| 1501 MEDICAL DOCTOR  APPLICATION FOR LICENSURE   |  | •  |      |  |  |  |
|--|--|--|------|--|--|--|
| Read instructions before and while you complete this application. (Failure to do so may result in delays in processing your application) |  |  |      |  |  |  |
| U.S. Social Security Number:   |  |  |      |  |  |  |
| CONFIDENTIAL AND E   | EXEMPT FROM PU   | JBLIC RECORDS DISCLOSURE*  |      |  |  |  |
| FI   | orida Department<br>Board of Med   |  |      |  |  |  |
| Name: DEAN Last  | MAI<br>First   | CARROLL<br>Middle  |      |  |  |  |
| Social Security Number:  |  |  |      |  |  |  |
| part: "An agency that colle<br>that number on a separate   | a)2., Florida Sta<br>ects social secu<br>page from the<br>order that the s | tatutes, which provides in rele<br>urity numbers shall also segreg<br>e rest of the record, or as<br>social security number be mor | gate |  |  |  |

## Received Date: 2/14/2008 1501 MEDICAL DOCTOR APPLICATION FOR LICENSURE FRANKE FOR STATE OF THE PROPERTY OF THE PROPER Deposit Date: 2/15/2008 Deposit #: 167336 Batch Number: 001015263 Validation #: 907158440. Read instructions before and while you complete this application. Check Amount: \$757.00 (Failure to do so may result in delays in processing your application) PRO CDE: 1501 2. Application category/applicable fees: Client 1501 3. Name: DEAN MAI CARROLL (Last) (First) (Middle) 3a. Have you ever changed your name through marriage, naturalization or action of a court? YES If yes; list original name(s) and date(s) of changes in mm/dd/vv. CARROLL 07/25/07 THANH 07/25/07 MAI 07/25/07 Last (Date of change) First (Date of change) Middle (Date of change) **3b.** Have you ever been known by any other names (aliases)? CARROLL, MAI If 'yes', list name(s) (Last, First, Middle, and Suffix). 4. Mailing address: 135 NE 3 rd St. GAINESVILLE FLORIDA 3260) USA (Street and number or PO Box) (City) (State/Province) (Zip/Postal Code) (Country) **5.** Primary practice/physical address (current practice location address): 675 SW 4th Ave. GAINESVILLE FL 32601 USA (Street and number) (City) (State/Province) (Zip/Postal Code) (Country) (Street and number) 6. Telephone (352) 281-do28 (352) 392-6771 (Home: Area Code/Phone Number) (Work: Area Code/Phone Number) (Cellular: Area Code/Phone Number) 7. E-mail address: MA1 @ UFL. E DU (YES = 15NO Birth Date: \_\_\_\_\_\_ Birth Place: GAINESVILLE, FL\_ Naturalization Date: \_\_\_\_\_ 9. Demographics: We are required to ask that you furnish the following information as part of your voluntary compliance with Section 2, Uniform Guidelines on Employee Selection Procedure (1978) 43 FR38296 (August 25, 1978). This information is gathered for statistical and reporting purposes only and will not affect your candidacy for licensure. Race: [ ] Caucasian [ ] Black [ ] Hispanic 🔀 Asian [ ] Native American [ ] Other ₹ Female Sex: [ ] Male

10. Disaster Registry: As a Florida licensed physician, are you willing to provide health

times of emergency or major disasters?

care services in special need shelters or to work with disaster medical teams during

[ ] NO

X1 YES

| 11. Have you ever been in the United States Mili   | rvice?                        | [] YES          | ≥ NO         |                         |  |  |  |
|--|-------------------------------|-----------------|--------------|-------------------------|--|--|--|
| If yes, list branch of service, rank, dates of service. (Er  11a. Have charges ever been brought against you Military and/or Public Health Service? If yes, explain the drcumstances on a separate sheet.  | [ ] YES                       | <b>№</b> ио     |              |                         |  |  |  |
| 12. Education: Undergraduate, graduate, medical, and professional education – Starting with undergraduate education, list in chronological order all schools, colleges, and universities attended, whether completed or not. Submit on a separate sheet if needed. |                               |                 |              |                         |  |  |  |
| College and University<br>Name and Address   | Major and Degree              | From:<br>mm/yy  | To:<br>mm/yy | Date Degree<br>Received |  |  |  |
| AMHERST COLLEGE<br>P.O. Box 5000<br>Amherst, MA 01002-5000   | FRENCH, B.A.                  | 04/95           | 05/99        | 05/99                   |  |  |  |
| CENTER FOR UNIVERSITY PROGRAMS ARE<br>P.O. BOX 9611<br>North Amherst, MA 01059   | Semester in<br>Paris, France  | 01/98           | 06/98        |                         |  |  |  |
| UNIVERSITY OF FLORIDA<br>222 Criser Hall, P.O. Box 114000<br>Games Ville, FL 32611-4000  | Summer<br>Classes             | 06/97           | 68/97        |                         |  |  |  |
| tı [/  | Summer<br>Classes             | 06/98           | 08/98        |                         |  |  |  |
| Vi U   | Post-baccalauræk<br>Classes   | 08/00           | 05/01        |                         |  |  |  |
| UNIVERSITY OF FLORIDA COULTE OF MEDICINE Heath Science Center, P.O. Box 100216 Samesville, FL 32610-0216   | M.D.                          | 08/02           | 05/06        | 05/06                   |  |  |  |
| ·  |                               |                 |              |                         |  |  |  |
| For items 12a-d, if yes explain on a sep   | arate sheet providing acc     | curate details. | •            |                         |  |  |  |
| <b>12a.</b> Have you ever been dropped, suspended, pl expelled from <b>any</b> school, college or univers  |                               | resign, or      | [] YES       | ĺ≯vo                    |  |  |  |
| 12b. Did you attend medical school for a period other than the normal curriculum, or were you required to repeat any of your medical education including classes, test/exams, lectures or any other part of the curriculum?  |                               |                 |              |                         |  |  |  |
| 12c. Did you take any type of break or leave of absence for any reason during medical school? [ ] YES (Including maternity/paternity, medical leave or any other type of break or leave.)  |                               |                 |              |                         |  |  |  |
| 12d. Have you ever defaulted on any health educ  | ation loan or scholarship obl | igation?        | [ ] YES      | M NO                    |  |  |  |
| <b>12e.</b> If you are an international medical graduate in the United States?  If 'yes', list on a separate sheet core derkship, institution  |                               | NI              | []YES        | [ ] NO                  |  |  |  |

| <b>13.</b> Postgraduate Training: In graduated from medical school to                            |                                    |                           |                       | e training fro       | m date you                              |
|--|------------------------------------|---------------------------|-----------------------|----------------------|---|
| Program Name and Full Mailir<br>Address  | ng Specia                          | Ity Area                  | From:<br>mm/yy        | To:<br>mm/yy         | Did you<br>receive credit?<br>Yes or No |
| UNIVERSITY OF PLORIDA<br>FAMILY MEDICINE RES<br>625 S.W. 4th Aye.<br>Gamesville, FL 32601        |                                    | 4<br>DICINE               | 07/06                 | present              | Yes                                     |
| Gamesville, FL 32601   |                                    |                           |                       |                      |   |
|  |                                    |                           |                       |                      |   |
|  |                                    |                           |                       |                      |   |
| For items 13a-c, if yes, e   | xplain on a separate sh            | neet providing a          | ccurate detai         | ils.                 |   |
| <b>13a.</b> Have you ever been droppe from <b>any</b> postgraduate train                         |                                    | probation, asked t        | to resign or exp      | elled [ ] YI         | ES M NO                                 |
| <b>13b.</b> Was attendance in a postgrestablished timeframe or wincluding classes, test/example. | ere you required to repea          | it <b>any</b> of your pos | stgraduate train      | [ ] YI<br>ning       | ES X NO                                 |
| <b>13c.</b> Did you take <b>any</b> type of br postgraduate training? (Indu                      |                                    |                           |                       | [ ] YI<br>ve.)       | ES 💢 NO                                 |
| <b>14.</b> Licensing Examination: Sta<br>NBME, FLEX, USMLE III, or                               |                                    |                           | - 1974) & SPEX        | , LMCC & SPI         | ΞΧ,                                     |
| Exam taken <u>NSM LE</u>   | STEP 3                             | _ Date passed             | 02   26  <br>mm/dd/yy | 07                   |   |
| <b>15.</b> List the date you legally firs  | t began to practice medic          | ine. 07 01 mm/dd/yy       |                       |                      |   |
| <b>16.</b> Licensure: In the table belo  | w, list <b>any</b> type of license | to practice medi          | cine that you h       | ave in <b>any</b> ju | risdiction.                             |
| State or Country   | License number                     | State or 0                | Country               | Licen                | se number                               |
| FLORIDA -  | TRN 9931 FL                        |                           |                       |                      |   |
|  | (training Itcense)                 |                           |                       |                      |   |
|  |                                    |                           |                       |                      |   |
|  | ŀ                                  |                           |                       |                      |   |
| r '  |                                    |                           |                       | <u> </u>             |   |
|  |                                    |                           |                       |                      | -,,,,                                   |

| For items 16a-e, if yes, explain on a se  | parate sheet providing accurate details.  |                |              |  |  |
|---|---|----------------|--------------|--|--|
| <b>16a.</b> Have you had <b>any</b> application for a medical state board or other governmental agency of   |   | [ ] YES        | JY NO        |  |  |
|   | <b>1.6b.</b> Have you ever been allowed to withdraw an application for medical licensure for <b>any</b> reason or during a pending investigation in <b>any</b> jurisdiction in lieu of your license being denied? |                |              |  |  |
| <b>16c.</b> Are you currently under investigation in any constitute a violation of Chapter 458.331, Fl  | [ ] YES   | ON KI          |              |  |  |
| <b>16d.</b> Have you ever been notified, invited or requagency for a hearing on a complaint of <b>any</b> or violation of the Medical Practice Act, invo    | [ ] YES   | )X NO          |              |  |  |
| <b>16e.</b> Have you ever had <b>any</b> professional license medicine revoked, suspended, placed on prother disciplinary action taken in <b>any</b> state, | obation, received a citation, or  | [ ] YES        | ₹ NO         |  |  |
| 17. Practice/Employment: In the table below, li<br>any unaccounted period of time from date<br>If needed, continue on a separate sheet of paper.            | ist in chronological order <b>all</b> employment, non-<br>you graduated medical school to present.  | employment,    | and/or       |  |  |
| Name and full mailing address of employment or activity   | Type of employment or activity  | From:<br>mm/yy | To:<br>mm/yy |  |  |
|   | VACATION  | 05/06          | 07/06        |  |  |
| Univ. of Florida family MEDICINE DE<br>625 S.W. 4th Are.<br>Gainesville, FL 32601   | pt.<br>Internship/Residency   | 07/06          | .present     |  |  |
|   |   |                |              |  |  |
|   |   |                |              |  |  |
|   |   |                |              |  |  |
| For items 17a-b, if yes, explain on a se  | parate sheet providing accurate details.  |                |              |  |  |
| 17a. Have you ever had employment terminated  | for cause?  | [ ] YES        | M NO         |  |  |
| 17b. Have you ever been asked, or allowed to red<br>disciplinary action or during any pending in  |   | [ ] YES        | <b>Ж</b> ио  |  |  |

| Name/mailing address of facility   | Chief of staff  | Type of privileges                               | From:       | To:        |
|--|---|--|-------------|------------|
|  |   |  | mm/yy       | mm/y       |
|  |   |  |             |            |
|  |   |  |             |            |
|  |   |  |             | -          |
|  |   |  |             |            |
|  |   | <del>                                     </del> | <del></del> | <b>-</b>   |
|  |   |  |             | <u> </u>   |
|  |   |  |             |            |
|  |   |  |             |            |
|  |   |  |             |            |
|  |   |  |             |            |
|  | L   |  |             |            |
| . Have you ever had any staff privile instead of disciplinary action?  | ges restricted or not renewe  | d by any facility                                | [ ] YES     | MN         |
| Have you had responsibility for gradlast 10 years?   | duate medical education wit   | hin the  | [ ] YES     | TY/N       |
| If yes, list in the table below.   |   |  |             | , <b>X</b> |
|  | ointment at a medical or he   | alth-related                                     | [ ] YES     | •          |
| <ul> <li>Do you currently hold a faculty app<br/>institution of higher learning?</li> <li>If yes, list in the table below.</li> </ul>  | , health institution, clinic or i                                   | medical facility                                 | [ ] YES     | •          |
| Do you currently hold a faculty app institution of higher learning? If yes, list in the table below.  In the table below, list any hospital,   | , health institution, clinic or i                                   | medical facility<br>g.                           | [ ] YES     | X N        |
| Do you currently hold a faculty app institution of higher learning? If yes, list in the table below.  In the table below, list any hospital, where you have or had faculty apportunity.  | , health institution, clinic or r<br>pintment(s) of higher learning | medical facility<br>g.                           |             | X N        |
| Do you currently hold a faculty app<br>institution of higher learning?<br>If yes, list in the table below.  In the table below, list any hospital,<br>where you have or had faculty appo | , health institution, clinic or r<br>pintment(s) of higher learning | medical facility<br>g.                           |             | ΚIN        |
| Do you currently hold a faculty app institution of higher learning? If yes, list in the table below.  In the table below, list any hospital, where you have or had faculty apportunity.  | , health institution, clinic or r<br>pintment(s) of higher learning | medical facility<br>g.                           |             | X N        |

|      | American Board of Medical Specialties: Are you certified by any specialty board [ ] YES NO recognized by the American Board of Medical Specialties, or specialty board approved by the Florida Board of Medicine?  If yes, list in the table below. |  |                                |       |  |  |  |
|------|---|--|--------------------------------|-------|--|--|--|
|      | Board Name  | Certification/<br>Specialty/Sub-Specialty  | Date of Certification<br>mm/yy |       |  |  |  |
|      |   |  |                                |       |  |  |  |
|      |   |  |                                |       |  |  |  |
|      |   |  |                                |       |  |  |  |
|      | For items 21a-30, if yes, explain or  | a separate sheet providing accurate det  | ails.                          |       |  |  |  |
| 21a. | Have you ever failed to receive specialty for any reason?   | board certification or re-certification  | [ ] YES                        | TX NO |  |  |  |
| 21b  | Have you ever had any sanctions taken or other similar national organization?   | against you by a specialty board   | [ ] YES                        | M NO  |  |  |  |
| 22.  | Have you ever been warned or called be Enforcement Administration (DEA)?  | [ ] YES  | M NO                           |       |  |  |  |
| 23.  | Have you ever been made an offer to carrangement plea, or agreement insteaviolation regulated by DEA?   | [ ] YES  | ∫X NO                          |       |  |  |  |
| 24.  | Have you ever been denied or surrende   | ered a DEA registration?   | [ ] YES                        | M NO  |  |  |  |
| 25.  | Have you ever been denied or been exhealth care programs?   | [ ] YES  | ₩ NO                           |       |  |  |  |
| 26.  | Have you ever had an application for massociation or had a medical society or   | [ ] YES  | X NO                           |       |  |  |  |
| 27.  | Have you ever been notified to appear or association about charges or compla  | [ ] YES  | NO X                           |       |  |  |  |
| 28.  | Have you ever had a judgment entered where the incident(s) of malpractice of  | • •  | [ ] YES                        | ₩ NO  |  |  |  |
| 29.  | Within the last 10 years have you had damages for personal injury settled or that exceeds \$5,000?  If yes, explain on a separate sheet providing ac Exhibit 1 for each occurrence.   | finally adjudicated in an amount   | [ ] YES                        | X NO  |  |  |  |
| 30.  | Have you ever been convicted of, or er or no contest to any crime in any jurisd You must include all misdemeanors and by the court so that you would not have   | ntered a plea of guilty, nolo contendere,<br>liction other than a minor traffic offense?<br>d felonies even if adjudication was withheld<br>e a record of conviction. Driving under the<br>ot a minor traffic offense for purposes of this | [ ] YES                        | Жио   |  |  |  |

| 31.  | Have you ever been arrested or criminally or civilly charged with any intentional or negligent action related to the use or misuse of drugs, alcohol, or illegal chemical substances?   | [ ] YES  | ₩ NO  |
|------|---|--|---|
| 32.  | Have you lost your civil rights?  | [ ] YES  | M NO  |
| 32a. | If yes, date when civil rights were restored  |  |   |
|      | For items 33-36, if yes, explain on a separate sheet providing accurate details.  |  |   |
|      | Within the last five years:   |  | ı   |
| 33.  | Have you been enrolled in, required to enter into, or participated in any drug and/or alcohol recovery program or impaired practitioner program?  |  |   |
| 34.  | Have you been treated for or had a recurrence of a diagnosed mental disorder or impairment?   |  |   |
| 35.  | Have you been treated for or had a recurrence of a diagnosed physical disorder that has impaired your ability to practice medicine?   |  |   |
| 36.  | Have you been treated for or had a recurrence of a diagnosed substance-related (alcohol and/or drug) disorder?  |  |   |
|      | o support your explanation of the 'yes' responses.  |  |   |
| 37.  | Prevention of Medical Errors:   |  |   |
| 7    | I hereby certify that since June 1, 2002, I have completed a minimum of two (2) hours Errors continuing medical education as defined by s. 456.013(7), Florida Statutes. The requirements defined in § 456.013(7), Florida Statutes and be completed prior to the iss number. Please contact the Florida Médical Association (FMA) at (850) 224-6496 or ww of providers of CME. Other resources for CME are the American Medical Association (AN Medical Education Group Learning Systems (MEGLAS) at 800-547-0308 or www.informed.   | education mosuance of yours.<br>W.fmaonline<br>MA) at (312)<br>ed.cme.edu. | ust meet<br>ur license<br>.org for a list<br>464-4952, or |
| 38.  | Dispensing Practitioner Registration:   | , , ,  | •   |
|      | This is optional and for physicians whose primary practice is in the State of Florida. Dis physicians who maintain a "mini-pharmacy" in their private office for profit. Section 465 that licensees of the Board of Medicine who dispense medicinal drugs pay a fee of \$100 registration and upon each renewal of the practitioner's license. It is unlawful for any promplimentary packages of drug products. A practitioner who confines his/her activities complimentary packages of medicinal drugs to patients in the regular course of his/her required to register. | 5.0276, F. S.,<br>5.00 at the tir<br>person to sell<br>s to dispensir      | , requires<br>ne of such<br>samples or<br>ng              |
|      | Check if applicable to you.   |  |   |
| []   | I plan to dispense medicinal drugs in the State of Florida for a fee or other remuneratio required by Section 465.0276, F. S. I understand that the fee for the Dispensing Practice and above the required initial license fee and will submit it along with the license fee.   |  |   |



### College of Medicine

Department of Community Health and Family Medicine UF/Shands at AGH Family Medicine Residency Program www.chfm.ufl.edu/residency 625 Southwest 4th Avenue Gainesville, FL 32601 352-392-4541 352-392-7766 Fax

November 28, 2007

As an approved sponsor, this program has been reviewed and is acceptable for up to 1 prescribed credit hours by the AAFP. AAFP Prescribed credit is accepted by the AMA as equivalent to AMA PRA category 1 credit for the Physician's Recognition Award. When applying for AMA PRA, Prescribed credit hours must be reported as Prescribed, not as category 1.

ATTENDEE: Mai Carroll Dean, M.D.

TOTAL CME HOURS: 2 Hours (Medical Errors ONLY)

FROM: October 2006 - December 2006

October 11, 2006

1 HRS

"Medical Errors: Part I", Siegfried Schmidt, MD

December 13, 2006

1 HRS

"Medical Errors: Part III", Siegfried Schmidt, MD

Tina Engstrom

Physician Services Manager

University of Florida/Shands at AGH Family Medicine Residency Program

| <b>39.</b> Fir | nancial Responsibility   |
|----------------|--|
|                | inancial Responsibility options are divided into two categories, coverage and exemptions. Check only <b>one</b> option of the rovided as required by s. 458.320, Florida Statutes.   |
|                | gory I: Financial Responsibility Coverage  I do not have hospital staff privileges and I have established an irrevocable letter or credit or an escrow account in an amount of \$100,000/\$300,000, in accord with Chapter 675, F. S., for a letter of credit and s. 625.52, F. S., for an escrow account.   |
| □2.            | I <b>have</b> hospital staff privileges and I have established an irrevocable letter of credit or escrow account in an amount of \$250,000/\$750,000, in accord with Chapter 675, F. S., for a letter of credit and s. 625.52, F. S., for an escrow account.   |
| □3.            | I do <b>not</b> _have hospital staff privileges and I have obtained and maintain professional liability coverage in an amount not less than \$100,000 per claim, with a minimum annual aggregate of not less than \$300,000 from an authorized insurer as defined under s. 624.09, F. S., from a surplus lines insurer as defined under s. 626.914(2), F. S., from a risk retention group as defined under s. 627.942, F. S., from the Joint Underwriting Association established under s. 627.351(4), F. S., or through a plan of self-insurance as provided in s. 627.357, F. S.   |
| <b>□4.</b>     | I have hospital staff privileges and I have professional liability coverage in an amount not less than \$250,000 per claim, with a minimum annual aggregate of not less than \$750,000 from an authorized insurer as defined under s. 624.09, F. S., from a surplus lines insurer as defined under s. 626.914(2), F. S., from a risk retention group as defined under s. 627.942, F. S., from the Joint Underwriting Association established under s. 627.351(4), F. S., or through a plan of self insurance as provided in s. 627.357, F. S.  |
| <b>□5.</b>     | I have elected not to carry medical malpractice insurance however, I agree to satisfy any adverse judgments up to the minimum amounts pursuant to s. $458.320(5)(g)1$ , F. S. I understand that I must either post notice in a sign prominently displayed in my reception area or provide a written statement to any person to whom medical services are being provided that I have decided not to carry medical malpractice insurance. I understand that such a sign or notice must contain the wording specified in s. $458.320(5)(g)$ , F. S.   |
|                | ory II: Financial Responsibility Exemptions  |
| De             | I practice medicine exclusively as an officer, employee, or agent of the federal government, the state, or its agencies or subdivisions.   |
| <b>□7</b> .    | I hold a limited license issued pursuant to s. 458.317, F. S., and practice only under the scope of the limited license.   |
| □8.            | I do not practice medicine in the State of Florida.  |
| <b>□9.</b>     | <ul> <li>I meet all of the following criteria: <ul> <li>(a) I have held an active license to practice in this state or another state or some combination thereof for more than 15 years;</li> <li>(b) I am retired or maintain part time practice of no more than 1000 patient contact hours per year;</li> <li>(c) I have had no more than two claims resulting in an indemnity exceeding \$10,000 within the previous five-year period;</li> <li>(d) I have not been convicted of or pled guilty or nolo contendere to any criminal violation specified in Chapter 458, F. S.; and</li> <li>(e) I have not been subject, within the past ten years of practice, to license revocation, suspension, or probation for a period of three years or longer, or a fine of \$500 or more for a violation of Chapter 458, F. S., or the medical practice act of another jurisdiction. A regulatory agency's acceptance of a relinquishment of license, stipulation, consent order, or other settlement offered in response to or in anticipation of filing of administrative charges against a license is construed as action against a license. I understand if I am claiming an exception under this section that I must either post notice in a sign prominently displayed in my reception area or provide a written statement to any person to whom medical services are being provided that I have decided not to carry medical malpractice insurance.</li> </ul> </li> </ul> |
| <b>□10</b>     | I practice only in conjunction with my teaching duties at an accredited medical school or its teaching hospitals. (Interns and residents do not qualify for this exemption).   |

| 40. | Optional Information:                       |   |                                |                  |          |
|-----|---|---|--------------------------------|------------------|----------|
| a.  | List all medical or profes                  | sional society or association men   | nberships:                     |                  |          |
|     | American Acad                               | emy of Family Plyst   | cians (AAFP)                   |                  |          |
| b.  | Publications: List any period ten years.    | oublications you have authored in   | n peer-reviewed medical litera | nture within the | orevious |
|     | (Title)                                     | (Publication)   | (Date)                         |                  |          |
|     | (Title)                                     | (Publication)   | (Date)                         |                  |          |
|     | (Title)                                     | (Publication)   | (Date)                         |                  |          |
|     | (Title)                                     | (Publication)   | (Date)                         |                  |          |
| c.  | Do you participate in the N<br>If yes list: | 1edicaid program?   |                                | [ ] YES          | M vo     |
| _   | (Type of Provider)                          |   |                                | (St              | ate)     |
| -   | (Type of Provider)                          |   |                                | (St              | ate)     |
| d.  | Professional or community                   | service activities, honors, or aw   | ards:                          |                  |          |
|     | (Activity/Honor/Award)                      |   | (Organization)                 |                  |          |
|     | (Activity/Honor/Award)                      | ····  | (Organization)                 |                  |          |
| e.  |   | llish: List languages other than I<br>on service available for patients a |                                |                  |          |
|     | BASIC MI                                    | EDICAL SPANISH SI   | yus                            |                  |          |
| f.  | Comments and additional                     | information: List comments or in  | nformation that you want the   | board to be awa  | are of.  |
|     | · · · · · · · · · · · · · · · · · · ·       |   |                                |                  |          |
|     |   |   |                                |                  |          |

### 41. Florida Birth Related Neurological Compensation Association

You must choose one of the three options described below. Please be sure to read the enclosed information about each exemption. Check only one.

\$5,000

\$250

**Participating** Non-participating

If you choose "\$0 Exempt" provide appropriate documentation to the Board of Medicine and to NICA. See attachment for explanation.

I have read the explanatory information provided by NICA, and I choose the option above.

Rep 1/4/08

If you are a participating or non-participating physician, or a physician claiming exemption, you must complete, sign and date this form and return it with your payment to this address.

> Department of Health Board of Medicine 4052 Bald Cypress Way, #C-03 Tallahassee, FL 32399-3253

If you are a physician claiming exemption, you must also send a copy of your completed, signed, and dated form with proof of your exemption to:

> NICA 2360 Christopher Place Tallahassee, FL 32308

If you have any questions about NICA or this form, please contact NICA at www.nica.com or (850) 488-8191.



# 1003164 Stella

MEDICINE BOARD

### College of Medicine

Department of Community Health and Family Medicine UF/Shands at AGH Family Medicine Residency Program www.chfm.ufl.edu/residency

625 Southwest 4th Avenue Gainesville, FL 32601 352-392-4541 352-392-7766 Fax

January 22, 2008

Florida Board of Medicine Department of Health, 4052 Bald Cypress Way, #C03 Tallahassee, FL 32399-3253

RE: NICA Fee Exemption for Mai Carroll Dean, M.D.

Dear Florida Board of Medicine:

Dr. Mai Carroll Dean is a resident in family medicine at the University of Florida/Shands at Alachua General Hospital Family Medicine Residency Program. Dr. Dean (Carroll) entered our program on 07/01/06, and is expected to complete her residency training 06/30/09.

I hope this information has been of assistance to you.

Sincerely.

Karen L. Hall, M.D.

Associate Professor and Program Director Family Medicine Residency Program Dept. of Community Health and Family Medicine College of Medicine University of Florida

KLH/kkz

cc:

**NICA** 

2360 Christopher Place Tallahassee, FL 32308

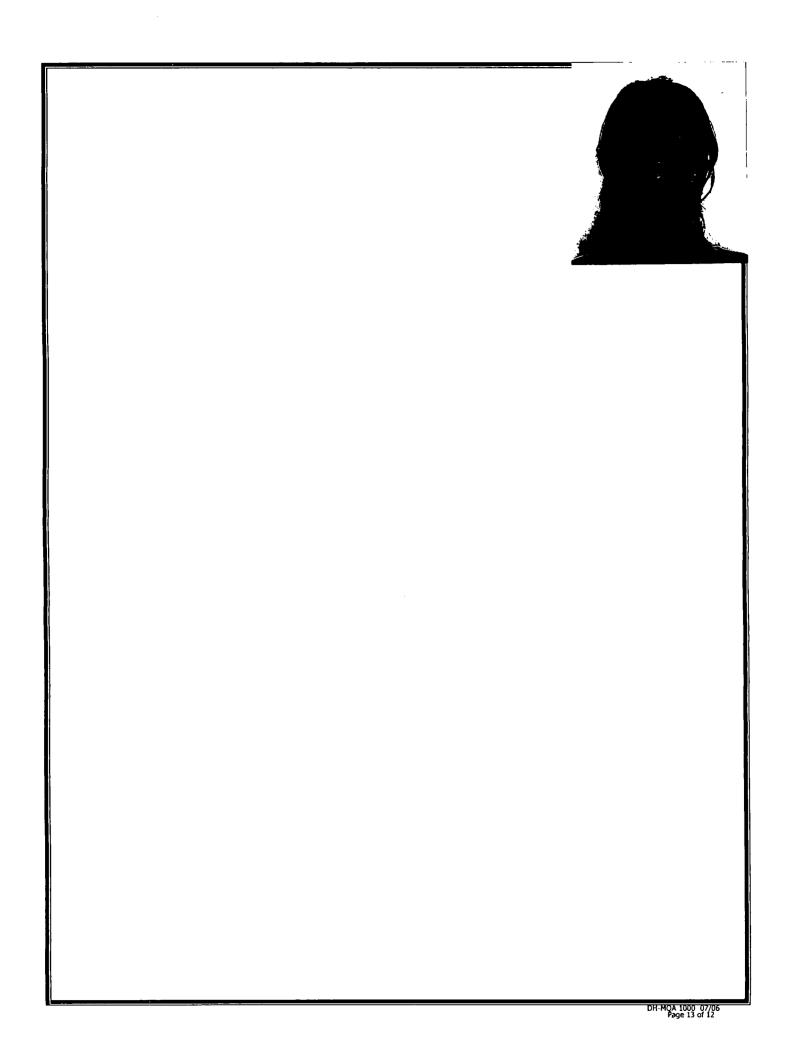
### 42. Affidavit of Applicant:

I affirm that these statements are true and correct. I recognize that providing false information may result in denial of licensure, disciplinary action against my license, or criminal penalties pursuant to Sections 456.067, 775.083, and 775.084, Florida Statutes. I affirm that I have read Chapters 456, 458 and 766.301-.316, Florida Statutes and Chapter 64B8, Florida Administrative Code.

I hereby authorize all hospitals, institutions or organizations, my references, personal physicians, employers (past and present), and all governmental agencies and instrumentalities (local, state, federal, or foreign) to release to the Florida Board of Medicine information which is material to my application for licensure.

I have carefully read the questions in the foregoing application and have answered them completely, without reservations of any kind. I declare under penalty of perjury that my answers and all statements made by me herein are

| for denial, sus<br>to my status o<br>days.<br>Confidentiality<br>provided in the<br>Confidentiality<br>consent unless | ct. Should I furnish any false information in pension, or revocation of my license to praction any change that would affect any of my a I understand that my records are of Mental Health Patient Records and cannot regulations. I understand that my records of Alcohol and Drug Abuse Patient Records otherwise provided in the regulations. I a extent that action has been taken in reliance | ctice Medicine in the State of I<br>inswers to this application I may<br>protected under federal and<br>not be disclosed without my was are protected under federal<br>s, 42CFR Part 2, and cannot balso understand that I may rev | Florida. If the control of the control of the consense of the consense of the control of the con | ere are any change board within 30 ions governing at unless otherwise gulations governir without my writter sent at any time | ges<br>e<br>ng<br>n |
|---|---|--|--|--|---------------------|
| (Specify the  | date, event, or condition upon which this content expi  | res.)  |  |  |                     |
| (Please print   | AI CARROLL DEAN your name.)   | -  |  |  |                     |
|   | Rep   | 1/14/08  |  |  |                     |
| (Signature o  | f applicant required.)  | (Date signed required.)  |  | <del></del>  |                     |
| Personal Data   | ;   |  |  |  |                     |
| Height:   | 5 feet 9 inches   | Weight: _  | 140  | LBS.   |                     |
| Eye Color:  | Brown   | Hair Color:  | BL   | ACK  |                     |
|   |   |  |  |  |                     |
|   |   |  |  |  |                     |



Received Date: 4/20/06 Deposit Date: 4/20/06

# REGISTRATION APPLICATION FOR INTERN/RESIDENT/FELLOW OR HOUSE PHYSICIAN

(Client 1510)



f-13814

Deposit #: 167636 Batch Number: 004024999 Validation # 1905264731 Check Amount: \$200.00 PRO\_CDE: 1510 Registration Method (Check only one) I AM APPLYING FOR REGISTRATION TO PARTICIPATE IN A TRAINING PROGRAM INTERN/RESIDENT/FELLOW/OTHER TRAINING ☐ RENEWAL I AM APPLYING FOR HOUSE PHYSICIAN REGISTRATION NOT A TRAINING PROGRAM INITIAL REGISTRATION FEE FOR INTERN/RESIDENT/FELLOW-\$200.00 INITIAL REGISTRATION FEE FOR HOUSE PHYSICAN - \$300.00 HOUSE PHYSICIAN RENEWAL REGISTRATION FEE - \$220.00 Registration fees are non-refundable APPLICATION SHOULD BE TYPED 1. Employment Date: University/Hospital: UF Director of Medical Education: K. Hall m.D. Program Specialty: Family Medicine Program Address: 1600 SW Archer Rd Suite NIO7 Clinical Sites: 625 SW 4th Ave Name/Telephone# of Administrator: Judy Walch 273-5147 2. Social Security Number: 3. Name: POBOX 100005 G/Ville, F1 32616 Monts GAINESVILLE 4. Mailing Address: 5. Place of Birth GAINESVILLE FI Date of Birth 6. Telephone Number: <u>352-281-0628</u> (Office-area code/number) (Residence-area code/number) GAINESVILLE, FL, USA 7. Medical Degree was obtained from: (City, State & Country) (Medical School)

|          | EMPLOYMENT/<br>HOSPITAL                     |               | ADDRESS  | EMPLOYME<br>FROM   | TO           | POSITI | ON                |
|----------|---|---------------|--|--------------------|--------------|--------|-------------------|
| N        | ember                                       | 2             | ADDRESS  | FROM               | 10           |        |                   |
| HOVE     |   | <del></del>   |  |                    |              |        | <del></del>       |
|          |   |               |  |                    | -            |        |                   |
|          |   |               |  |                    | 1.           |        |                   |
|          | ·   |               |  |                    |              |        |                   |
|          |   |               | BH175.1.   |                    |              |        |                   |
|          | , including Canada                          | , Guam, Puer  | orofessional/medical linto Rico or U.S. Virgin     | Islands?           |              | Yes    | _ No_ <b>&gt;</b> |
| ) Was    |   |               | or a period other than t                           |                    | lum?         | Vac    | _ No_ <b>X</b>    |
|          | · <del>-</del> ·                            |               | · • · · · · · · · · · · · · · · · · · ·            |                    | iuiii:       |        |                   |
| a. D     | id you take a leave                         | of absence of | during medical school?                             | •                  |              | Yes    |                   |
| b. W     | Vere you required to                        | o repeat any  | of your medical educa                              | tion?              |              | Yes    | _ No_>            |
|          | ng your medical ed<br>ictions, suspension   |               | or postgraduate training acted against?            | g were you ever o  | n probation, | Yes    | _ No_ <u>&gt;</u> |
|          | e you ever been req                         |               | ve, temporarily or periing?                        | nanently, a postgr | aduate       | Yes    | _ No_ <u>}</u>    |
|          |   |               | or professional license<br>gery denied by any sta  |                    |              | Yes    | _ No_ <u>&gt;</u> |
|          |   |               | rolled in, required to e<br>gram or impaired pract |                    | ipated       |        |                   |
|          | e last 5 years, have<br>al disorder or impa | -             | eated for or had a recur                           | rence of a diagnos | sed          |        |                   |
|          | e last 5 years, have ical impairment?       | you been tre  | eated for or had a recur                           | rence of a diagnos | sed with any |        |                   |
| 7. In th | e last 5 years, have                        | you been tre  | eated for or had a recur                           | rence of a diagnos | sed          |        |                   |

illegal chemical substances?

| 19. Have you ever been convicted of, or entered a plea of guilty, nolo contender or no contest to, a crime in any jurisdiction other than a minor traffic offense? You must include all misdemeanors and felonies, even if adjudication was withheld by the court so that you would not have a record of conviction. Driving under the influence or driving while impaired is not a minor traffic offense for purposes of this question.  Yes No  |                           |  |                                 |                        |  |  |
|---|---------------------------|--|---------------------------------|------------------------|--|--|
| 20. Are you under investigat basis for imposing a disc  | Yes No_X                  |  |                                 |                        |  |  |
| 21. Have you ever had emplo   | syment terminated for cau | se?                                      |                                 | Yes No_X               |  |  |
| ALL AFFIRMATIVE ANS<br>SEPARATE SHEET. DOO<br>REQUIRED.   | <del>-</del>              |  |                                 |                        |  |  |
| 22. Physical Description:   | COLOR OF EYES:            | 3LACK                                    | WEIGHT_<br>HEIGHT_              | 140 lbs.               |  |  |
| I, THANH MAI CARROLL, affirm that I am the person referred to in the foregoing registration application and supporting documentation, and that the attached photograph is a true likeness of myself.  I hereby authorized all hospital(s), institution(s) or organization(s), my references, personal physicians, employers, (past and present), and all government agencies and instrumentality's (local, state, federal or foreign) to release to the Florida Board of Medicine any information which is material to my registration application pursuant to 458.345, F.S.  I have carefully read the questions in the foregoing registration application and have answered them completely, without reservations of any kind, and I declare under penalty of perjury that my answers and all statements made by me herein are true and correct. Should I furnish any false information in this registration application, I hereby agree that such act shall constitute cause for denial, suspension or revocation of my registration as a physician in the State of Florida.  I understand my records are protected under the Federal and State Regulations governing Confidentiality of Mental Health Patient Records and cannot be disclosed without my written consent unless otherwise provided in the regulations. I understand that my records are protected under the Federal and State Regulations governing Confidentiality of Alcohol and Drug Abuse Patient Records, 42 CFR Part 2, and cannot be disclosed without my written consent unless otherwise provided in the |                           |  |                                 |                        |  |  |
| regulations. I also underst   | and that I may revoke th  | nis consent at any ting the consent expi | me except to t<br>res automatio | the extent that action |  |  |
| (Sign)  | sture of Applicant)       | (Data)                                   |                                 |                        |  |  |

REV: 10/03 MQA1032



College of Medicine Office of the Dean Student Affairs and Registration Health Science Center

PO Box 100216 Gainesville, Florida 32610-0216 Tele: (352) 392-3071

Fax: (352) 846-0622

March 31, 2006

The Department of Health Florida Board of Medicine 4052 Bald Cypress Way BIN C03 Tallahassee FL 32399-3253

To Whom It May Concern:

This is to certify that Thanh Mai Carroll is currently enrolled as a full-time medical student in good standing at the University of Florida College of Medicine. The anticipated date of graduation will be May 20, 2006.

Thanh Mai Carroll's matriculation dates at the University of Florida College of Medicine are:

First Year

08/19/02 to 06/06/03

Second Year 08/18/03 to 05/14/04

Third Year

07/06/04 to 06/18/05

Fourth Year 07/11/05 to 05/19/06 ~ Anticipated

Sincerely,

Rita M. Rygler

Senior Registrar Officer

Rite M. Ee

Office of Student Affairs and Registration

:rmf

K

# IN THE CIRCUIT COURT OF THE EIGHTH JUDICIAL CIRCUIT, IN AND FOR ALACHUA COUNTY, FLORIDA

| IN RE: THE NAME CHANGE OF  Thank Mai Carroll  Petitioner.  | Case No.: DI-07-DR-2930 Division:  REURIED IN OFFICIAL RECORDS  INSTRUMENT # 2359401 1 PG  2007 JUL 30 09:51 AM BK 3649 PG 1107  J. K. "BUDDY" IRBY  CLERK OF CIRCUIT COURT  ALACHUA COUNTY, FLORIDA  CLERK25 Receipt#340338  |
|--|---|
| FINAL JUDGMENT OF  | CHANGE OF NAME (ADULT)  |
| This cause came before the Court on [date] (Adult) under section 68.07, Florida Statutes, and it appear                  | Lin 25, 260, for a hearing on Petition for Change of Name ing to the Court that:  |
| 1. Petitioner is a bona fide resident of Alachua   | County, Florida;  |
| 2. Petitioner's request is not for any ulterior or illegal   | purpose; and 2359401  |
| 3. granting this petition will not in any manner invade will, privacy, trademark, or otherwise; it is                    | the property rights of others, whether partnership, patent, good  |
| is changed to Mai Cacoll De  | Thanh Mai Carroll, by which   |
| Petitioner shall hereafter be known.  ORDERED ON July 25, 200.7  CIRCUIT  COPIES TO: 7/25/2007  Petitioner  Descriptions | NO Philon   |
| JK. "EUDDY" IRBY ALACHUA COUNTY, FL.  ALACHUA COUNTY, FL.  | J.K. "Buddy' tray, Glerk of the Circuit & County Court, Eleph Judicast Circuit of Floride, in each for Alactine Court, bench confident his factor true and currect on 10 th decreases from of record to 10 the Suggest my handed down in a suggest that the court of the |
| SOUTUL SE AN IO: 42  | England comm  |
| 14. 5105   | CASE: 7097 DR 602333<br>11111 1111 1111 1111 1111 1111 1111   |

# activated in prizarium and Indiana

has conferred on

Thanh Mai Carroll

the degree

**Bactor** of Medicine

In Mitness Mhereof, this diploma, duly signed, has been issued and all the rights and privileges thereunto appertaining. and the seal of the University affixed.

Issued by the Board of Trustees upon recommendation of the Jaculty of

The College of Medicine

at Gainesville, this twentieth day of May, 2006.

My terra acrale

833

Grand Machen

C. Cian Till

1005164

|          |  |                               | •   |              | Attel        | 14             |
|----------|--|-------------------------------|---|--------------|--------------|----------------|
|          | PARTMENT OF HEALTH   | Medical Degree Ve             | erification Form  | •            | <i>U</i> )   | Ì              |
| 4052 BA  | BOARD OF MEDICINE ALD CYPRESS WAY, BIN # CO3 SSEE, FLORIDA 32399-3253                                      |                               | The physician lis an application for is under investiga | Florida lice | nsure and    | d 🏻            |
| Applicar | nt completes items #1 through #3.  |                               | Verify items 1  | through 3,   | complete     | e 🏻            |
| 1. To:   | UF COLLEGE OF M<br>Name of medical school  | 1EDICINE                      | items #4 throu directly to the                          |              |              |                |
|          |  | the Chan Coate                | Thank you.  |              | 200          |                |
|          | PD Box 100216. Headdress of medical school   |                               | 1   |              | 2009 JAN 31  | MEDICINE NOARU |
|          | GAINESVILLE, FL 3 City - State - Zip - Country   | 2610-0216 U                   | SA  |              | <u>u</u>     |                |
|          | City State 2.15 Codina,  |                               |   | _            | PH           | 101            |
| 2.       | Name: MAI CARROLL DEAT<br>Formerly THANH MAI C   | N<br>ARROLL)                  |   | <u> </u>     | 2: 42        | ΔRu            |
| 3.       | Date of Birth: 5/22/07 77  |                               |   |              |              |                |
|          | School: Verify items #1 through #3 and hank you!   | complete items #4 through #   | 7. Authenticate by                                      | signature a  | and schoo    | oi i           |
| 4.       | Type of Degree: Date   | Degree Received: 05-20        | 2006_   |              |              |                |
| 5.       | Was the above referenced physician ever on probation, or asked to resign?                                  | dropped, suspended, placed    |   | [ ] YES      | MINO         |                |
| 6.       | Did the above referenced physician atten<br>than the normal curriculum, or was he/sh<br>medical education? |                               |   | [ ] YES      | XI NO        |                |
| 7.       | Did the above referenced physician take for any reason during medical school?                              | any type of break or leave of | absence   | [ ] YES      | Х) ио        |                |
| 8.       | Comments:  |                               |   |              |              |                |
|          |  |                               |   |              |              | ļ              |
|          |  |                               | <b>A</b> C.   |              |              | Ş              |
|          |  | 9                             | Smiler E  | morshi       | ,<br>Verifie | d by           |
|          |  |                               | 1-24-   | 2008         |              | - '            |
| ,        | SEAL   | er t                          | AMELIA E.<br>SENIOR REGISTRAR                           |              |              | :              |
|          |  |                               |   |              |              | ł              |
|          |  |                               |   |              | N            | ame            |
|          | •  |                               |   |              |              |                |