

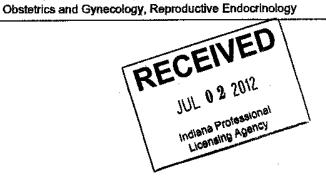
None in medical school

APPLICATION FOR A LICENSE TO PRACTICE MEDICINE / OSTEOPATHIC MEDICINE IN INDIANA

State Form 29495 (R15 / 3-12) Approved by State Board of Accounts, 2012

MEDICAL LICENSING BOARD OF INDIANA PROFESSIONAL LICENSING AGENCY 402 West Washington Street, Room W072 Indianapolis, Indiana 46204 Telephone: (317) 234-2060 E-mail: pla3@pla.IN.gov www.pla.IN.gov

* Your Social Security number is being requested by this state agency in accordance wit		ndatory and this record cannot be processed without it.
** This information is being requested for workforce statistical purposes only; disclosure	is voluntary.	
FOR OFFICE USE ONLY		- Hickory Cx Costald
Application fee Date fee paid (month, tay, ye	") // a	The state of the s
Receipt number Application number		
License issuance date front	day, year	
010728474	20/13	
Permit fee Date fee paid (month, tay, yb.	"2	
Receipt number Permit number 9905	a377A	
Permit issuance date (month, day, year)		
1/0/1		
,		
DO NOT WRITE	ABOVE THIS LINE	W.
	INFORMATION	
Name of applicant (last. first, middle) CATALDO, Nicholas Adrian	Check one:	Social Security number *
Address of practice (number and street or rural route) 3265 Brook Highland Trace		
City, stats, and ZIP code Birmingham, AL 35242		
		Race ** Gender **
Telephone number (daytime) Date of birth (month, day, year) May 15, 1954		Male Female
Mailing address (number and street, city, state, and ZIP code) [if different from above] PO Box 380904, BirmIngham, AL. 35238-0904		
E-mail address	National Provider Identifier num	nber
E Hon accorde	113430799	17.
	1 1 3 38 7019	
TEMPORARY PE	RMIT INFORMATION	
Do you desire a temporary permit?		
	FORATUIC DECREE CRANT	
DOCTOR OF MEDICINE / OST		
A foreign medical school must meet L	Location Location	Date of graduation (month, day, year)
Harvard Medical School	Boston, MA	June 4, 1981
Specialties	Board certification (list ABMS of	



State where Board Exa	m was taken:	Massact	usetts (I,	ll); Rhode Isk	and (III)				
Examination	Most Recent Date Taken (month/year)	Res	ults Failed	Number of Attempts	Examination	Most Rece Date Take (month/yea	December 1	ılts Failed	Number o
FLEX Pre-1985	(mensily early				NBOME Part II	, , , , , ,			
FLEX Component 1					NBOME Part III				
FLEX Component 2					COMLEX-USA Level 1				
LMCC - Single					COMLEX-USA Level 2,	CE			
LMCC - Part I					COMLEX-USA Level 2	PE			
LMCC - Part II					COMLEX-USA Level 3				
NBME Part I	6/1978	7		one	COMVEX				
NBME Part II	4/1981	7		one	USMLE Step I			$\overline{\Box}$	
N8ME Part III	3/1982	7		ohe	USMLE Step II, CS				
SPEX		一			USMLE Step II, CK				
NBOME Part I		H			USMLE Step III				
University of California, Berkeley Berkeley, CA MEDICAL / OSTEOPATHIC EDU A foreign medical school must meet LCME standar NAME OF SCHOOL LOCATION Harvard Medical School Boston, MA			ME standards at the time						
POST NAME OF PR	All ş	(Include	ALL inte	ernships, res	IN AND TRAINING IN TI idencies and / or fellow E accredited at the time of ION	vships)	<u> </u>	ACGMI	E/AOA/F REDITED?
The Miriam Hospital/Brov	wn Univ. Program in	Provi	dence, R	1		July, 1981	June 1982	✓Ye	s 🔲 No
Stanford University Medical Center Stanford, CA					July, 1982	June, 1985	✓Ye	s 🗆 No	
University of California, San Francisco San Francisco		rancisco	, CA		July, 1991	June, 1993		s 🗆 No	
								TOY:	↓ □ №
		1				RF	CEIV	015	

Indiana Professional Licensing Agency

LIST ALL PLACES YOU HAVE LIVED SINCE GRADUATION FROM MEDICAL OR OSTEOPATHIC SCHOOL (If necessary, attach separate pages.)				
GENERAL LOCATION	DATE (month, day, year)			
Providence, RI	July, 1981 to June, 1982			
San Francisco Bay Area, CA	July, 1982 to April, 2005			
Birmingham, AL	April, 2005 to present			

LIST ALL PLACES OF EMPLOYMENT SINCE GRADUATION FROM MEDICAL OR OSTEOPATHIC SCHOOL (If necessary, attach separate pages.)				
NAME AND ADDRESS OF EMPLOYER	RESPONSIBILITIES	DATE (month, day, year)		
Miriam Hospital, Providence, RI	Medicine Intern	July, 1981—June, 1982		
Stanford University, Stanford, CA	OB/GYN Resident	July, 1982-June, 1985		
Kaiser Foundation Hospitals, Oakland, CA	OB/GYN Physician	August, 1985 to January, 1987		
County of Santa Clara, San Jose, CA	OB/GYN Physician	March, 1987 to June, 1996		
University of California, San Francisco, CA CONTINUED ON	GYN Fellow	July, 1991 to June, 1993		

i	LIST ALL STATES, INCLUDING INDIANA. IN WHICH YOU HAVE BEEN LICENSED TO PRACTICE ANY REGULATED HEALTH OCCUPATION, REGARDLESS OF STATUS					
STATE	TYPE OF LICENSE, CERTIFICATE, REGISTRATION OR PERMIT	NUMBER	DATE ISSUED	CURRENT STATUS		
CA	Medicine	G50301	6/30/1983	Active		
AL	Medicine	MD.26223	8/25/2004	Active		
MS	Medicine	10288	4/17/2006	Inactive		



PLACES OF EMPLOYMENT SINCE GRADUATION FROM MEDICAL SCHOOL

NAME AND ADDRESS	RESPONSIBILITIES	DATE
The Miriam Hospital, Providence, RI	Intern (Medicine)	July, 1981—June, 1982
Stanford University, Stanford, CA	Resident (OB/GYN)	July, 1982—June, 1985
Kaiser Foundation Hospitals, Oakland,	OB/GYN Physician	Aug. 1985Jan. 1987
CA		·
County of Santa Clara, San José, CA	OB/GYN Physician	March, 1987—June, 1996
University of California, San Francisco,	Fellow (GYN)	July, 1991—June, 1993
CA		
University of California, San Francisco,	Research	July, 1993 - March, 1997
CA		
Stanford University, Stanford, CA	GYN Physician	March, 1997—June, 2004
Planned Parenthood of Alabama,	GYN Physician	Aug. 2004 - Mar. 2010
Birmingham, AL		
Birmingham Health Care, Birmingham,	GYN Physician	May, 2010 - April, 2011
AL	·	
Self-employed consultant, Birmingham,	Histories/physicals;	March, 2010 present
AL	GYN physician;	<u> </u>
	research	



If your answer is "Yes" to any of the following, explain fully in a signed and notarized statement, including date and disposition. If malpractice, provide name(s) of plaintiff(s), case Information, detailed description of court documents, if applicable. Letters from attorneys or insurance companies are not accepted in lieu of your is grounds for permanent revolution of a license or permit issued pursuant to this application.	case / events and settleme	nt amount,	including		
1. Has disciplinary action ever been taken regarding any health license, certificate, registration or permit ye	ou hold or have held?	Yes	√ No		
2. Have you ever been denied a license, certificate, registraton or permit to practice medicine, osteopathic medicine or any regulated health occupation in any state (including Indiana) or country, or surrendered your license?					
3. Are you now being, or have ever been treated for drug or alcohol abuse or addiction?					
4. Have you ever been the subject of an investigation by a regulatory agency concerning your license?					
 5. Have you ever been convicted of, plead guilty or nolo contendere to, or are charges pending: A. A violation of any Federal, State, or local law relating to the use, manufacturing, distribution or dispensing of controlled substances? B. Any offense, misdemeanor, or felony in any state, or have entered into a deferral program? (Except for minor violations of 					
traffic laws resulting in fines.)		Yes	[A] 1/10		
Have you ever been denied staff membership or privileges in any hospital or health care facility or had s priveleges revoked, suspended or subjected to any restrictions, probation or other type of discipline or li	such membership or mitations?	Yes	☑ No		
7. Have you ever been admonished, censured, reprimanded or requested to withdraw, resign or retire from care facility in which you have trained, held staff membership or privileges or acted as a consultant?	n any hospital or health	Yes	 ✓ No		
8. Have you ever had a malpractice judgment against you or settled any malpractice action?		Yes	□No		
9. Have you ever surrendered your DEA registration at any time or had any limitations placed on your DEA	registration?	Yes	☑ No		
10. Have you ever been terminated or disciplined by your employer while practicing as a physician or resign	Yes	☑ No			
11. Have you ever been excluded from being a Medicare / Medicald provider?	Yes	☑ No			
12. Were any limitations or special requirements imposed on you because of academic performance, incompetence, disciplinary problems or any other reason during your medical education or post graduate training / residency program?					
APPLICATION AFFIRMATION					
APPLICATION AFFIRMATION I hereby swear or affirm, under the penalties of periury that the statements made in this application are true	complete and correct.				
APPLICATION AFFIRMATION I hereby swear or affirm, under the penalties of perjury, that the statements made in this application are true Signature of applicant	e, complete and correct. Date signed (month, day year)				
I hereby swear or affirm, under the penalties of perjury, that the statements made in this application are true		······································			
I hereby swear or affirm, under the penalties of perjury, that the statements made in this application are true Signature of applicant Lubbles 6 - Cotsulo	Date signed (month, day, year)	<u> </u>			
I hereby swear or affirm, under the penalties of perjury, that the statements made in this application are true Signature of applicant Lublos G. Cotsus AUTHORIZATION FOR RELEASE OF INFORMATION	Date signed (month, day, year) 29 WWE 2012				
I hereby swear or affirm, under the penalties of perjury, that the statements made in this application are true Signature of applicant Lubbles 6 - Cotsulo	Date signed (month, day, year) 29 WNE 2-012 nstitution to release to the P	rofessional	zed		
I hereby swear or affirm, under the penalties of perjury, that the statements made in this application are true Signature of applicant AUTHORIZATION FOR RELEASE OF INFORMATION I hereby authorized, request and direct any person, firm, officer, corporation, association, organization or Licensing Agency any files, documents, records or other information pertaining to the undersigned request	Date signed (month, day, year) 29 JNE 2012 Institution to release to the Potential by the Agency, or any of	rofessional its authoriz	zed		
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RECEIVED

JUL 0 2 2012

Indiana Professional
Licensing Agency

GENERAL AFFIDAVIT

State of Alabama County of Shelby

PERSONALLY came and appeared before me, the undersigned Notary, the within named Nicholas Adrian Cataldo, who is a resident of Shelby County, State of Alabama, and makes this his Statement and General Affidavit upon oath and affirmation of belief and personal knowledge that these matters, facts, and things set forth are true and correct to the best of his knowledge:

EXPLANATION OF ITEM 8, APPLICATION FOR LICENSURE TO MEDICAL LICENSING BOARD OF INDIANA:

There were two professional liability settlements paid on my behalf in two matters in which I was named as a defendant. In each case, I was in-training and working under the supervision of attending physicians. One of these events occurred in 1982 and the other about 1984. I do not have any records of the plaintiff names, the other defendants named, the amounts of the settlements, or the exact dates. The incident in 1982 occurred at The Miriam Hospital, Providence, RI: I was working in the emergency room at the time. A patient was seen by me for chest pain, evaluated and discharged; she subsequently returned in cardiac arrest and was not able to be resuscitated. The incident in 1984 occurred at Stanford University Medical Center, Stanford, CA. I performed a thoracentesis on a postpartum patient with a pleural effusion; a portion of the plastic thoracentesis catheter was found lodged in the patient's chest subsequently and she underwent surgery for its removal, recovering uneventfully.

Dated this the _	29 M	_day of	kine	, 20 <u>/ 2</u>	_
				hubles	a cortical
				Signature of	Affiant
SWORN TO an	d subscrib	ed before n	ne, this the	<i>39</i> ⁴ day of _	June , 20 12
				hon le	Walle facult
				NOTARY P	
					JUL 0 2 2012
					Indiana Professional Licensing Agency



CANTABRIGIAE IN REPUBLICA MASSACHUSETTENSIUM

PRAESES et Socii Collegii Harvardiani consentientibus honorandis ac reverendis Inspectoribus in comitiis sollemnibus

NICHOLAS ADRIAN CATALDO ad gradum Medicinae Doctoris cum laude et thesi propria admiserunt eique dederunt et concesserunt omnia insignia et iura quae ad hunc gradum spectant.

In cuius rei testimonium litteris Academiae sigillo munitis die IIII Iunii anno Domini MDCCCCLXXXI Collegiique Harvardiani CCCXXXXV auctoritate rite commissa nomina subscripserunt.

Derek Custis Bok

Daniel C. Internet Decanys ordinis medicinae





25 SHATTUCK STREET BOSTON, MASSACHUSETTS 02115-6092 Telephone (617) 432-1515

Translation of M.D. diploma

HARVARD UNIVERSITY IN CAMBRIDGE

The President and Fellows of Harvard College with the consent of the Honorable and the Reverend Board of Overseers, in solemn council assembled, have admitted

NICHOLAS ADRIAN CATALDO

to the rank of Doctor of Medicine

and have given him and conferred upon him and privileges belonging to this rank.

In testimony whereof, to these letters, authenticated by the seal of the University, the President, and Dean, by the authority rightfully committed to them have subscribed their names on the 4th of June in the year 1981 Harvard College the three hundred and forty-four

Derek Curtis Bok President Daniel C. Tosteston
Dean of the Faculty of Medicine

(Seal)





OFFICE OF THE REGISTRAR

25 SHATTUCK STREET BOSTON, MASSACHUSETTS 02115-6092 Telephone (617) 432-1515

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Derek Curtis Bok President Daniel C. Tosteston
Dean of the Faculty of Medicine

(Seal)





Patient Care Education

Research

THE MIRIAM HOSPITAL

PROVIDENCE, RHODE ISLAND



This is to certify that

Nichnlas A. Cataldo, M.D.

has satisfactorily served as

First Year Resident in Medicine — July 1, 1981 - June 30, 1982

in this hospital performing such duties as are required in this position.

In Witness Whereof the undersigned have affixed their signatures and the seal of the hospital is hereunto impressed.

NAT 0 8 5015

Indiana Professional

Physician-in-Chief

Affillated with Brown University, Program in Medicine. Member, Council of Teaching Hospitals, Association of American Medical Colleges.

saw original Gry. Haglis

Stanford University Medical Center

STANFORD UNIVERSITY HOSPITAL / STANFORD UNIVERSITY SCHOOL OF MEDICINE

みんとん

THIS IS TO CERTIFY THAT

Micholas Adrian Cataldo, M.D.

HAS SERVED AS

Resident in Gynecology & Obstetrics July 1,1982 - June 30,1985 Thief Resident in Gynecology & Obstetrics July 1,1984 - June 30,1985

Went Uldand Shilden S. Kiney Wand from

Indiana Professiona Licensing Agency

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UNIVERSITY OF CALIFORNIA SCHOOL OF MEDICINE SAN FRANCISCO

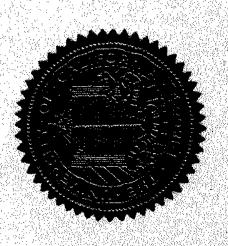
THIS CERTIFIES THAT

Nicholas A. Cataldo, M.D.

HAS SERVED AS A FELLOW IN

Destetrics, Gynecology and Reproductive Sciences (Reproductive Endocrinology)

July 1, 1991 - June 30, 1993



DEAN, SCHOOL OF MEDICINE

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(1) Sour original God (191)

TELEPHONE: (601) 987-3079



FAX: (601) 987-4159

MISSISSIPPI STATE BOARD OF MEDICAL LICENSURE

VERIFICATION OF MEDICAL LICENSURE

July 02, 2012

This is to certify that the records of the Mississippi State Board of Medical Licensure indicate the following information:

Physician Name: Nicholas Adrian Cataldo

Degree: M.D.

Date of Birth: 05/15/1954

Primary Practice Location:

263 Chastaine Circle

Birmingham, AL 35209

MD/DO School: Harvard Medical School Boston

Year of Graduation: 1981

Specialty: OBSTETRICS AND GYNECOLOGY (Not Primary Source Verified)

License Number: 19288

Issue Date: April 17, 2006

Reinstated Date:

Expiration Date: June 30, 2010

Date of Expiration Prior

Public Record: NO

to Reinstatement:

This license information was last updated on: 06/29/2012

If public record is indicated, submit a request for records to the following email address: mboard@msbml.state.ms.us.

Sincerely.

H. Vann Craig, M.D. Executive Director

H. Van Cenig s

•



MEDICAL BOARD OF CALIFORNIA

Licensing Program

2005 Evergreen Street, Suite 1200
Sacramento, CA 95815
(916) 263-2382 FAX (916) 263-2944
www.mbc.ca.gov



July 02, 2012

TO WHOM IT MAY CONCERN:

This is to certify that on the date of this letter the records of the Medical Board of California (Board) indicate the following information:

PHYSICIAN:

NICHOLAS ADRIAN CATALDO

LICENSE NUMBER:

G50301

ISSUED:

June 30, 1983

EXAM TYPE:

A Written Examination

EXPIRATION DATE:

May 31, 2013

STATUS:

RENEWED/CURRENT

BOARD DISCIPLINE:

No

This license information was last updated on: 06/29/2012

Curtis J. Words

Further public records pertaining to the above licensee may be available from the Board's Web site at www.mbc.ca.gov.

Curtis J. Worden
Chief of Licensing



STATE OF ALABAMA MEDICAL LICENSURE COMMISSION POST OFFICE BOX 887 MONTGOMERY, ALABAMA 36101-0887 Phone: (334)242-4153

JAMES E. WEST, M.D., CHAIRMAN/EXECUTIVE OFFICER + KAREN SILAS, EXECUTIVE ASSISTANT

MEDICAL LICENSING BOARD OF INDIANA 402 WEST WASHINGTON STREET **ROOM 072** INDIANAPOLIS, IN 46204

VERIFICATION OF ALABAMA MEDICAL LICENSURE

Name of Licensee (as it appears in our records)

Nicholas Adrian Cataldo

Date of Birth:

05/15/1954

License#:

MD.26223

Current Status:

ACTIVE

Date Issued:

08/25/2004

Basis of License: NBME/CA

Expiration Date:

12/31/2012

Medical School:

Harvard Medical School

Location:

Boston

Date From/To:

9/76-6/81

Disciplinary Actions:

I√ NO

[SEAL]

[] Yes, See Attached

[] Other, See Attached

Signature:

James E. West, M.D.

amos E. West, m D

Chairman

Medical Licensure Commission of Alabama

JUL 0 2 2012

Date:

06/29/2012

To expedite the verification process, the above is the standard format used by the Medical Licensure Commission of Alabama. Verification information can also be obtained by accessing our web site at http://www.albme.org.

ALABAMA MEDICAL LICENSURE COMMISSION P.O. BOX 887 MONTGOMERY, ALABAMA 36101-0887

CERTIFICATE OF REGISTRATION
2012

This is to certify that annual registration has been made and license to practice medicine in the State of Alabama has been granted for the year ending December 31, 2012

License # MD.26223 Date Issued: 08/25/2084 Amount Paid: \$300.00 Receipt # 881576

Nicholas Adrian Cataldo MD P.O. Box 388904 Birmingham, AL 35238-0904

CHAIRMAN

Duplicate Detach along this line

ALABAMA MEDICAL LICENSURE COMMISSION

Nicholas Adrian Cataldo MD P.O. Box 380904 Birmingham, AL \$5238-0904

Is entitled to the state of dicine in Alabama. Registration expires December 31, 2012

LICENSE# MD.26223

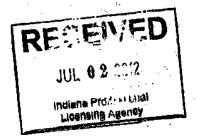
James E. West

CHAIRMAN

State of Alexand County of Jefforson
Subscribed and sworn, before one on a 6/29/12

(Notary Signature)

The Saw a Copy of original of



ALABAMA MEDICAL LICENSURE COMMISSION P.O. BOX 887 MONTGOMERY, ALABAMA 36101-0887 CERTIFICATE OF REGISTRATION 2012 This is to certify that annual registration has been made and license to practice medicine in the State of Alabama has been granted for the year ending December 31, 2012 License # MD.26223 Date Issued: 08/25/2004 Nicholas Adrian Cataldo MD P.O. Box 380904 Birmingham, AL 35238-0904 Amount Paid: \$300.00 Receipt # 881576

Duplicate Detach along this line

alabama. Registration expires December 31, 2012

LICENSE# MD.26223

CHAIRMAN

amo E. West.

N. Cotacho Washie

Delicate - for Indiana Bound

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