DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

Form Approved
OMB No. 0938-0581

# CLINICAL LABORATORY IMPROVEMENT AMENDMENTS (CLIA) APPLICATION FOR CERTIFICATION

## I. GENERAL INFORMATION

☐ Initial Application ☒ Survey
☐ Change in Certificate Type
☐ Other Changes (Specify) _____

Effective Date 9/9/2020

CLIA IDENTIFICATION NUMBER
17 D 2056639
*(If an initial application leave blank, a number will be assigned)*

FACILITY NAME
South Wind Women's Center

FEDERAL TAX IDENTIFICATION NUMBER
(b) (4)

EMAIL ADDRESS
wichita@ltrustwomen.org

TELEPHONE NO. *(Include area code)*
316.260.6934

FAX NO. *(Include area code)*
316.425.3451

FACILITY ADDRESS — *Physical Location of Laboratory (Building, Floor, Suite if applicable.) Fee Coupon/Certificate will be mailed to this Address unless mailing or corporate address is specified*

NUMBER, STREET *(No P.O. Boxes)*
5107 E Kellogg Drive

| CITY | STATE | ZIP CODE |
|---|---|---|
| Wichita | Kansas | 67218 |

MAILING/BILLING ADDRESS *(If different from facility address) send Fee Coupon or certificate*

NUMBER, STREET

| CITY | STATE | ZIP CODE |
|---|---|---|
| | | |

SEND FEE COUPON TO THIS ADDRESS
☒ Physical
☐ Mailing
☐ Corporate

SEND CERTIFICATE TO THIS ADDRESS
☒ Physical
☐ Mailing
☐ Corporate

CORPORATE ADDRESS *(If different from facility) send Fee Coupon or certificate*

NUMBER, STREET

| CITY | STATE | ZIP CODE |
|---|---|---|
| | | |

NAME OF DIRECTOR *(Last, First, Middle Initial)*
Marsh, Juliet

*CREDENTIALS*
MD

**FOR OFFICE USE ONLY**

Date Received

## II. TYPE OF CERTIFICATE REQUESTED *(Check only one) Please refer to the accompanying instructions for inspection and certificate testing requirements)*

☐ Certificate of Waiver *(Complete Sections I – VI and IX – X)*

☐ Certificate for Provider Performed Microscopy Procedures (PPM) *((Complete Sections I-VII and IX-X)*

☒ Certificate of Compliance *(Complete Sections I – X)*

☐ Certificate of Accreditation (Complete Sections I – X) and indicate which of the following organization(s) your laboratory is accredited by for CLIA purposes, or for which you have applied for accreditation for CLIA purposes.

☐ The Joint Commission ☐ AOA ☐ AABB ☐ A2LA

☐ CAP ☐ COLA ☐ ASHI

**If you are applying for a Certificate of Accreditation, you must provide evidence of accreditation for your laboratory by an approved accreditation organization as listed above for CLIA purposes or evidence of application for such accreditation within 11 months after receipt of your Certificate of Registration.**

**NOTE: Laboratory directors performing non-waived testing (including PPM) must meet specific education, training and experience under subpart M of the CLIA regulations. Proof of these qualifications for the laboratory director must be submitted with this application.**

**PRA Disclosure Statement**

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-0581. Expiration Date: 3/31/2021. The time required to complete this information collection is estimated to average one hour per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850. *****CMS Disclaimer*****Please do not send applications, claims, payments, medical records or any documents containing sensitive information to the PRA Reports Clearance Office. Please note that any correspondence not pertaining to the information collection burden approved under the associated OMB control number listed on this form will not be reviewed, forwarded, or retained. If you have questions or concerns regarding where to submit your documents, please contact LabExcellence@cms.hhs.gov.

## III. TYPE OF LABORATORY *(Check the one most descriptive of facility type)*

- ☐ 01 Ambulance
- ☒ 02 Ambulatory Surgery Center
- ☐ 03 Ancillary Testing Site in Health Care Facility
- ☐ 04 Assisted Living Facility
- ☐ 05 Blood Bank
- ☐ 06 Community Clinic
- ☐ 07 Comp. Outpatient Rehab Facility
- ☐ 08 End Stage Renal Disease Dialysis Facility
- ☐ 09 Federally Qualified Health Center
- ☐ 10 Health Fair
- ☐ 11 Health Main. Organization
- ☐ 12 Home Health Agency
- ☐ 13 Hospice
- ☐ 14 Hospital
- ☐ 15 Independent
- ☐ 16 Industrial
- ☐ 17 Insurance
- ☐ 18 Intermediate Care Facilities for Individuals with Intellectual Disabilities
- ☐ 19 Mobile Laboratory
- ☐ 20 Pharmacy
- ☐ 21 Physician Office
- ☐ 22 Practitioner Other *(Specify)* _____
- ☐ 23 Prison
- ☐ 24 Public Health Laboratories
- ☐ 25 Rural Health Clinic
- ☐ 26 School/Student Health Service
- ☐ 27 Skilled Nursing Facility/ Nursing Facility
- ☐ 28 Tissue Bank/Repositories
- ☐ 29 Other *(Specify)* _____

## IV. HOURS OF LABORATORY TESTING *(List times during which laboratory testing is performed in HH:MM format)* If testing 24/7 Check Here ☐

| | SUNDAY | MONDAY | TUESDAY | WEDNESDAY | THURSDAY | FRIDAY | SATURDAY |
|---|---|---|---|---|---|---|---|
| FROM: | N/A | 08:00 | 08:00 | 08:00 | 08:00 | 08:00 | N/A |
| TO: | N/A | 17:00 | 17:00 | 17:00 | 17:00 | 17:00 | N/A |

(For multiple sites, attach the additional information using the same format.)

## V. MULTIPLE SITES *(must meet one of the regulatory exceptions to apply for this provision in 1-3 below)*

**Are you applying for a single site CLIA certificate to cover multiple testing locations?**

☐ No. If no, go to section VI. ☒ Yes. If yes, complete remainder of this section.

**Indicate which of the following regulatory exceptions applies to your facility's operation.**

1. Is this a laboratory that is not at a fixed location, that is, a laboratory that moves from testing site to testing site, such as mobile unit providing laboratory testing, health screening fairs, or other temporary testing locations, and may be covered under the certificate of the designated primary site or home base, using its address?

   ☐ Yes ☒ No

   If yes and a mobile unit is providing the laboratory testing, record the vehicle identification number(s) (VINs) and attach to the application.

2. Is this a not-for-profit or Federal, State or local government laboratory engaged in limited (not more than a combination of 15 moderate complexity or waived tests per certificate) public health testing and filing for a single certificate for multiple sites?

   ☐ Yes ☒ No

   If yes, provide the number of sites under the certificate _____ and list name, address and test performed for each site below.

3. Is this a hospital with several laboratories located at contiguous buildings on the same campus within the same physical location or street address and under common direction that is filing for a single certificate for these locations?

   ☐ Yes ☒ No

   If yes, provide the number of sites under this certificate _____ and list name or department, location within hospital and specialty/subspecialty areas performed at each site below.

**If additional space is needed, check here ☐ and attach the additional information using the same format.**

| NAME AND ADDRESS/LOCATION | | TESTS PERFORMED/SPECIALTY/SUBSPECIALTY |
|---|---|---|
| NAME OF LABORATORY OR HOSPITAL DEPARTMENT<br>South Wind Women's Center Oklahoma City | | Rh testing |
| ADDRESS/LOCATION *(Number, Street, Location if applicable)*<br>1240 SW 44th Street | | Hgb testing |
| CITY, STATE, ZIP CODE<br>Oklahoma City, OK 73109 | TELEPHONE NO. *(Include area code)*<br>405.429.7940 | Pregnancy testing--urine |
| NAME OF LABORATORY OR HOSPITAL DEPARTMENT | | |
| ADDRESS/LOCATION *(Number, Street, Location if applicable)* | | |
| CITY, STATE, ZIP CODE | TELEPHONE NO. *(Include area code)* | |

In the next three sections, indicate testing performed and annual test volume.

**VI. WAIVED TESTING** *If only applying for a Certificate of Waiver, complete this section and skip sections VII (PPM Testing) and VIII (Non-Waived Testing).*

Identify the waived testing (to be) performed. Be as specific as possible. This includes each analyte test system or device used in the laboratory.
e.g. (Rapid Strep, Acme Home Glucose Meter)

Hgb testing--testing personnel use the Hemocue with microcuvettes as per manufacturer's instructions. All results are recorded in the Lab/Rh log and in the patient's chart in EMR.

Pregnancy testing--testing personnel use the pregnancy test kit as per manufacturer's instructions. All results are recorded in the patient's chart in the EMR.

Indicate the **ESTIMATED TOTAL ANNUAL TEST** volume for all waived tests performed 4091

☐ Check if no waived tests are performed

If additional space is needed, check here ☐ and attach additional information using the same format.

**VII. PPM TESTING** *If only applying for a Certificate for PPM, complete this section and skip section VIII (Non-Waived Testing).*

Identify the PPM testing (to be) performed. Be as specific as possible.
e.g. (Potassium Hydroxide (KOH) Preps, Urine Sediment Examinations)

Not applicable at this time.

Wet preps

Indicate the **ESTIMATED TOTAL ANNUAL TEST** volume for all PPM tests performed 0 20

If also performing waived complexity tests, complete Section VI. For laboratories applying for certificate of compliance or certificate of accreditation, also include PPM test volume in the specialty/subspecialty category and the "total estimated annual test volume" in section VIII.

☒ Check if no PPM tests are performed

If additional space is needed, check here ☐ and attach additional information using the same format.

**VIII. NON-WAIVED TESTING** *(Including PPM testing if applying for a Certificate of Compliance or Accreditation) Complete this section only if you are applying for a Certificate of Compliance or a Certificate of Accreditation.*

Identify the non-waived testing (to be) performed. Be as specific as possible. This includes each analyte test system or device used in the laboratory e.g. (Potassium, Acme Chemistry Analyzer).

Rh testing--testing personnel complete Rh testing using the Eldon Card Kit as per manufacturer's instructions. All results are recorded in the Lab/Rh log and the patient's chart in EMR.

If additional space is needed, check here ☐ and attach additional information using the same format.

If you perform testing other than or in addition to waived tests, complete the information below. If applying for one certificate for multiple sites, the total volume should include testing for ALL sites.

Place a check (✓) in the box preceding each specialty/subspecialty in which the laboratory performs testing. Enter the estimated annual test volume for each specialty. Do not include testing not subject to CLIA, waived tests, or tests run for quality control, calculations, quality assurance or proficiency testing when calculating test volume. (For additional guidance on counting test volume, see the instructions included with the application package.)

If applying for a Certificate of Accreditation, indicate the name of the Accreditation Organization beside the applicable specialty/ subspecialty for which you are accredited for CLIA compliance. (The Joint Commission, AOA, AABB, A2LA ,CAP, COLA or ASHI)

| SPECIALTY / SUBSPECIALTY | ACCREDITING ORGANIZATION | ANNUAL TEST VOLUME | SPECIALTY / SUBSPECIALTY | ACCREDITING ORGANIZATION | ANNUAL TEST VOLUME |
|---|---|---|---|---|---|
| **HISTOCOMPATIBILITY 010** | | | **HEMATOLOGY 400** | | |
| ☐ Transplant | | | ☐ Hematology | | |
| ☐ Nontransplant | | | **IMMUNOHEMATOLOGY** | | 1587 |
| **MICROBIOLOGY** | | | ☒ ABO Group & Rh Group 510 | | |
| ☐ Bacteriology 110 | | | ☐ Antibody Detection (transfusion) 520 | | |
| ☐ Mycobacteriology 115 | | | ☐ Antibody Detection (nontransfusion) 530 | | |
| ☐ Mycology 120 | | | ☐ Antibody Identification 540 | | |
| ☐ Parasitology 130 | | | ☐ Compatibility Testing 550 | | |
| ☐ Virology 140 | | | **PATHOLOGY** | | |
| **DIAGNOSTIC IMMUNOLOGY** | | | ☐ Histopathology 610 | | |
| ☐ Syphilis Serology 210 | | | ☐ Oral Pathology 620 | | |
| ☐ General Immunology 220 | | | ☐ Cytology 630 | | |
| **CHEMISTRY** | | | **RADIOBIOASSAY 800** | | |
| ☐ Routine 310 | | | ☐ Radiobioassay | | |
| ☐ Urinalysis 320 | | | **CLINICAL CYTOGENETICS 900** | | |
| ☐ Endocrinology 330 | | | ☐ Clinical Cytogenetics | | |
| ☐ Toxicology 340 | | | **TOTAL ESTIMATED ANNUAL TEST VOLUME:** | | 1587 |

**IX. TYPE OF CONTROL (check the one most descriptive of ownership type)**

| VOLUNTARY NONPROFIT | FOR PROFIT | GOVERNMENT |
|---|---|---|
| ☐ 01 Religious Affiliation | ☐ 04 Proprietary | ☐ 05 City |
| ☒ 02 Private Nonprofit | | ☐ 06 County |
| ☐ 03 Other Nonprofit | | ☐ 07 State |
| _____ (Specify) | | ☐ 08 Federal |
| | | ☐ 09 Other Government |
| | | _____ (Specify) |

**X. DIRECTOR AFFILIATION WITH OTHER LABORATORIES**

If the director of this laboratory serves as director for additional laboratories that are separately certified, please complete the following:

| CLIA NUMBER | NAME OF LABORATORY |
|---|---|
| MTSC.FS6100 3627 | All Women's Health Tacoma, Washington |
| | |
| | |
| | |
| | |
| | |

**ATTENTION: READ THE FOLLOWING CAREFULLY BEFORE SIGNING APPLICATION**

Any person who intentionally violates any requirement of section 353 of the Public Health Service Act as amended or any regulation promulgated thereunder shall be imprisoned for not more than 1 year or fined under title 18, United States Code or both, except that if the conviction is for a second or subsequent violation of such a requirement such person shall be imprisoned for not more than 3 years or fined in accordance with title 18, United States Code or both.

Consent: The applicant hereby agrees that such laboratory identified herein will be operated in accordance with applicable standards found necessary by the Secretary of Health and Human Services to carry out the purposes of section 353 of the Public Health Service Act as amended. The applicant further agrees to permit the Secretary, or any Federal officer or employee duly designated by the Secretary, to inspect the laboratory and its operations and its pertinent records at any reasonable time and to furnish any requested information or materials necessary to determine the laboratory's eligibility or continued eligibility for its certificate or continued compliance with CLIA requirements.

PRINT NAME OF OWNER/DIRECTOR OF LABORATORY

Juliet Marsh, MD. Laboratory Director

SIGNATURE OF OWNER/DIRECTOR OF LABORATORY *(Sign in ink)*

X [signature]

DATE 9/3/2020

**NOTE: Completed 116 applications must be sent to your local State Agency. Do not send any payment with your completed 116 application.**

**STATE AGENCY CONTACT INFORMATION CAN BE FOUND AT:**
**http://www.cms.gov/Regulations-and-Guidance/Legislation/CLIA/Downloads/CLIASA.pdf**