="checkbox"/> Infirmary Operated by an Educational Institution |
| <input type="checkbox"/> Children's Hospital | <input type="checkbox"/> Maternity Home |
| <input type="checkbox"/> Chronic and Convalescent Nursing Home | <input type="checkbox"/> Maternity Hospital |
| <input type="checkbox"/> Chronic Disease Hospital | <input type="checkbox"/> Outpatient Clinic |
| <input checked="" type="checkbox"/> Family Planning Clinic | <input type="checkbox"/> Outpatient Dialysis Unit |
| <input type="checkbox"/> General Hospital | <input type="checkbox"/> Outpatient Surgical Facility |
| <input type="checkbox"/> Home Health Care Agency | <input type="checkbox"/> Residential Care Home |
| <input type="checkbox"/> Homemaker-Home Health Aide Agency | <input type="checkbox"/> Rest Home with Nursing Supervision |
| <input type="checkbox"/> Hospice | <input type="checkbox"/> Well Child Clinic |
| <input type="checkbox"/> Hospital for Mentally Ill Persons | <input type="checkbox"/> Mental Health Day Treatment |
| <input type="checkbox"/> Mental Health Psychiatric OutPat. | <input type="checkbox"/> Mental Health Community Residence |
| <input type="checkbox"/> Mental Health Intermediate Tmt. | <input type="checkbox"/> Mental Health Residential Living |
| <input type="checkbox"/> Substance Abuse & Dependence | |

Please respond to all of the following questions:

1. Hartford GYN Center
Facility "d/b/a" (doing business as) Name
- One Main Street, Unit N1, Hartford, CT 06106 (860) 525-1900
Business Address City State Zip Code Telephone
- (same as above)
Mailing Address (if applicable) City State Zip Code



Phone: (860) 509-7444
Telephone Device for the Deaf (860) 509-719
410 Capitol Avenue - MS # 12HFL
P.O. Box 340308 Hartford, CT 06134

An Equal Opportunity Employer

2. Bed Capacity Requested (if applicable). If submitting this application for multiple levels of care, please list the bed capacity for each level of care being requested.

Level of Care	Beds/ Hemodialysis Stations	Bassinets (if applicable)
N/A		

3. 23-2149551
Federal Employer Identification Number

4. Disclose the legal entity which owns/operates the facility. (Note: The license will be issued to this entity.)

Hartford Physicians Management Corp.
Licensee

One Main Street, Unit N1, Hartford, CT 06106 (860) 525-1900
Business Address City State Zip Code Telephone

601 Chapel Ave East, Suite B, Cherry Hill, NJ 08004
Mailing Address (if applicable)

5. Is the above named legal entity a (please check the box which applies):

<input type="checkbox"/> Individual/Sole proprietor	<input type="checkbox"/> Municipality
<input type="checkbox"/> General Partnership	<input type="checkbox"/> Trust
<input type="checkbox"/> Limited Partnership	<input checked="" type="checkbox"/> Profit Corporation
<input type="checkbox"/> Limited Liability Company	
<input type="checkbox"/> Other: _____	
<input type="checkbox"/> Non-profit Corporation	

6. Is the above named entity authorized by the Office of the Secretary of State to transact business in the State of Connecticut and considered in Good Standing? ☒ YES ☐ NO

7. Please disclose the name, business address and telephone number of the Agent for Service for the Licensee.

Jamie Beers - Hartford, CT 06106 (860) 525-1900
Name Address Telephone

8. Attach an organizational chart which reflects the current ownership structure of the licensee and the licensee's relationship with the facility/agency.

FACILITY FAX # (860) 522-9913

2. Bed Capacity Requested (if applicable). If submitting this application for multiple levels of care, please list the bed capacity for each level of care being requested.

Level of Care	Beds/ Hemodialysis Stations	Bassinets (if applicable)
N/A		

3. 23-2149551
Federal Employer Identification Number

4. Disclose the legal entity which owns/operates the facility. (Note: The license will be issued to this entity.)

Hartford Physicians Management Corp.
Licensee

One Main Street, Unit N1, Hartford, CT 06106 (860) 525-1900
Business Address City State Zip Code Telephone

601 Chapel Ave East, Suite B, Cherry Hill, NJ 08004
Mailing Address (if applicable)

5. Is the above named legal entity a (please check the box which applies):

<input type="checkbox"/> Individual/Sole proprietor	<input type="checkbox"/> Municipality
<input type="checkbox"/> General Partnership	<input type="checkbox"/> Trust
<input type="checkbox"/> Limited Partnership	<input checked="" type="checkbox"/> Profit Corporation
<input type="checkbox"/> Limited Liability Company	
<input type="checkbox"/> Other: _____	
<input type="checkbox"/> Non-profit Corporation	

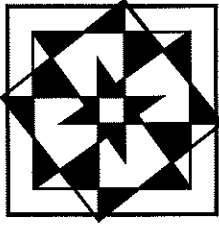
6. Is the above named entity authorized by the Office of the Secretary of State to transact business in the State of Connecticut and considered in Good Standing? ☒ YES ☐ NO

7. Please disclose the name, business address and telephone number of the Agent for Service for the Licensee.

Jamie Beers - Hartford, CT 06106 (860) 525-1900
Name Address Telephone

8. Attach an organizational chart which reflects the current ownership structure of the licensee and the licensee's relationship with the facility/agency.

FACILITY FAX # (860) 522-9913



HARTFORD GYN CENTER

One Main Street
Unit N1
Hartford, CT 06106
860-525-1900
(Fax) 860-522-9913

Hartford Physicians Management Corp d/b/a Hartford GYN Center

BOARD OF DIRECTORS

Randy Lazarus, President

Elizabeth Barnes, Vice President

Angela Lazarus, Treasurer/Secretary

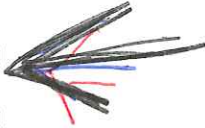
OFFICERS

Randy Lazarus

Elizabeth Barnes

EXTERNAL ORGANIZATIONAL CHART

Board of Directors



Arrow up

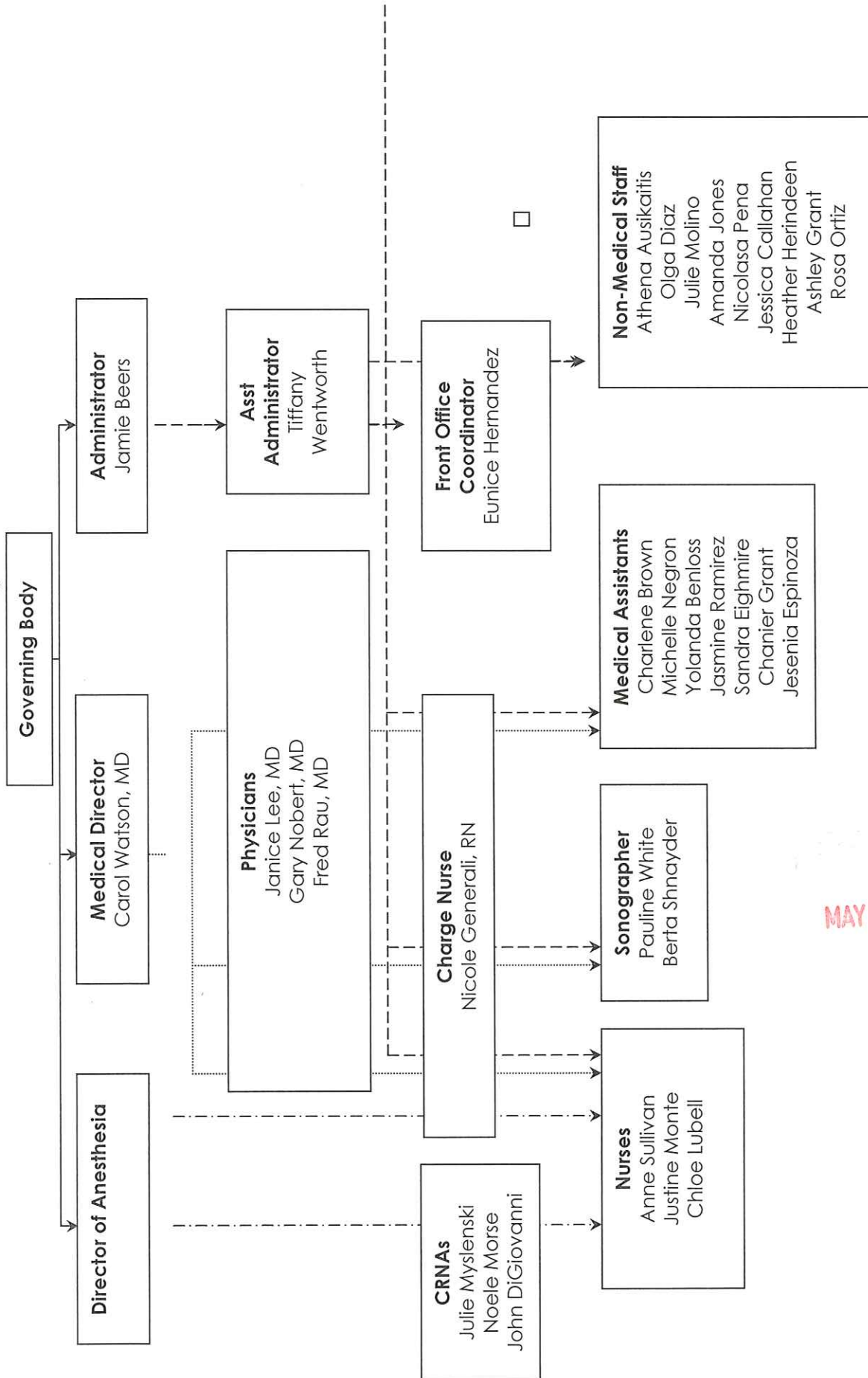
Hartford Physician's Management Corp



Arrow up

"d/b/a" Hartford GYN Center

Hartford GYN Center Organizational Chart



MAY 01 2013

9. Respond to the specific question that reflects the ownership structure of the licensee. **The Licensee is the legal entity which will be issued the license to operate.**
- A. If the Licensee is a general partnership, limited partnership or limited liability company, complete Form 1 (attached).
 - B. If the Licensee is a trust, complete Form 2 (attached) for the Licensee.
 - i. Attach a list including the name, address and telephone number of all trustees.
 - C. If the Licensee is a corporation (profit or non-profit), complete Form 3 (attached) for the Licensee. Complete a separate Form 3 for each additional corporate entity having 10% or greater ownership interest in the Licensee.
 - i. If the corporation is incorporated in a state other than Connecticut, please attach a Certificate of Good Standing from the Secretary of State of the state of incorporation.
 - ii. Attach a list including the name, address and telephone number of all officers and all directors of the corporation.
10. Attach a current copy of the facility's Certificate of malpractice and public liability insurance. (Note: Information Pages and Insurance Binders are unacceptable. Only Certificates of Insurance will be accepted.). Please note that All Behavioral Health levels of care, except hospitals, and RCH facilities are exempt from the malpractice requirement.
11. Attach evidence of current compliance with the worker's compensation insurance coverage requirements in the form of one of the following:
- A. a certificate of self-insurance issued by a worker's compensation commissioner pursuant to Section 31-284 of the Conn. General Statutes; or
 - B. a certificate of compliance issued by the Insurance Commissioner pursuant to Section 31-286 of the Conn. General Statutes; or
 - C. a Certificate of Insurance issued by any stock or mutual insurance company or mutual association authorized to write worker's compensation insurance in this state. (Note: Information pages and Insurance Binders are unacceptable. Only Certificates of Insurance will be accepted.)
12. Ownership of Real Property
Polis & Sanders (CT), LLC
 Name
One Main Street, Unit N1, Hartford, CT 06106 (860) 525-1900
 Business Address City State Zip Code Telephone
13. Annual Fire Marshal's Certificate of Inspection Form (attached) must be completed by the Local Fire Marshal. **NOTE: Hospitals must have a separate Fire Marshal's Certificate of Inspection completed for each building on the hospital's campus and each satellite listed on the hospital's license. Additional forms may be copied if necessary. Each completed Fire Marshal's Certificate of Inspection that is submitted must have an original signature. (Not applicable for ALSA's, Homemaker Home Health and Home Health Agencies).**

FOR OFFICE USE ONLY

CHECK # _____

AMOUNT \$ _____

DATE RECEIVED _____

INITIALS _____

14. Affidavit of Owner:

I attest that the information provided within this application is true and accurate and that any changes in the information submitted will be reported to the Department as required by law.

[Signature]
Signature

4/23/13
Date Signed

Check one as applicable:

- ☐ Individual/Sole Proprietor
☐ General/Managing Partner
☒ President of Corporation
☐ Secretary of Corporation
☐ Municipal Officer
☐ Trustee

State of Connecticut

New Jersey

County of

Camden

ss

April 23 2013

Personally appeared before me the above named Randy Lazarus and made oath to the truth of the statements contained in his/her answers to the foregoing questions.

TINA ADDIEGO
 Notary Public
 State of New Jersey
 My Commission Expires Mar 30, 2017

My Commission Expires:
(If Notary Public)

[Signature]
 Notary Public ☒
 Justice of the Peace ☐
 Town Clerk ☐
 Commissioner of the Superior Court ☐



STATE OF CONNECTICUT
DEPARTMENT OF PUBLIC HEALTH
FACILITY LICENSING & INVESTIGATIONS SECTION

Attachment 3

FORM 3

FACILITY/AGENCY NAME: Hartford Physicians Management Corp d/b/a Hartford GYN Center

Form 3 must be completed if the facility/agency or Real Property Owner is owned/operated by a corporation (profit or non-profit). Please copy additional sheets if necessary.

For each stockholder with a 10% or greater ownership interest in the Licensee, provide the information requested below. If no owner owns 10% or more of the total shares, please indicate the two largest stockholders. Please complete a separate form for each legal entity listed below that is not an individual.

This information is for:

☒ Licensee Hartford Physicians Management Corp d/b/a Hartford GYN Center
☐ Real Property Owner _____

1. Name: Randy Lazarus
Address: 2301 Cherry St, 16C, Philadelphia PA 19103
Telephone: (856) 346-4000
Stockholder's percentage of ownership: 25%
Stockholder's occupation with the owner: N/A
2. Name: Malcolm Polio
Address: 6 Melissa Way, Plymouth Meeting, PA 19402
Telephone: (856) 356-4000
Stockholder's percentage of ownership: 33%
Stockholder's occupation with the owner: N/A
3. Name: Howard Sanders
Address: 1606 Victoria Point Circle, Weston, FL 33327
Telephone: (850) 350-4000
Stockholder's percentage of ownership: 42%
Stockholder's occupation with the owner: N/A
4. Name: N/A
Address: _____
Telephone: _____
Stockholder's percentage of ownership: _____
Stockholder's occupation with the owner: _____

RECEIVED
MAY 01 2013

Client#: 153205

HUMEDCOR

ACORD™

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

4/19/2013

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Marsh & McLennan Agency LLC One Executive Drive, Suite 300 Somerset, NJ 08873 732 469-3000		CONTACT NAME: PHONE (A/C, No, Ext): E-MAIL ADDRESS: somersetsupport@mma-ne.com		FAX (A/C, No): 866-795-0981
		INSURER(S) AFFORDING COVERAGE		NAIC #
		INSURER A: Scottsdale Insurance Company		41297
INSURED Hartford Physicians' Management Corp dba Hartford GYN Center One Main St., Unit N1 Hartford, CT 06106-1806		INSURER B: INSURER C: INSURER D: INSURER E: INSURER F:		

COVERAGES

CERTIFICATE NUMBER:

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	GENERAL LIABILITY <input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> BI/PD Ded:5,000 GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC			BCS0029720	02/28/2013	02/28/2014	EACH OCCURRENCE \$1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$100,000 MED EXP (Any one person) \$Excluded PERSONAL & ADV INJURY \$1,000,000 GENERAL AGGREGATE \$3,000,000 PRODUCTS - COMP/OP AGG \$Excluded \$
A	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input checked="" type="checkbox"/> HIRED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> NON-OWNED AUTOS			BCS0029720	02/28/2013	02/28/2014	COMBINED SINGLE LIMIT (Ea accident) \$1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
	UMBRELLA LIAB EXCESS LIAB <input type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS-MADE DED \$ RETENTION \$						EACH OCCURRENCE \$ AGGREGATE \$ \$
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? <input type="checkbox"/> Y/N (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below		N/A				WC STATUTORY LIMITS <input type="checkbox"/> OTH-ER <input type="checkbox"/> E.L. EACH ACCIDENT \$ E.L. DISEASE - EA EMPLOYEE \$ E.L. DISEASE - POLICY LIMIT \$
A	Employee Benefits Liability			BCS0029720	02/28/2013	02/28/2014	\$1,000,000 Per Claim \$1,000,000 Aggregate

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

General Aggregate subject to \$10M policy cap

Evidence of Insurance

MAY 01 2013

CERTIFICATE HOLDER

CANCELLATION

Evidence of Insurance C/o Marsh
 & McLennan Agency LLC
 One Executive Drive, Suite 300
 Somerset, NJ 08873

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

Wm. C. Ciliberto

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ACORD™

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

5/09/2013

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

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PRODUCER Marsh & McLennan Agency LLC One Executive Drive, Suite 300 Somerset, NJ 08873 732 469-3000	CONTACT NAME: Denise Recifo PHONE (A/C, No, Ext): 732-941-3155 FAX (A/C, No): E-MAIL ADDRESS:																					
INSURED Hartford Physicians Management Corp. dba Hartford GYN Center 1 Main St. Hartford, CT 06106-1806	<table border="1"> <tr> <th colspan="2">INSURER(S) AFFORDING COVERAGE</th><th>NAIC #</th></tr> <tr> <td>INSURER A:</td><td>Hartford Underwriters Insurance</td><td>30104</td></tr> <tr> <td>INSURER B:</td><td></td><td></td></tr> <tr> <td>INSURER C:</td><td></td><td></td></tr> <tr> <td>INSURER D:</td><td></td><td></td></tr> <tr> <td>INSURER E:</td><td></td><td></td></tr> <tr> <td>INSURER F:</td><td></td><td></td></tr> </table>	INSURER(S) AFFORDING COVERAGE		NAIC #	INSURER A:	Hartford Underwriters Insurance	30104	INSURER B:			INSURER C:			INSURER D:			INSURER E:			INSURER F:		
INSURER(S) AFFORDING COVERAGE		NAIC #																				
INSURER A:	Hartford Underwriters Insurance	30104																				
INSURER B:																						
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COVERAGES

CERTIFICATE NUMBER:

REVISION NUMBER:

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INSR LTR	TYPE OF INSURANCE	ADDL SUBR INSR	WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	GENERAL LIABILITY						EACH OCCURRENCE \$
	<input type="checkbox"/> COMMERCIAL GENERAL LIABILITY						DAMAGE TO RENTED PREMISES (Ea occurrence) \$
	<input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR						MED EXP (Any one person) \$
							PERSONAL & ADV INJURY \$
							GENERAL AGGREGATE \$
							PRODUCTS - COMP/OP AGG \$
	GEN'L AGGREGATE LIMIT APPLIES PER:						\$
	<input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC						\$
	AUTOMOBILE LIABILITY						COMBINED SINGLE LIMIT (Ea accident) \$
	<input type="checkbox"/> ANY AUTO						BODILY INJURY (Per person) \$
	<input type="checkbox"/> ALL OWNED AUTOS						BODILY INJURY (Per accident) \$
	<input type="checkbox"/> HIRED AUTOS						PROPERTY DAMAGE (Per accident) \$
							\$
	UMBRELLA LIAB						EACH OCCURRENCE \$
	<input type="checkbox"/> EXCESS LIAB						AGGREGATE \$
	<input type="checkbox"/> DED <input type="checkbox"/> RETENTION \$						\$
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY			UB5B467427	07/01/2012	07/01/2013	X WC STATUTORY LIMITS OTH-ER
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH)	Y/N	N/A				E.L. EACH ACCIDENT \$1,000,000
	If yes, describe under DESCRIPTION OF OPERATIONS below						E.L. DISEASE - EA EMPLOYEE \$1,000,000
							E.L. DISEASE - POLICY LIMIT \$1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

Evidence of Insurance

CERTIFICATE HOLDER

CANCELLATION

Evidence of Insurance C/o Marsh
 & McLennan Agency LLC
 One Executive Drive, Suite 300
 Somerset, NJ 08873

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

Wm. C. Cilento

Client#: 153205

HUMEDCOR

ACORD™

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

4/17/2013

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Marsh & McLennan Agency LLC One Executive Drive, Suite 300 Somerset, NJ 08873 732 469-3000	CONTACT NAME: PHONE (A/C, No, Ext): E-MAIL ADDRESS: somersetclsupport@mma-ne.com FAX (A/C, No): 866-795-0981														
INSURED Hartford Physician's Mgt Corp d/b/a Hartford GYN Center One Main Street, Unit N-1 Hartford, CT 06106	<table border="1"> <tr> <th>INSURER(S) AFFORDING COVERAGE</th> <th>NAIC #</th> </tr> <tr> <td>INSURER A : Landmark American Insurance Com</td> <td>33138</td> </tr> <tr> <td>INSURER B :</td> <td></td> </tr> <tr> <td>INSURER C :</td> <td></td> </tr> <tr> <td>INSURER D :</td> <td></td> </tr> <tr> <td>INSURER E :</td> <td></td> </tr> <tr> <td>INSURER F :</td> <td></td> </tr> </table>	INSURER(S) AFFORDING COVERAGE	NAIC #	INSURER A : Landmark American Insurance Com	33138	INSURER B :		INSURER C :		INSURER D :		INSURER E :		INSURER F :	
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INSURER D :															
INSURER E :															
INSURER F :															

COVERAGES

CERTIFICATE NUMBER:

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	GENERAL LIABILITY <input type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC						EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$ \$ COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> NON-OWNED AUTOS						EACH OCCURRENCE \$ AGGREGATE \$ \$ WC STATU-TORY LIMITS <input type="checkbox"/> OTH-ER <input type="checkbox"/> E.L. EACH ACCIDENT \$ E.L. DISEASE - EA EMPLOYEE \$ E.L. DISEASE - POLICY LIMIT \$
	UMBRELLA LIAB <input type="checkbox"/> OCCUR EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED <input type="checkbox"/> RETENTION \$ <input type="checkbox"/>						
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? <input type="checkbox"/> Y/N (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below						
A	Professional Liability			BINDER1517157	02/28/2013	02/28/2014	\$1,000,000 per claim \$3,000,000 aggregate

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

Evidence of Insurance

CERTIFICATE HOLDER

CANCELLATION

Evidence of Insurance C/o Marsh
 & McLennan Agency LLC
 One Executive Drive, Suite 300
 Somerset, NJ 08873

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

Wm. C. Cilento

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Department of Public Safety
Division of Fire, Emergency & Building Services
Office of State Fire Marshal

State Of Connecticut

Hartford Fire Department
Fire Marshal's Office
253 High Street
Hartford, CT 06103
Main: (860) 757-4530 Fax: (860) 722-8205

On this Monday, January 14, 2013, the Hartford Fire Marshal's Office conducted a inspection/plan review of the following premises:

Hartford GYN Center
1 Main Street
Hartford, CT 06106


This inspection/plan review was used to determine the degree of compliance with the fire safety requirements of the Connecticut General Statutes Chapter 541 as authorized by Section 29-305 of the statutes. This facility was evaluated as:

Existing Health Care

As classified by the CONNECTICUT FIRE SAFETY CODE. As a result of this inspection/plan review, the following conditions were found:

- ☒ I. At the time of inspection, no code violations were identified.
Certificate of approval recommended.
- ☐ II. At the time of inspection/plan review, conditions were discovered to be contrary to be minimum requirements of those codes. An acceptable plan of correction was submitted (see attached information).
Certificate of approval recommended.
- ☐ III. At the time of inspection, conditions were discovered to be contrary to the minimum requirements of these codes. No approved plan of correction was submitted (see attached information).
Certificate of approval NOT recommended.
- ☐ IV. Based on the extreme hazard to the public safety discovered at the time of this inspection, this office is currently seeking an injunction from the court through our City Attorney for the purpose of closing or restricting usage of this facility by the public (see attached information).
Certificate of approval NOT recommended.

MAY 01 2013


Lieutenant Ewan Sheriff

Monday, January 14, 2013
Date



STATE OF CONNECTICUT
DEPARTMENT OF PUBLIC HEALTH
FACILITY LICENSING & INVESTIGATIONS SECTION

LICENSURE APPLICATION - ADDITIONAL INFORMATION REQUIRED

**OUTPATIENT CLINICS, WELL CHILD CLINICS AND
 FAMILY PLANNING CLINICS**

Please respond to all of the following questions:

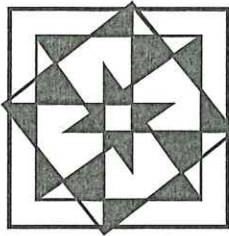
1. Hartford Gyn Center
 Facility "d/b/a" (doing business as) Name
1 Main St. Hartford CT 06106 860525-1900
 Business Address City State Zip Code Telephone
2. Check the appropriate box/boxes describing the services to be provided by the clinic:

<input type="checkbox"/> Primary Care	<input checked="" type="checkbox"/> Family Planning
<input type="checkbox"/> Well Child Clinic	<input checked="" type="checkbox"/> Abortion Procedures
<input type="checkbox"/> Dental	
3. Jamie Beers
 Administrator (Your name needs to appear as it is shown on your Professional License).
4. Carol L Watson, MD N/A
 Medical Director Dental Director (if applicable)
 (Your name needs to appear as it is shown on your Professional License).
5. Hours of Operation: Mon - Fri 7am - 5pm, Saturday 7am - 4pm
6. Please provide a list of services that will be provided.
7. **On initial application only**, submit a copy of the approval from the Office of Health Care Access to establish the clinic.
 Note: only those clinics which intend to provide primary care services are required to submit OHCA approval.

Jamie Beers
 Signature of Administrator

4/21/13
 Date Signed

MAY 01 2013



HARTFORD GYN CENTER

One Main Street
Unit N1
Hartford, CT 06106
860-525-1900
(Fax) 860-522-9913

List of Services:

- Terminations of Pregnancy:
 - 1st Trimester Abortions
 - 2nd Trimester Abortions
 - Anesthesia for Surgical Abortions
 - Medication Abortions
 - Follow-up Care
 - Fetal Health Abnormality Genetic Testing
- Walk-in Pregnancy Testing
- Ultrasound for Gestational Sizing
- Birth Control
 - The birth control pill
 - The vaginal ring
 - The implant
 - The Depo shot
 - Hormonal and non-hormonal IUDs
- Testing and treatment for Chlamydia and Gonorrhea
- Emergency Contraception
- Colposcopy
- LEEP
- Gynecological Care
- Sterilization
- Endometrial Ablation

MAY 01 2013

State of Connecticut

Payment Receipt

Transaction Date : 05/06/2013

Cashier : Christine Jennings

Receipt # : 3469468

Receipt Identification : HARTFORD PHYSICIAN'S MANAGEMENT CORP

Money Tendered

Type	Amount	Reference	Payer Name	Payment Comment
Check	\$1,000.00	2217	HARTFORD GYN CENTER	
Total : \$1,000.00				

Distribution

License	Use	Amount	Fee Desc	Business Name	Paid From	Paid To	BY
FP.0000009	REN	1,000.00	Renewal Fees	HARTFORD PHYSICIAN'S MANAGEMENT CORP	07/2013	06/2017	Christine Jennings

This receipt is not a license or an authorization to do business.

Close

DOCUMENT INCLUDES VISIBLE FIBERS, CHEMICAL REACTIVE PROPERTIES AND FEATURES A FOLIO HOLOGRAM

HARTFORD PHYSICIAN'S MGMT. CORP.
 DBA HARTFORD GYN CENTER
 OPERATING ACCOUNT
 ONE MAIN ST., UNIT N-1
 HARTFORD, CT 06106

ID Bank
 America's Most Convenient Bank®

55-136/312

2217

5/2/2013

PAY TO THE ORDER OF Treasurer, State of CT \$ **1,000.00

One Thousand and 00/100 ***** DOLLARS

VOID AFTER 90 DAYS

MEMO Department of Public Health
 Attn: Rose McClellan
 410 Capitol Ave
 MS: FLIS
 Hartford, CT 06134

Renewal Fee for License # 0009

HEAT SENSITIVE RED IMAGE DISAPPEARS WITH HEAT

PAPER CONTAINS TONER ADHESION PROPERTIES

TRUE WATERMARK PAPER - HOLD TO LIGHT TO VIEW

1100221711 10312013601 126252819911

HARTFORD PHYSICIAN'S MGMT. CORP. • DBA HARTFORD GYN CENTER
 OPERATING ACCOUNT

Treasurer, State of CT

2217

Date	5/2/2013	Type	Reference	Original Amt.	Balance Due	Discount	Payment
		Bill		1,000.00	1,000.00		1,000.00
						Check Amount	1,000.00

1,000.00

TD BANK

2013

STATE OF CONNECTICUT
DEPARTMENT OF PUBLIC HEALTH
DIVISION OF HEALTH SYSTEMS REGULATION

DHSR

Page 1 of _____

LICENSING INSPECTION REPORT

Name and Address of Entity

Signature of DHSR Staff

Hartford Physician's Management Corp. of Hartford

dba Hartford GYN Center

1 Main Street

Hartford, CT 06106

Marsha A. Mehmel, RN, MPA, Nurse Consultant

Licensure Category :

abortion clinic

Licensed Capacity : # 0009

Census : N/A

Licensed Capacity : _____

Census : _____

Date(s) of Onsite Inspection : April 5, 2013

Date(s) Additional Information Obtained: _____

Personnel Contacted : Jamie Beers, Admin.; Carol Watson, MD; Julie Myslenski, CRNA;

REVIEW/FINDINGS/PROCESS (complete all applicable) Nicole Generali, Recovery Room Head RN

- ☒ Licensing Inspection: ☐ Initial ☒ Renewal ☐ Other: _____
- ☐ Revisit for the Purpose of _____
- ☐ See Complaint Investigation # _____
- ☐ See Reportable Event Investigation # _____
- ☐ See Certification file.
- ☒ Violations of the Public Health Code of the State of Connecticut and/or Regulations of Connecticut State Agencies were identified at the time of this inspection.
See violation letter dated _____
- ☐ Citation # _____ was issued to this facility as a result of this inspection.
- ☐ Violations of the Public Health Code of the State of Connecticut and/or Regulations of Connecticut State Agencies were not identified at the time of this inspection.
- ☐ Citation # _____ was verified as corrected. _____ was notified that the licensee was no longer required to post Citation (see narrative).
- ☐ Citation # _____ was not corrected (see narrative).
- ☐ Narrative Report / Additional Information Attached.
- ☐ Referral(s) to: _____

REPORT SUBMITTED BY Marsha A. Mehmel, RN, MPA

DATE OF REPORT 04/10/13

☒ Approval for Issuance of License granted by : Ann D. Roufen 4-10-13
Supervisor / Title Date

ENTITY: Hartford Physician's Management Corp. of Hartford
dba Hartford GYN Center

DATE(S) OF VISIT: April 5, 2013 Page 2 of 2

LICENSING INSPECTION NARRATIVE REPORT

- ✓ Licensure inspection conducted onsite.
- ✓ An entrance conference was held.

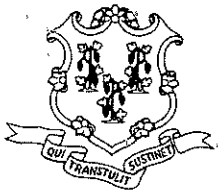
The following was inspected/reviewed:

- ✓ facility inspection
- ✓ personnel files
- ✓ quality assurance/clinical record review audit
- ✓ fire drill log/disaster plan
- ✓ agency policies and procedures
- ✓ clinical record review
- ✓ staff interviews
- ✓ in-service (training) log
- ✓ OSHA/infection control policies/procedures
- ✓ review of bylaws, including organizational chart
- ✓ CLIA certificate

- ✓ An exit conference was held.

Violations of the Public Health Code of the State of Connecticut were identified as a result of this unannounced inspection.

SIGNATURE Marsha A. Melimel, RN, MPA
Nurse Consultant



STATE OF CONNECTICUT

DEPARTMENT OF PUBLIC HEALTH

August 22, 2013

Jamie Beers, Administrator
Hartford Gyn Center
1 Main Street
Hartford, CT 06106

Dear Jamie Beers:

An unannounced visit was made to Hartford Gyn Center on April 5, 2013 by a representative of the Facility Licensing and Investigations Section of the Department of Public Health for the purpose of conducting a licensing inspection.

Attached are the violations of the Regulations of Connecticut State Agencies and/or General Statutes of Connecticut which were noted during the course of the visit.

You may wish to dispute the violations and you may be provided with the opportunity to be heard. If the violations are not responded to by September 5, 2013 or if a request for a meeting is not made by the stipulated date, the violations shall be deemed admitted.

Please address each violation with a prospective plan of correction which includes the following components:

1. Measures to prevent the recurrence of the identified violation, (e.g., policy/procedure, inservice program, repairs, etc.).
2. Date corrective measure will be effected.
3. Identify the staff member, by title, who has been designated the responsibility for monitoring the individual plan of correction submitted for each violation.

We do not anticipate making any practitioner referrals at this time.

If there are any questions, please do not hesitate to contact this office at (860) 509-7400.

Respectfully,

Loan D Nguyen

Loan Nguyen RN, MSN, BC
Supervising Nurse Consultant
Facility Licensing and Investigations Section



Phone: (860) 509-7400
Telephone Device for the Deaf (860) 509-7191
410 Capitol Avenue - MS # 12HSR
P.O. Box 340308 Hartford, CT 06134
An Equal Opportunity Employer

DATE(S) OF VISIT: April 5, 2013

THE FOLLOWING VIOLATION(S) OF THE REGULATIONS OF CONNECTICUT
STATE AGENCIES AND/OR CONNECTICUT GENERAL STATUTES
WERE IDENTIFIED

The following is a violation of the Regulations of Connecticut State Agencies Section 19-13-D 51 Pharmaceuticals (4)

1. Based on observation, interview with clinic staff and review of clinic policies, the clinic staff failed to follow the clinic's own policies. The findings include:

A. Observation in the recovery room on 04/05/13 during a tour of the clinic with the Administrator, the Certified Registered Nurse Anesthetist (CRNA), the Medical Director and the Director of Nursing, identified two oxygen tanks (705 liters each) with an expiration date of March 2013, an opened bottle of GeriCare Extra-Strength Acetaminophen 500mg (1000 tablets) with an expiration date of March 2013, and failed to identify compliance with the clinic's own policy on pharmaceuticals.

The clinic policy directed the Director of Nursing to identify discontinued or obsolete drugs and devices, and dispose of in compliance with applicable State and Federal regulations.

B. Surveyor observation during the tour on 04/05/13 also identified in Operatory Room # 1 an unopened bottle of succinylcholine chloride 200mg/20mg/ml in the anesthesia medication cart with an expiration date of 09/01/12, and failed to identify compliance with the clinic's own policy on pharmaceuticals.

The clinic policy directed the Director of Nursing to identify discontinued or obsolete drugs and devices, and dispose of in compliance with applicable State and Federal regulations.

The following is a violation of the Regulations of Connecticut State Agencies Section 19-13-D 51 Pharmaceuticals (1) (2) and/or (4)

2. Based on observation and staff interview, the clinic staff failed to obtain physician's orders prior to providing the patient's prescription medications. The findings include:

i. Surveyor observation during a tour of the clinic identified recovery room nurses in the process of bagging and labeling prescription birth control methods. Interview with the Director of Nursing on 04/05/13 indicated that the recovery room nurses prefilled the birth control method for "expediency", and failed to identify the obtention of a physician's order prior to providing the patient with prescription birth control.

Interview with the Medical Director on 04/05/13 indicated that prior to the surgical procedure, patients

DATE(S) OF VISIT: April 5, 2013

THE FOLLOWING VIOLATION(S) OF THE REGULATIONS OF CONNECTICUT
STATE AGENCIES AND/OR CONNECTICUT GENERAL STATUTES
WERE IDENTIFIED

would meet with a counselor, and the discussion would include the birth control method chosen by the patient. The Medical Director would then sign the order for the specific birth control method, the order would be included in the patient's record and follow the patient to the recovery room after the procedure. The Medical Director was not aware of the practice of filling the prescription birth control method prior to physician authorization;

ii. Interview and review of the clinic policies failed to identify policies or guidelines allowing nurses to dispense prescription medications.

HARTFORD GYN

April 2013

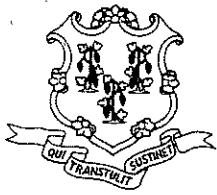
Identifiers

Administrator: Jamie Beers

Medical Director: Dr. Carol Watson

CRNA: Julie Myslenski

Director of Nursing: Nicole Generali



STATE OF CONNECTICUT

DEPARTMENT OF PUBLIC HEALTH

August 22, 2013

Jamie Beers, Administrator
Hartford Gyn Center
1 Main Street
Hartford, CT 06106

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410 Capitol Avenue - MS # 12HSR
P.O. Box 340308 Hartford, CT 06134
An Equal Opportunity Employer

DATE(S) OF VISIT: April 5, 2013

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STATE AGENCIES AND/OR CONNECTICUT GENERAL STATUTES
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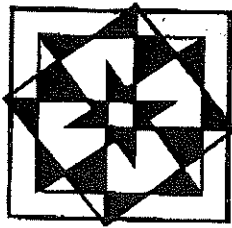
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STATE AGENCIES AND/OR CONNECTICUT GENERAL STATUTES
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Hartford GYN Center
One Main Street, Unit N1
Hartford, Connecticut 06106
860-525-1900
(Fax) 860-522-9913
www.hartfordgyncenter.com

*POC
acceptable
mamedamel,
RN, MPA
08/30/13*

August 26, 2013

Loan Nguyen RN, MSN, BC
410 Capitol Avenue MS #12HSR
P.O. Box 340308
Hartford, CT 06134

Dear Loan Nguyen,

Thank you for sending me the report of our unannounced visit on April 5, 2013. I wish to submit our plans of correction for each violation at this time.

Regarding violation of the Regulation of Connecticut State Agencies Section 19-13-D 51 Pharmaceuticals (4):

- A. On April 5, 2013 while inspector was present, a phone call was placed by Administrator, to Aero All Gas to request the removal and replacement of the 2 expired oxygen tanks. This request was handled immediately and the tanks were replaced on April 8, 2013. The expired Acetaminophen 500 mg tablets were discarded in a kitty litter/water solution on April 5, 2013 and a new bottle was opened and put into use immediately upon inspection. The Senior Nurse, has assumed the responsibility of ensuring all pharmaceuticals and oxygen tanks utilized in the PACU are current and not outdated. Logs have been implemented in order to keep on top of all gas tank expirations as well as monthly checks of the contents of the PACU locked medicine cabinets. Two RNs will cosign these logs monthly.
- B. On April 5, 2013, the expired bottle of Succinylcholine chloride 200mg/20/mg/mL from OR 1 was removed and discarded in a kitty litter/water solution. At HGC's quarterly quality assurance meeting on 5-7-13, it was decided that the CRNA who is scheduled for the last procedure day of the month, will be responsible for thoroughly inspecting the drugs and contents of the anesthesia carts for expiration and integrity. They will report any needs to the Senior Nurse who will order any supplies or medications due to expire. Logs have been implemented monitoring the expiration of all medications and supplies in the anesthesia carts. These will be checked monthly by the Medical Coordinator, effective 8-19-13.

Regarding violation of the Regulations of Connecticut State Agencies Section 19-13-D-51 Pharmaceuticals (1) (2) and/or (4):

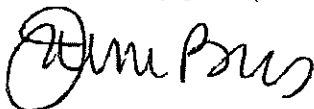
POC
acceptable
NPMedical
RN, RPA
08/30/13

- A. On April 5, 2013 while inspector was present, it was determined that the RNs in the recovery room would cease and desist immediately (4/5/13) any further pre-filling of prescription birth control to patients. The RNs wait until after the patient and her medical record have made it to the recovery room and the RNs read the written orders from the operating physician before dispensing any and all medications. This decision was followed up with a meeting of all nursing staff and Medical Director at the end of the procedure day on April 5, 2013, to reiterate the importance of not dispensing medications without clearly written orders from the operating physician.

These plans of correction will be monitored by the Administrator.

If you have any questions or any concerns, please feel free to contact me at 860-525-1900.

Respectfully yours,



Jamie Beers
Administrator

STATE OF CONNECTICUT

DEPARTMENT OF PUBLIC HEALTH



Raul Pino, M.D., M.P.H.
Commissioner

Dannel P. Malloy
Governor
Nancy Wyman
Lt. Governor

Facility Licensing and Investigations Section

To: Jamie Beers, Administrator
Hartford GYN Center
1 Main Street
Hartford, CT 06106

From: Christine Jennings, Processing Technician

Date: April 19, 2017

Subject: License Renewal Application Materials

In accordance with Connecticut General Statutes, Section 19a-491(d); a licensing and inspection fee is required for the renewal of your facility's license. Acceptable forms of payment include a check, draft or money order made payable to the Treasurer, State of Connecticut. In order to avoid any processing delays, please attach checks, drafts or money orders directly to your renewal application.

It is essential that all information requested be submitted prior to the issuance of your renewal license, including the licensing and inspection fee. The following reminders are offered:

- Spoke to Rose - \$1000*
1. Please type or print in black ink.
 2. Please complete all applicable items.
 3. A separate application is required for each licensed location. However, if your facility has more than one licensed level of care at a single location (for example, a CCNH/RHNS and a Residential Care Home) and the licensee for each level of care is the same entity, a single Application Form may be submitted. Please be sure to indicate on the application each level of care for which you are requesting licensure and submit all of the additional information required for each separate licensure level. **A separate licensing and inspection fee is required for each licensed level of care.**



Phone: (860) 509-7400 • Fax: (860) 509-7543
410 Capitol Avenue, P.O. Box 340308
Hartford, Connecticut 06134-0308
www.ct.gov/dph

Affirmative Action/Equal Opportunity Employer



STATE OF CONNECTICUT

DEPARTMENT OF PUBLIC HEALTH



Raul Pino, M.D., M.P.H.
Commissioner

Dannel P. Malloy
Governor
Nancy Wyman
Lt. Governor

Facility Licensing and Investigations Section

To: Jamie Beers, Administrator
Hartford GYN Center
1 Main Street
Hartford, CT 06106

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1. Please type or print in black ink.
2. Please complete all applicable items.
3. A separate application is required for each licensed location. However, if your facility has more than one licensed level of care at a single location (for example, a CCNH/RHNS and a Residential Care Home) and the licensee for each level of care is the same entity, a single Application Form may be submitted. Please be sure to indicate on the application each level of care for which you are requesting licensure and submit all of the additional information required for each separate licensure level. **A separate licensing and inspection fee is required for each licensed level of care.**



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Hartford, Connecticut 06134-0308
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STATE OF CONNECTICUT

DEPARTMENT OF PUBLIC HEALTH



Raul Pino, M.D., M.P.H.
Commissioner

Dannel P. Malloy
Governor
Nancy Wyman
Lt. Governor

Facility Licensing and Investigations Section

Failure to comply with all informational requirements will necessitate returning the forms for correction, thereby delaying the issuance of your license. **Documents will be returned to the facility for correction only once.** The need for additional corrections will require an **office visit**.

The application materials must be completed within thirty (30) days of the expiration date of the license. If for any reason the forms and/or fee cannot be submitted within this time frame, this office must be notified **in writing** of the reason for the delay. Please be aware that failure to submit application materials within thirty (30) days will delay the issuance of your license.

Please do not hesitate to contact the Licensure Processing Unit at (860) 509-7444 if you require clarification or any additional information.

Enclosure



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2013



STATE OF CONNECTICUT

DEPARTMENT OF PUBLIC HEALTH

FACILITY LICENSING & INVESTIGATIONS SECTION

TO: Jamie Beers, Administrator
Hartford GYN Center
1 Main Street
Hartford, CT 06106

FROM: Christine Jennings, Processing Technician

DATE: June 14, 2013

SUBJECT: License

Enclosed is your license to operate an Outpatient Clinic.

This license must be posted in a conspicuous place as required by the General Statutes of Connecticut Section 19a-493.

The license is in effect only for the operation of the facility as it is now organized. It is not transferable to any other person, facility or address.

If we can be of any assistance, please do not hesitate to call the licensure office, Department of Public Health Facility Licensing & Investigations Section at (860) 509-7444.

Enclosure



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Telephone Device for the Deaf (860) 509-7191
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DEPARTMENT OF PUBLIC HEALTH

FACILITY LICENSING & INVESTIGATIONS SECTION

To: Administrator
Hartford Gyn Center
1 Main Street
Hartford, CT 06106

From: Christine Jennings, Processing Technician

Date: April 2, 2013

Subject: License Renewal Application Materials

Enclosed please find the Renewal Application Materials required for your facility's license. If you have any questions on these forms, please do not hesitate to contact the Licensure Processing Unit at (860) 509-7444.

In accordance with Connecticut General Statutes, Section 19a-491(d); a licensing and inspection is required for this facility.

The license is in effect only for the operation of the facility as it is now organized. It is not transferable to any other person, facility or address.

A licensing and inspection fee is ~~not~~ required for Family Planning Clinics.

It is essential that **all** information requested be submitted prior to the issuance of your renewal license, including the licensing and inspection fee. The following reminders are offered:

1. Please type or print in black ink.
2. Please complete all applicable items.
3. A separate application is required for each licensed location. However, if your facility has more than one licensed level of care **at a single location** (for example, a CCNH/RHNS and a Residential Care Home) and **the licensee for each level of care is the same entity**, a single Application Form may be submitted. Please be sure to indicate on the application each level of care for which you are requesting licensure and submit all of the additional information required for each separate licensure level. **A separate licensing and inspection fee is not required for this level of care.**



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Failure to comply with all informational requirements will necessitate returning the forms for correction, thereby delaying the issuance of your license. **Documents will be returned to the facility for correction only once.** The need for additional corrections will require an **office visit**.

The application materials must be completed within thirty-(30) days. If for any reason the forms and/or fee cannot be submitted within this time frame, this office must be notified **in writing** of the reason for the delay. Please be aware that failure to submit application materials within thirty-(30) days will delay the issuance of your license.

Please be advised that an **Annual Fire Marshal's Certificate** must be submitted in the years that you are not completing the entire renewal package. The blank Fire Marshal's Certificate will be mailed to your facility approximately 3 months prior to the month of the license expires in.

Please do not hesitate to contact the Licensure Processing Unit at (860) 509-7444 if you require clarification or any additional information.

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