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| 4 | 300 South Spring Street, Suite 5212 Los Angeles, California 90013-1204 |
| 5 | Telephone: (213) 897-2556 |
| 6 | Attorneys for Complainant |
| 7 | BEFORE THE |
| 8 | DIVISION OF MEDICAL QUALITY MEDICAL BOARD OF CALIFORNIA |
| 9 | DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA |
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| 11 | In the Matter of the Accusation Against:) NO. D-5411 |
| 12 | NICHOLAS G. BRAEMER, M.D. OAH No. L-61594 |
| 13 | 3400 Lomita Boulevard, #301 Torrance, California 90505 STIPULATED SETTLEMENT |
| 14 | Physician's and Surgeon's Certificate No. AND DISCIPLINARY OPDER |
| 15 | A-21921, |
| 16 | Respondent. |
| 17 | |
| 18 | IT IS HEREBY STIPULATED AND AGREED by and between the |
| 19 | parties to the above-entitled proceedings that the following matters are true: |
| 20 | 1. An Accusation in case number D-5411 was filed with the Division |
| 21 | of Medical Quality of the Medical Board of California (the "Division") on September |
| 22 | 16, 1993, and is currently pending against Nicholas G. Braemer, M.D. (the |
| 23 | "respondent"). |
| 24 | 2. The Accusation, together with all statutorily required documents, |
| 25 | was duly served on the respondent on or about September 16, 1993, and respondent |
| 26 | filed his Notice of Defense contesting the Accusation on or about September 23, 1993. |
| 27 | A copy of Accusation No. D-5411 is attached as Attachment "A" and hereby |
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incorporated by reference as if fully set forth.

- 3. The Complainant, Dixon Arnett, is the Executive Director of the Medical Board of California and brought this action solely in his official capacity. The Complainant is represented by the Attorney General of California, Daniel E. Lungren, by and through Deputy Attorney General Robert McKim Bell.
- 4. The respondent is represented in this matter by Irving J. Levin, Esq., Attorney-at-Law, 6744 Sepulveda Boulevard, Van Nuys, California 91411.
- 5. The respondent and his attorney have fully discussed the charges contained in Accusation number D-5411, and the respondent has been fully advised regarding his legal rights and the effects of this stipulation.
- 6. At all times relevant herein, respondent has been licensed by the Medical Board of California under Physician's and Surgeon's Certificate No. A-21927.
- Accusation and that, if proven at hearing, the charges and allegations would constitute cause for imposing discipline upon his Physician's and Surgeon's Certificate.

 Respondent is fully aware of his right to a hearing on the charges contained in the Accusation, his right to confront and cross-examine witnesses against him, his right to the use of subpoenas to compel the attendance of witnesses and the production of documents in both defense and mitigation of the charges, his right to reconsideration, appeal and any and all other rights accorded by the California Administrative Procedure Act and other applicable laws. Respondent knowingly, voluntarily and irrevocably waives and give up each of these rights.
- 8. Respondent admits the truth of each and every allegation of the Accusation No. D-5411, and agrees that respondent has thereby subjected his Physician's and Surgeon's Certificate to disciplinary action. Respondent agrees to be bound by the Division's Disciplinary Order as set out below.
 - 9. Based on the foregoing admissions and stipulated matters, the

parties agree that the Division shall, without further notice or formal proceeding, issue and enter the following order:

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DISCIPLINARY ORDER

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IT IS HEREBY ORDERED that Physician's and Surgeon's Certificate number A-21927 issued to Nicholas G. Braemer, M.D. is revoked. However, the revocation is stayed and respondent is placed on probation for five years on the following terms and conditions:

1. ACTUAL SUSPENSION As part of probation, respondent is suspended from the practice of medicine for 90 days beginning on November 1, 1994 or the effective date of this decision, whichever is later.

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2. EDUCATION COURSE Within ninety (90) days of the effective date of this decision, and on an annual basis thereafter, respondent shall submit to the Division for its prior approval an educational program or course to be designated by the Division, which shall not be less than 40 hours per year, for each year of probation. This program shall be in addition to the Continuing Medical Education requirements for re-licensure. Following the completion of each course, the Division or its designee may administer an examination to test respondent's knowledge of the course. Respondent shall provide proof of attendance for 65 hours of continuing medical advance by the Division or its designee.

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education of which 40 hours were in satisfaction of this condition and were approved in

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3. ETHICS Within 90 days of the effective date of this decision, respondent shall submit to the Division for its prior approval a course in Ethics, which respondent shall successfully complete during the first year of probation.

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4. ORAL OR WRITTEN EXAM Within 90 days of the effective date of this decision, respondent shall take and pass an oral or written exam administered by the Division or its designee to test for current professional medical knowledge of

obstetrics. If respondent fails this examination, respondent must take and pass a reexamination (not exceeding 4 exams, total) consisting of a written as well as an oral clinical examination. The waiting period between repeat examinations shall be at least 45 days until success is achieved. The respondent shall pay the cost of the first examination and any subsequent re-examinations.

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If respondent fails the first examination, respondent shall cease the practice of obstetrics and gynecology until the re-examination has been successfully passed, as evidenced by written notice to respondent from the Division. Failure to pass the required examination no later than 100 days prior to the termination date of probation shall constitute a violation of probation.

5. Monitoring. Within 30 days of the effective date of this decision, Respondent shall submit to the Division for its prior approval the name of and qualifications of one or more California licensed physicians whose license is clear and current and who has agreed to serve as a practice monitor. Once approved, the monitor shall submit to the Division a plan by which Respondent's medical practice shall be monitored during probation. The monitor's education and experience shall be in the field of obstetrics. The monitor shall submit written reports to the Division on a quarterly basis verifying that monitoring has taken place and providing an evaluation of Respondent's performance. It shall be Respondent's responsibility to assure that the required reports are filed in a timely fashion. The Respondent shall provide access to the monitor of his client records, including billings, and the monitor shall be permitted to make direct contact with patients. Further, the monitor shall have no prior business, professional, personal or other relationship with Respondent. Respondent shall execute a release authorizing the monitor to divulge any information that the Division may request. In exercising his or her role, it is understood and agreed that the monitor shall be held harmless from liability for any communication of fact or of opinion made in good faith to the Division or its designees regarding Respondent and/or his care of

patients.

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 If the monitor resigns or is no longer available, respondent shall, within fifteen (15) days, move to have a new monitor appointed, through nomination by respondent and approval by the Division. The period of monitoring shall be tolled until a new monitor is approved. All costs of monitoring shall be borne by the Respondent.

- 6. <u>PROHIBITED PRACTICE</u> During probation, respondent is prohibited from performing third trimester abortions.
- 7. RESTRICTED PRACTICE During probation, Respondent shall perform abortions only in a hospital approved by the Joint Commission on Accreditation or in a practice setting approved in advance by the Division or its designees. Within 30 days from the effective date of the Decision, respondent shall submit to the Division for prior approval, a plan to implement this restriction. Respondent shall submit proof satisfactory to the Board of compliance with this term of probation.
- 8. OBEY ALL LAWS Respondent shall obey all federal, state, and local laws, and all rules governing the practice of medicine in California.
- 9. QUARTERLY REPORTS Respondent shall submit quarterly declarations under penalty of perjury on forms provided by the Division, stating whether there has been compliance with all the conditions of probation.
- 10. <u>SURVEILLANCE PROGRAM</u> Respondent shall comply with the Division's probation surveillance program.
- 11. <u>INTERVIEW WITH MEDICAL CONSULTANT</u> Respondent shall appear in person for interviews with the Division's medical consultant upon request at various intervals and with reasonable notice.
- 12. <u>TOLLING FOR OUT-OF-STATE PRACTICE OR RESIDENCE</u> The period of probation shall not run during the time respondent is residing or practicing outside

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the jurisdiction of California. If, during probation, respondent moves out of the jurisdiction of California to reside or practice elsewhere, whether temporarily or permanently, respondent is required to immediately notify the Division in writing within ten (10) days of the date of departure, and the date of return, if any.

- 13. <u>COMPLETION OF PROBATION</u> Upon successful completion of probation, respondent's certificate will be fully restored.
- 14. <u>VIOLATION OF PROBATION</u> If respondent violates probation in any respect, the Division, after giving respondent notice and the opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. If an accusation or petition to revoke probation is filed against respondent during probation, the Division shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended until the matter is final. No petition for modification or termination of probation shall be considered while there is an accusation or petition to revoke probation pending against respondent.

CONTINGENCY

This stipulation shall be subject to the approval of the Division. If the Division fails to adopt this stipulation as its Order, the stipulation shall be of no force or effect, and shall be inadmissible in any legal action between the parties.

ACCEPTANCE

I have read the above Stipulated Settlement and Disciplinary Order. I have fully discussed the terms and conditions and other matters contained therein with my attorney, Irving J. Levin. I understand the effect this Stipulated Settlement and Disciplinary Order will have on my Physician's and Surgeon's Certificate, and agree to be bound thereby. I enter this stipulation freely, knowingly, intelligently and voluntarily.

DATED: $\frac{8/(9/9\%)}{6}$.

NICHOLAS G. BRAEMER, M.D. Respondent

I have read the above Stipulated Settlement and Disciplinary Order and approve of it as to form and content. I have fully discussed the terms and conditions and other matters therein with respondent Nicholas G. Braemer, M.D..

DATED: 830

IRVING J. LEVIN
Attorney for Respondent

ENDORSEMENT

The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for the consideration of the Division of Medical Quality, Medical Board of California Department of Consumer Affairs.

DATED: August 12, 1994.

DANIEL E. LUNGREN, Attorney General of the State of California

ROBERT McKIM BELL Deputy Attorney General

Attorneys for Complainant

DECISION AND ORDER OF THE DIVISION OF MEDICAL QUALITY MEDICAL BOARD OF CALIFORNIA

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The foregoing Stipulation and Order, in case number D-5411, is hereby adopted as the Order of the Division of Medical Quality, Medical Board of California Department of Consumer Affairs. An effective date of January 20, 1995 has been assigned to this Decision and Order.

Made this 21st day of December, 1994.

FOR THE DIVISION OF MEDICAL QUALITY MEDICAL BOARD OF CALIFORNIA

Attachment: Accusation

ATTACHMENT "A"
(COPY OF ACCUSATION)

| 1 | DANIEL E. LUNGREN, Attorney General of the State of California |
|----|--|
| 2 | ROBERT McKIM BELL, Deputy Attorney General |
| 3 | California Department of Justice 300 South Spring Street, 10th Floor |
| 4 | Los Angeles, California 90013 Telephone: (213) 897-2556 |
| 5 | Attorneys for Complainant |
| 6 | Accorneys for complainance |
| 7 | BEFORE THE MEDICAL BOARD OF CALIFORNIA |
| 8 | DIVISION OF MEDICAL QUALITY DEPARTMENT OF CONSUMER AFFAIRS |
| 9 | STATE OF CALIFORNIA |
| 10 | |
| 11 | In the Matter of the Accusation) NO. D-5411 Against: |
| 12 | NICHOLAS G. BRAEMER, M.D.) A C C U S A T I O N |
| 13 | 3400 West Lomita Boulevard, #301) Torrance, California 90508) |
| 14 | Physician's and Surgeon's |
| 15 | Certificate No. A-21927, |
| 16 | Physician Assistant Supervisor) License No. SA-20332,) |
| 17 | Respondent.) |
| 18 |) |
| 19 | |
| 20 | The Complainant alleges: |
| 21 | |
| 22 | PARTIES |
| 23 | 1. Complainant, Dixon Arnett, is the Executive |
| 24 | Director of the California State Medical Board of California |
| 25 | (hereinafter the "Board") and brings this accusation solely in |
| 26 | his official capacity. |
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- 2. On or about March 17, 1966, Physician's and Surgeon's Certificate No. A-21927 was issued by the Board to Nicholas G. Braemer, M.D. (hereinafter "respondent"), and at all times relevant to the charges herein brought, said license has been in full force and effect.
- 3. In addition, respondent holds Physician Assistant Supervisor License number SA-20332, issued by the Board.

JURISDICTION

- 4. This accusation is brought under the authority of the following sections of the California Business and Professions Code (hereinafter "Code"):
- 5. Section 2227 provides that the Board may revoke, suspend for a period not to exceed one year, or place on probation, the license of any licensee who has been found guilty under the Medical Practice Act.
- 6. Section 2234 provides that unprofessional conduct includes, but is not limited to, the following:
 - "(a) Violating or attempting to violate, directly or indirectly, or assisting in or abetting the violation of, or conspiring to violate, any provision of this chapter.
 - (b) Gross negligence.
 - (c) Repeated negligent acts.
 - (d) Incompetence.
 - (e) The commission of any act involving dishonesty or corruption which is substantially related to the qualifications, functions, or duties of a physician and

surgeon.

- (f) Any action or conduct which would have warranted the denial of a certificate."
- Medical Quality of the Medical Board of California, in conjunction with an action it has commenced against a physician and surgeon, may order the suspension or revocation of, or the imposition of probationary conditions upon, an approval to supervise a physician's assistant, after a hearing for unprofessional conduct, which includes, but is not limited to, a violation of Chapter 7.7 of Division 2 of the Business and Professions Code, a violation of the Medical Practice Act, or a violation of regulations.

FIRST CAUSE OF ACTION

- 8. Respondent Nicholas G. Braemer, M.D. is subject to disciplinary action under section 2234(b) of the Business and Professions Code in that he has committed gross negligence. The circumstances are as follows:
 - A. On or about August 26, 1987, the respondent performed an elective abortion by dilation and extraction on \$\$^{\infty}Z.A.,"\$ a twenty-seven year old female patient then approximately 15 to 18 weeks pregnant with a viable female fetus.
 - B. The abortion performed by respondent was successful in removing only one arm of the fetus, and the remainder was left behind. Through haste, inattention and

- C. A day later, after experiencing labor-like pains and fever, while at home, the patient miscarried a stillborn fetus missing an arm.
- D. On August 28, 1987, the patient was admitted to Torrance Memorial Hospital for infection and completion of the abortion by means of a vacuum suction curettage. She was discharged on August 30, 1987.

SECOND CAUSE OF ACTION

9. By reason of the foregoing facts, respondent Nicholas G. Braemer, M.D. is further subject to disciplinary action under section 2234(c) of the Business and Professions Code in that he has committed repeated negligent acts.

THIRD CAUSE OF ACTION

. 10. By reason of the foregoing facts, respondent Nicholas G. Braemer, M.D. is further subject to disciplinary action under section 2234(d) of the Business and Professions Code for incompetence.

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AGGRAVATION

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Not as independent cause for disciplinary action, 2 11. but in aggravation and as cause for augmentation of any penalty 3 imposed as a result of this action, the complainant alleges that 4 the respondent was previously disciplined by the board effective 5 November 17, 1983. In that case, "Accusation Against Nicholas G. 6 Braemer, M.D., " case number D-2962, the respondent's license was 7 revoked, but the order of revocation stayed and the respondent 8 placed upon probation for five years for violation of section 2236(a) of the Business and Professions Code (conviction of a 10 11 substantially related crime). 12 11 13 11 14 11 15 // 16 // 17 11 18 // 19 11 20 // 21 // 22 // 23 11 24 // 25 // 26 // 27 11

PRAYER

WHEREFORE, the complainant requests that a hearing be held on the matters herein alleged, and that following said hearing, the Board issue a decision:

- Revoking or suspending Physician's and Surgeon's
 Certificate Number A-21927, heretofore issued to respondent
 Nicholas G. Braemer, M.D.;
- 2. Revoking or suspending Physician Assistant
 Supervisor License No. SA-20332, heretofore issued to respondent
 Nicholas G. Braemer, M.D.;
- 3. Taking such other and further action as the Board deems proper.

DATED: September 16, 1993

Dixon Arnett
Executive Director
Medical Board of California
Department of Consumer Affairs
State of California

Complainant